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January 24, 2012

Ms. Rachel Falsetti  
Division Chief  
State of California  
Department of Transportation, MS 82  
Division of Transportation Programming  
Office of Federal Transportation Management Program  
1120 N Street, Room 4400 (95814)  
P.O. Box 942874  
Sacramento, CA 94274-0001

ATTN: Lima Huy

Dear Ms. Falsetti:

This letter serves as notification to Caltrans and FHWA of the SBCAG 2011 FTIP Formal Amendment #15. The SBCAG Board of Directors approved Formal Amendment #15 to the 2011 FTIP at their January 19<sup>th</sup> meeting. The amendment was presented to the public per guidelines established in SBCAG's adopted Public Participation Plan. The amendment makes the following changes to the projects described below which is consistent with the Regional Transportation Plan and requirements in 23 CFR 450:

- **Guadalupe Transit Operating Assistance** – (MPO ID: GUAD4): Programs additional FTA 5311 and TDA funding to project for FY 11/12.
- **Solvang Transit Operating Assistance** – (MPO ID: SOLVANG02): Programs additional FTA 5311 and TDA funding to project for FY 11/12.

The 2011 FTIP remains financially constrained, meets air quality conformity, and does not interfere with the timely implementation of Transportation Control Measures contained in the State Implementation Plan.

If you have any further questions regarding this amendment, please contact Stephen VanDenburgh of my staff at (805) 961-8904 (email: [svandenburgh@sbcaq.org](mailto:svandenburgh@sbcaq.org)).

Sincerely,

Jim Kemp  
Executive Director

Member Agencies

Buellton ■ Carpinteria ■ Goleta ■ Guadalupe ■ Lompoc ■ Santa Barbara ■ Santa Maria ■ Solvang ■ Santa Barbara County

# Summary of Changes

## SBCAG 2011 Formal Amendment No. 15

Existing / New	MPO FTIP ID	PROJECT TITLE	DESCRIPTION OF CHANGE	Phase	Fund Type	PRIOR CTIPS Amt.	CURRENT CTIPS Amt.	Net Change	FFY	Financial Table Fund Source Category	Total Project Cost	%	Comments
Existing	GUAD4	Guadalupe Operating Assistance	Add new funding	CON	FTA 5311	0	35,000	35,000	FY11/12	FTA 5311	133,000	111%	
					TDA	0	35,000	35,000	FY11/12	Local Other			
Existing	SOLVANG2	Transit Operating Assistance	Add new funding	CON	FTA 5311	0	\$128,500	128,500	FY11/12	FTA 5311	489,000	111%	
					TDA	0	\$128,500	128,500	FY11/12	Local Other			

Sum of Net Change: Column Labels		
Row Labels	FY11/12	Grand Total
FTA 5311	163500	163500
Local Other	163500	163500
<b>Grand Total</b>	<b>327000</b>	<b>327000</b>

## STAFF REPORT

**SUBJECT:** FTA Section 5311 Program of Projects

**MEETING DATE:** January 19, 2012

**AGENDA ITEM:** 7A

**STAFF CONTACT:** Sarkes Khachek

**RECOMMENDATION:**

- A. Approve the Program of Projects for Federal Transit Administration non-urbanized area transit funds (Section 5311) in Santa Barbara County for FY 2011/12.
- B. Approve Formal Amendment #15 to the 2011 FTIP to program FTA 5311 funding for FY 11/12.

**SUMMARY:**

Under Section 5311 of the Federal Transit Act, funds are made available annually for transit projects in non-urbanized areas. In California, the Section 5311 program is administered by Caltrans Division of Mass Transit with funds apportioned to each region on the basis of non-urbanized area population. Each year SBCAG is required to adopt a Program of Projects that includes all transit capital and operating projects that are proposed for Section 5311 funding. Staff is recommending that the board approve the programming of \$163,236 in 5311 funding with \$128,303 apportioned to Solvang for operation of Santa Ynez Valley Transit and \$34,933 apportioned to Guadalupe for operation of the Guadalupe Flyer.

**DISCUSSION:**

SBCAG has received the FTA 5311 apportionment figure for Fiscal Year 2011/12 and has prepared the attached Program of Projects. The estimated apportionment for FY 2011/12 is \$163,236 and is divided between the City of Guadalupe (Guadalupe Flyer) and the City of Solvang (Santa Ynez Valley Transit - SYVT), based on the population served by the transit systems. The population figures used to determine funding amounts are taken from the California Department of Finance (2010).

The Guadalupe Flyer and Santa Ynez Valley Transit are the only operators receiving 5311 funds in Santa Barbara County. The operators (Cities of Guadalupe and Solvang) have requested that all of the 5311 funds be programmed for operating assistance. A summary of the proposed distribution is listed below.

Recipient	Project	Funds to be programmed
Guadalupe	FY 11/12 Operations	\$34,933
Solvang	FY 11/12 Operations	\$128,303
	<b>Total</b>	<b>\$163,236</b>

Member Agencies

Buellton ■ Carpinteria ■ Goleta ■ Guadalupe ■ Lompoc ■ Santa Barbara ■ Santa Maria ■ Solvang ■ Santa Barbara County

It is recommended that the board approve the attached program of projects and Formal Amendment #15 to the FTIP for submittal to Caltrans. The 5311 funding must be amended into the FTIP in order for the agencies to receive the funds for their transit operations. SBCAG is statutorily exempt pursuant to Public Resources Code section 21080(b)(13) and will be filing a notice of exemption for these projects.

**ATTACHMENTS:**

- A. FTA Section 5311 Program of Projects – Federal Fiscal Year 2011/12
- B. EZ Trak Report Amendment #15
- C. SBCAG Resolution 12-01

**CALIFORNIA DEPARTMENT OF TRANSPORTATION  
DIVISION OF MASS TRANSPORTATION  
Rural Transit and Intercity Bus Branch**

**FEDERAL TRANSIT ADMINISTRATION (FTA)  
SECTION 5311 REGIONAL PROGRAM OF PROJECTS (\*POP)  
FEDERAL FISCAL YEAR 2012**



\*POP's for the Expedited Applications are due to the District Transit Representative (DTR) by December 15, 2011; POP's for All Normal Process Operating Applications and Capital Applications are due to DTR by December 30, 2011. However, if there are issues meeting the deadlines, please notify your DTR and HQ liaison as soon as possible. In order to submit the grant request to FTA, all supporting documents must be submitted to the DMT by February 6, 2012.

*Regional Program of Projects (POP) receives final approval from Department of Transportation's Division of Mass Transportation (DMT). The DTR will notify the subrecipient of approval of programming in this cycle.*



County/Region: Santa Barbara County

District: 5

Original Submission Date: 12/15/11

Revision No. \_\_\_\_\_

Revision Submission Date: \_\_\_\_\_

**FEDERAL FISCAL YEAR 2012**  
**Section 5311 Program of Projects (POP)**

**(A) Available Funding:**

	Carryover: (+)	\$128,303	
		(SYVT 10/11 Carryover)	
<i>Estimated</i>	Apportionment [FFY 2012]: (+)	<u>\$163,236</u>	
<b>(A) TOTAL FUNDS AVAILABLE:</b>	<b>=</b>	<b><u>\$291,539</u></b>	

**(B) Programming (POP): Complete Parts I and II**

			<i>Federal Share</i>
Part I. Operating Assistance - Total:	(+)	<u>\$163,236</u>	
Part II. Capital - Total:	(+)	<u>0</u>	
<b>(B) Total [Programmed]:</b>	<b>(=)</b>	<b><u>\$163,236</u></b>	

**(C) Balance**

			<i>Federal Share</i>
(A) Total Funds Available:	(+)	<u>\$291,539</u>	
(B) Total [Programmed]:	(-)	<u>\$163,236</u>	
<b>* Balance:</b>	<b>(=)</b>	<b><u>128,303</u></b>	

**\*BALANCE – Regional Apportionment Funds ONLY:**

- Please Note -
  - funds must be programmed in subsequent year
  - final approval to be determined by the Department
- Request/Letter to carryover funds should include -
  - justification for programming postponement
  - purpose and project plan
  - letter of support from local Transportation Planning Agency

**(D) Flexible Funds(CMAO, STP or Federalized STIP): Complete Part III (For reference only).**

*Request for transfer will be applied for directly through the District - Local Assistance District Engineer, and Headquarters' Division of Local Assistance. Division of Mass Transportation will receive a conformation once the transfer is completed.*

			<i>Federal Share</i>
<b>(D) Part III. Flex Fund - Total:</b>		<u>N/A</u>	

***FUNDING SUMMARY***

			<i>Federal Share</i>
(B) Regional Apportioned - Total [Programmed]:	(+)	<u>\$163,236</u>	
(D) Flex Fund - Total:	(+)	<u>0</u>	
<b>GRAND TOTAL [Programmed]:</b>	<b>(=)</b>	<b><u>\$163,236</u></b>	

Contact Person/Title: Sarkes Khachek/ Transportation Planner  
 Phone Number: 805-961-8913

Date: 12/15/2011



**Santa Barbara County Association of Governments**  
**2011 Federal Transportation Improvement Project List**

SBCAG ID **GUAD4**

Implementing Agency **Guadalupe, City of**

SBCAG ID # **GUAD4** Last Revised **Amendment 15**

Project Manager **Jim Talbott** Phone **(805) 922-8476**

Estimated Total Project Cost **\$524,000** RTP ID Number **Gu 3**

Project Title **Guadalupe - Transit Operating Assistance**

Project Description **Guadalupe - Transit operating assistance for non-urbanized areas**

Fiscal Year	Revenue Source	Engineering	Right of Way	Construction	Total Revenue
04/05	FTA 5311			\$15.000	\$15.000
05/06	FTA 5311			\$18.000	\$18.000
06/07	FTA 5311			\$34.000	\$34.000
06/07	TDA			\$213.000	\$213.000
07/08	FTA 5311			\$37.000	\$37.000
08/09	FTA 5311			\$39.000	\$39.000
09/10	FTA 5311			\$35.000	\$35.000
10/11	FTA 5311			\$35.000	\$35.000
10/11	TDA			\$28.000	\$28.000
11/12	FTA 5311			\$35.000	\$35.000
11/12	TDA			\$35.000	\$35.000
<b>\$0</b>				<b>\$0</b>	<b>\$524,000</b>

**Federal**

Total Cost **\$524,000**

**Previously Approved FTIP**

SBCAG ID # **GUAD4** Last Revised **Amendment 3**

Project Manager **Jim Talbott** Phone **(805) 922-8476**

Estimated Total Project Cost **\$356,000** RTP ID Number **Gu 3**

Project Title **Guadalupe - Transit Operating Assistance**

Project Description **Guadalupe - Transit operating assistance for non-urbanized areas**

Fiscal Year	Revenue Source	Engineering	Right of Way	Construction	Total Revenue
04/05	FTA 5311			\$15.000	\$15.000
05/06	FTA 5311			\$18.000	\$18.000
06/07	FTA 5311			\$34.000	\$34.000
06/07	TDA			\$213.000	\$213.000
07/08	FTA 5311			\$37.000	\$37.000
08/09	FTA 5311			\$39.000	\$39.000
09/10	FTA 5311			\$35.000	\$35.000
10/11	FTA 5311			\$35.000	\$35.000
10/11	TDA			\$28.000	\$28.000
<b>\$0</b>				<b>\$0</b>	<b>\$454,000</b>

**Federal Project**

Total Cost **\$454,000**

**Santa Barbara County Association of Governments**  
**2011 Federal Transportation Improvement Project List**

SBCAG ID **SOLVANG02** Implementing Agency **Solvang, City of**

SBCAG ID # **SOLVANG02** Last Revised **Amendment 15**  
 Project Manager **Matt Van Der Linden** Phone **(805) 688-5575**  
 Estimated Total Project Cost **\$1,912,600** RTP ID Number **SYVT 1**  
 Project Title **Transit Operating Assistance**

Project Description **City of Solvang - Transit Operating Assistance for Non-Urbanized Areas**

Fiscal Year	Revenue Source	Engineering	Right of Way	Construction	Total Revenue
04/05	FTA 5311			\$56.000	\$56.000
05/06	FTA 5311			\$61.000	\$61.000
05/06	Loc Funds - City Funds			\$298.000	\$298.000
06/07	FTA 5311			\$122.000	\$122.000
06/07	TDA			\$487.000	\$487.000
07/08	FTA 5311			\$132.000	\$132.000
08/09	FTA 5311			\$139.000	\$139.000
09/10	FTA 5311			\$128.600	\$128.600
10/11	FTA 5311			\$128.500	\$128.500
10/11	TDA			\$103.500	\$103.500
11/12	FTA 5311			\$128.500	\$128.500
11/12	TDA			\$128.500	\$128.500
<b>\$0</b>				<b>\$0</b>	<b>\$1,912,600</b>
					<b>\$1,912,600</b>

**Federal** Total Cost **\$1,912,600**

**Previously Approved FTIP**

SBCAG ID # **SOLVANG02** Last Revised **Amendment 3**  
 Project Manager **Tully Clifford** Phone **(805) 688-5575**  
 Estimated Total Project Cost **\$1,295,000** RTP ID Number **SYVT 1**  
 Project Title **Transit Operating Assistance**

Project Description **City of Solvang - Transit Operating Assistance for Non-Urbanized Areas**

Fiscal Year	Revenue Source	Engineering	Right of Way	Construction	Total Revenue
04/05	FTA 5311			\$56.000	\$56.000
05/06	FTA 5311			\$61.000	\$61.000
05/06	Loc Funds - City Funds			\$298.000	\$298.000
06/07	FTA 5311			\$122.000	\$122.000
06/07	TDA			\$487.000	\$487.000
07/08	FTA 5311			\$132.000	\$132.000
08/09	FTA 5311			\$139.000	\$139.000
09/10	FTA 5311			\$128.600	\$128.600
10/11	FTA 5311			\$128.500	\$128.500
10/11	TDA			\$103.500	\$103.500
<b>\$0</b>				<b>\$0</b>	<b>\$1,655,600</b>
					<b>\$1,655,600</b>

**Federal Project** Total Cost **\$1,655,600**

**TABLE 1: REVENUE**

**MPO's Name**  
**2010/11-2013/14 Federal Transportation Improvement Program**  
**Amendment No.**  
**(\$'s in 1,000)**

Funding Source	NOTES	4 YEARS (FSTIP Cycle)								CURRENT TOTAL
		2010/11		2011/12		2012/13		2013/14		
		Amendment		Amendment		Amendment		Amendment		
		Prior No. 13	Current No. 15	Prior No. 13	Current No. 15	Prior No. 13	Current No. 15	Prior No. 13	Current No. 15	
LOCAL	Sales Tax									
	- City									
	- County									
	Gas Tax									
	- Gas Tax (Subventions to Cities)									
	- Gas Tax (Subventions to Counties)									
	Other Local Funds	\$547	\$547	\$594	\$594	\$280	\$280	\$28	\$28	\$1,449
	- County General Funds									
	- City General Funds	\$345	\$345	\$414	\$414	\$280	\$280	\$28	\$28	\$1,067
	- Street Taxes and Developer Fees	\$202	\$202	\$180	\$180					\$382
- RSTP Exchange funds										
Transit										
- Transit Fares										
Tolls (e.g. non-state owned bridges)										
Other (See Appendix 1)	\$9,615	\$9,615	\$14,857	\$15,021	\$343	\$343	\$535	\$535	\$25,514	
<b>Local Total</b>	<b>\$10,162</b>	<b>\$10,162</b>	<b>\$15,451</b>	<b>\$15,615</b>	<b>\$623</b>	<b>\$623</b>	<b>\$563</b>	<b>\$563</b>	<b>\$26,963</b>	
REGIONAL	Tolls									
	- Bridge									
	- Corridor									
	Regional Transit Fares/Measures									
	Regional Sales Tax	\$10,686	\$10,686	\$9,505	\$9,505			\$140,000	\$140,000	\$160,191
	Regional Bond Revenue									
Regional Gas Tax										
Vehicle Registration Fees (CARB Fees, SAFE)										
Other (See Appendix 2)										
<b>Regional Total</b>	<b>\$10,686</b>	<b>\$10,686</b>	<b>\$9,505</b>	<b>\$9,505</b>			<b>\$140,000</b>	<b>\$140,000</b>	<b>\$160,191</b>	
STATE	State Highway Operations and Protection Program	\$11,838	\$11,838	\$10,179	\$10,179	\$32,810	\$32,810	\$32,083	\$32,083	\$86,910
	SHOPP (Including Augmentation)	\$11,838	\$11,838	\$8,133	\$8,133	\$32,810	\$32,810	\$32,083	\$32,083	\$84,864
	SHOPP Prior									
	State Minor Program			\$2,046	\$2,046					\$2,046
	State Transportation Improvement Program	\$6,621	\$16,026	\$11,745	\$11,745	\$28,057	\$28,057	\$6,220	\$6,220	\$62,048
	STIP (Including Augmentation)	\$5,716	\$15,121	\$11,745	\$11,745	\$28,057	\$28,057	\$6,220	\$6,220	\$61,143
	- Transportation Enhancement	\$965	\$965							\$965
	STIP Prior									
	- Transportation Enhancement									
	Proposition 1 A									
	Proposition 1 B	\$86,540	\$86,540	\$9,543	\$9,543	\$595	\$595	\$462	\$462	\$97,140
	GARVEE Bonds (Includes Debt Service Payments)									
	Highway Maintenance (HM)	\$13,981	\$13,981					\$922	\$922	\$13,981
	Traffic Congestion Relief Program (TCRP)									\$922
	State Transit Assistance (STA)(e.g., population/revenue based, Prop 42)									
Safe Routes to School (SR2S)										
State Emergency Repair Program										
Other (See Appendix 3)	\$1,563	\$1,563	\$9,731	\$9,731					\$11,294	
<b>State Total</b>	<b>\$120,545</b>	<b>\$129,945</b>	<b>\$41,108</b>	<b>\$41,198</b>	<b>\$61,462</b>	<b>\$61,462</b>	<b>\$39,687</b>	<b>\$39,687</b>	<b>\$172,205</b>	
FEDERAL TRANSIT	5307 - Urbanized Area Formula Program									
	5308 - Clean Fuel Formula Program									
	5309a - Fixed Guideway Modernization									
	5309b - New and Small Starts (Capital Investment Grants)									
	5309c - Bus and Bus Related Grants									
	5310 - Elderly & Persons with Disabilities Formula Program	\$1,105	\$1,105							\$1,105
	5311 - Nonurbanized Area Formula Program	\$164	\$164		\$164					\$328
	5311c - Public Transportation on Indian Reservation									
	5311f - Intercity Bus	\$188	\$188							\$188
	5316 - Job Access and Reverse Commute Program	\$91	\$91	\$93	\$93	\$90	\$90			\$274
	5317 - New Freedom	\$180	\$180	\$180	\$180					\$360
	5320 - Transit in the Parks									
	FTA Transfer from Prior FTIP									
	Other (See Appendix 4)									
<b>Federal Transit Total</b>	<b>\$9,782</b>	<b>\$9,782</b>	<b>\$8,446</b>	<b>\$8,612</b>	<b>\$90</b>	<b>\$90</b>			<b>\$18,484</b>	
FEDERAL HIGHWAY	Bridge Discretionary Program									
	Congestion Mitigation and Air Quality (CMAQ)									
	Coordinated Border Infrastructure (SAFETEA-LU Sec.1303)									
	Corridor Infrastructure Improvement Program (SAFETEA-LU Sec. 1302)									
	Federal Lands Highway									
	Ferry Boat Discretionary									
	High Priority Projects (HPP) and Demo	\$3,943	\$3,943	\$1,113	\$1,113					\$5,056
	High Risk Rural Road (HRRR)	\$538	\$538							\$538
	Highway Bridge Program (HBP)	\$15,726	\$15,726	\$9,929	\$9,929	\$7,406	\$7,406	\$9,180	\$9,180	\$42,241
	Highway Safety Improvement Program (HSIP)	\$759	\$759	\$1,025	\$1,025	\$1,861	\$1,861			\$3,645
	National Scenic Byways Program									
	Projects of National/Regional Significance (SAFETEA-LU Sec. 1301)									
	Public Lands Highway									
	Railway (Section 130)									
Recreational Trails	\$297	\$297							\$297	
Safe Routes to School (SRTS) (SAFETEA-LU)	\$313	\$313	\$752	\$752	\$350	\$350	\$445	\$445	\$1,860	
Surface Transportation Program (Regional)	\$1,544	\$1,544							\$1,544	
Transportation and Community and System Preservation Program										
Transportation Improvements (TI)										
Other (See Appendix 5)	\$587	\$587							\$587	
<b>Federal Highway Total</b>	<b>\$23,707</b>	<b>\$23,707</b>	<b>\$12,810</b>	<b>\$12,819</b>	<b>\$9,617</b>	<b>\$9,617</b>	<b>\$9,625</b>	<b>\$9,625</b>	<b>\$55,768</b>	
FEDERAL RAILROAD ADMINISTRATION	American Recovery and Reinvestment Act of 2009									
	Passenger Rail Investment and Improvement Act of 2008 (PRIIA)									
	Other (see Appendix 6)									
<b>Federal Railroad Administration Total</b>										
<b>Federal Total</b>	<b>\$33,489</b>	<b>\$33,489</b>	<b>\$21,267</b>	<b>\$21,431</b>	<b>\$9,707</b>	<b>\$9,707</b>	<b>\$9,625</b>	<b>\$9,625</b>	<b>\$74,252</b>	
INNOVATIVE FINANCE	TIFIA (Transportation Infrastructure Finance and Innovation Act)									
	State Infrastructure Bank									
	Section 129 Loans									
	Rail Rehab & Improvement Financing									
	Railroad Innovative Finance									
	Private Activity Bonds									
	Private Concession Fees									
	Private Donations									
Program Income (from a federal project)										
Other (See Appendix 7)										
<b>Innovative Financing Total</b>										
<b>REVENUE TOTAL</b>	<b>\$174,880</b>	<b>\$184,285</b>	<b>\$87,421</b>	<b>\$87,749</b>	<b>\$71,792</b>	<b>\$71,792</b>	<b>\$189,875</b>	<b>\$189,875</b>	<b>\$533,701</b>	

MPO Financial Summary Notes:

**TABLE 1: REVENUE - APPENDICES**

MPO's Name  
 2010/11-2013/14 Federal Transportation Improvement Program  
 Amendment No.  
 (\$'s in 1,000)

**Appendix 1 - Local Other**

Local Other	2010/11		2011/12		2012/13		2013/14		CURRENT TOTAL
	Prior	Current	Prior	Current	Prior	Current	Prior	Current	
Transportation Development Act	\$8,281	\$8,281	\$8,046	\$8,046					\$16,327
Other Local	\$1,334	\$1,334	\$6,811	\$6,975	\$343	\$343	\$535	\$535	\$9,187
<b>Local Other Total</b>	<b>\$9,615</b>	<b>\$9,615</b>	<b>\$14,857</b>	<b>\$15,021</b>	<b>\$343</b>	<b>\$343</b>	<b>\$535</b>	<b>\$535</b>	<b>\$25,514</b>

**Appendix 2 - Regional Other**

Regional Other	2010/11		2011/12		2012/13		2013/14		CURRENT TOTAL
	Prior	Current	Prior	Current	Prior	Current	Prior	Current	
<b>Regional Other Total</b>									

**Appendix 3 - State Other**

State Other	2010/11		2011/12		2012/13		2013/14		CURRENT TOTAL
	Prior	Current	Prior	Current	Prior	Current	Prior	Current	
State Local Partnership Program (SLPP)			\$9,505	\$9,505					\$9,505
State Park Funds, EJ Funds, STP and Freeway Service	\$1,563	\$1,563	\$226	\$226					\$1,789
<b>State Other Total</b>	<b>\$1,563</b>	<b>\$1,563</b>	<b>\$9,731</b>	<b>\$9,731</b>					<b>\$11,294</b>

**Appendix 4 - Federal Transit Other**

Federal Transit Other	2010/11		2011/12		2012/13		2013/14		CURRENT TOTAL
	Prior	Current	Prior	Current	Prior	Current	Prior	Current	
<b>Federal Transit Other Total</b>									

**Appendix 5 - Federal Highway Other**

Federal Highway Other	2010/11		2011/12		2012/13		2013/14		CURRENT TOTAL
	Prior	Current	Prior	Current	Prior	Current	Prior	Current	
SEC 117 Surface Transportation Funds	\$587	\$587							\$587
<b>Federal Highway Other Total</b>	<b>\$587</b>	<b>\$587</b>							<b>\$587</b>

**Appendix 6 - Federal Railroad Administration Other**

Federal Railroad Administration Other	2010/11		2011/12		2012/13		2013/14		CURRENT TOTAL
	Prior	Current	Prior	Current	Prior	Current	Prior	Current	
<b>Federal Railroad Administration Other Total</b>									

**Appendix 7 - Innovative Other**

Innovative Other	2010/11		2011/12		2012/13		2013/14		CURRENT TOTAL
	Prior	Current	Prior	Current	Prior	Current	Prior	Current	
<b>Innovative Other Total</b>									

**TABLE 2: PROGRAMMED**

MPO's Name  
 2010/11-2013/14 Federal Transportation Improvement Program  
 Amendment No.  
 (\$'s in 1,000)

Funding Source		NOTES	4 YEARS (FSTIP Cycle)								CURRENT TOTAL
			2010/11		2011/12		2012/13		2013/14		
			Amendment		Amendment		Amendment		Amendment		
			Prior No. 13	Current No. 15	Prior No. 13	Current No. 15	Prior No. 13	Current No. 15	Prior No. 13	Current No. 15	
LOCAL	Local Total		\$10,162	\$10,162	\$15,451	\$15,615	\$623	\$623	\$563	\$563	\$26,963
REGIONAL	Tolls -- Bridge -- Corridor Regional Transit Fares/Measures Regional Sales Tax Regional Bond Revenue Regional Gas Tax Vehicle Registration Fees (CARB Fees, SAFE) Other (See Appendix A)										
	Regional Total		\$10,686	\$10,686	\$9,505	\$9,505			\$140,000	\$140,000	\$160,191
STATE	State Highway Operations and Protection Program SHOPP (Including Augmentation) SHOPP Prior State Minor Program State Transportation Improvement Program STIP (Including Augmentation) Transportation Enhancement STIP Prior Transportation Enhancement Proposition 1 A Proposition 1 B GARVEE Bonds (Includes Debt Service Payments) Highway Maintenance (HM) Traffic Congestion Relief Program (TCRP) State Transit Assistance (STA)(e.g., population/revenue based, Prop 42) Safe Routes to School (SR2S) State Emergency Repair Program Other (See Appendix B)		\$11,838	\$11,838	\$8,133	\$8,133	\$32,810	\$32,810	\$32,083	\$32,083	\$84,864
	State Total		\$120,543	\$129,948	\$41,198	\$41,198	\$61,462	\$61,462	\$39,687	\$39,687	\$272,295
FEDERAL TRANSIT	5307 - Urbanized Area Formula Program 5308 - Clean Fuel Formula Program 5309a - Fixed Guideway Modernization 5309b - New and Small Starts (Capital Investment Grants) 5309c - Bus and Bus Related Grants 5310 - Elderly & Persons with Disabilities Formula Program 5311 - Nonurbanized Area Formula Program 5311c - Public Transportation on Indian Reservation 5311f - Intercity Bus 5316 - Job Access and Reverse Commute Program 5317 - New Freedom 5320 - Transit in the Parks FTA Transfer from Prior FTIP Other (See Appendix C)		\$8,054	\$8,054	\$8,175	\$8,175					\$16,229
	Federal Transit Total		\$9,782	\$9,782	\$8,440	\$8,612	\$90	\$90			\$18,484
FEDERAL HIGHWAY	Bridge Discretionary Program Congestion Mitigation and Air Quality (CMAQ) Coordinated Border Infrastructure (SAFETEA-LU Sec.1303) Corridor Infrastructure Improvement Program (SAFETEA-LU Sec. 1302) Federal Lands Highway Ferry Boat Discretionary High Priority Projects (HPP) and Demo High Risk Rural Road (HRRR) Highway Bridge Program (HBP) Highway Safety Improvement Program (HSIP) National Scenic Byways Program Projects of National/Regional Significance (SAFETEA-LU Sec. 1301) Public Lands Highway Railway (Section 130) Recreational Trails Safe Routes to School (SRTS) (SAFETEA-LU) Surface Transportation Program (Regional) Transportation and Community and System Preservation Program Transportation Improvements (TI) Other (see Appendix D)		\$3,943	\$3,943	\$1,113	\$1,113					\$5,056
	Federal Highway Total		\$23,707	\$23,707	\$12,819	\$12,819	\$9,617	\$9,617	\$9,625	\$9,625	\$55,768
FEDERAL ADMINISTRATION	American Recovery and Reinvestment Act of 2009 (ARRA) Passenger Rail Investment and Improvement Act of 2008 (PRIIA) Other (see Appendix E)										
	Federal Railroad Administration Total										
	Federal Total		\$33,489	\$33,489	\$21,267	\$21,431	\$9,707	\$9,707	\$9,625	\$9,625	\$74,252
INNOVATIVE FINANCE	TIFIA (Transportation Infrastructure Finance and Innovation Act) State Infrastructure Bank Section 129 Loans Rail Rehab & Improvement Financing Railroad Innovative Finance Private Activity Bonds Private Concession Fees Private Donations Program Income (from a federal project) Other (See Appendix F)										
	Innovative Financing Total										
	<b>PROGRAMMED TOTAL</b>		<b>\$174,880</b>	<b>\$184,285</b>	<b>\$87,421</b>	<b>\$87,749</b>	<b>\$71,792</b>	<b>\$71,792</b>	<b>\$189,875</b>	<b>\$189,875</b>	<b>\$533,701</b>

MPO Financial Summary Notes:



**TABLE 3: REVENUE-PROGRAMMED**

MPO's Name  
 2010/11-2013/14 Federal Transportation Improvement Program  
 Amendment No.  
 (\$'s in 1,000)

Funding Source		4 YEARS (FSTIP Cycle)								CURRENT TOTAL
		2010/11		2011/12		2012/13		2013/14		
		Amendment		Amendment		Amendment		Amendment		
		Prior No. 13	Current No. 15	Prior No. 13	Current No. 15	Prior No. 13	Current No. 15	Prior No. 13	Current No. 15	
LOCAL	Local Total									
REGIONAL	Tolls									
	-- Bridge									
	-- Corridor									
	Regional Transit Fares/Measures									
	Regional Sales Tax									
	Regional Bond Revenue									
	Regional Gas Tax									
Vehicle Registration Fees (CARB Fees, SAFE)										
Other										
	Regional Total									
STATE	State Highway Operations and Protection Program									
	SHOPP (Including Augmentation)									
	SHOPP Prior									
	State Minor Program									
	State Transportation Improvement Program									
	STIP (Including Augmentation)									
	Transportation Enhancement									
	STIP Prior									
	Transportation Enhancement									
	Proposition 1 A									
	Proposition 1 B									
	GARVEE Bonds (Includes Debt Service Payments)									
	Highway Maintenance (HM)									
	Traffic Congestion Relief Program (TCRP)									
	State Transit Assistance (STA)(e.g., population/revenue based, Prop 42)									
	Safe Routes to School (SR2S)									
	State Emergency Repair Program									
Other										
	State Total									
FEDERAL TRANSIT	5307 - Urbanized Area Formula Program									
	5308 - Clean Fuel Formula Program									
	5309a - Fixed Guideway Modernization									
	5309b - New and Small Starts (Capital Investment Grants)									
	5309c - Bus and Bus Related Grants									
	5310 - Elderly & Persons with Disabilities Formula Program									
	5311 - Nonurbanized Area Formula Program									
	5311c - Public Transportation on Indian Reservation									
	5311f - Intercity Bus									
	5316 - Job Access and Reverse Commute Program									
	5317 - New Freedom									
	5320 - Transit in the Parks									
	FTA Transfer from Prior FTIP									
	Other									
	Federal Transit Total									
FEDERAL HIGHWAY	Bridge Discretionary Program									
	Congestion Mitigation and Air Quality (CMAQ)									
	Coordinated Border Infrastructure (SAFETEA-LU Sec.1303)									
	Corridor Infrastructure Improvement Program (SAFETEA-LU Sec. 1302)									
	Federal Lands Highway									
	Ferry Boat Discretionary									
	High Priority Projects (HPP) and Demo									
	High Risk Rural Road (HRRR)									
	Highway Bridge Program (HBP)									
	Highway Safety Improvement Program (HSIP)									
	National Scenic Byways Program									
	Projects of National/Regional Significance (SAFETEA-LU Sec. 1301)									
	Public Lands Highway									
	Railway (Section 130)									
	Recreational Trails									
	Safe Routes to School (SRTS) (SAFETEA-LU)									
	Surface Transportation Program (Regional)									
Transportation and Community and System Preservation Program										
Transportation Improvements (TI)										
Other										
	Federal Highway Total									
FEDERAL RAILROAD ADMINISTRATION	American Recovery and Reinvestment Act of 2009									
	Passenger Rail Investment and Improvement Act of 2008 (PRIIA)									
	Other									
	Federal Railroad Administration Total									
	Federal Total									
INNOVATIVE FINANCE	TIFIA (Transportation Infrastructure Finance and Innovation Act)									
	State Infrastructure Bank									
	Section 129 Loans									
	Rail Rehab & Improvement Financing									
	Railroad Innovative Finance									
	Private Activity Bonds									
	Private Concession Fees									
	Private Donations									
	Program Income (from a federal project)									
	Other									
	Innovative Financing Total									
<b>REVENUE - PROGRAM TOTAL</b>										

A RESOLUTION OF THE SANTA BARBARA  
COUNTY ASSOCIATION OF GOVERNMENTS

AMENDING THE 2011 FEDERAL )  
TRANSPORTATION IMPROVEMENT )  
PROGRAM TO ADD FEDERAL TRANSIT )  
ADMINISTRATION (FTA) 5311 FUNDING )  
TO THE 2011 FTIP )

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RESOLUTION NO. 12-01

WHEREAS, Title 23 Code of Federal Regulations, part 450, and Title 49 Code of Federal Regulations, part 613, require the preparation and updating of a Federal Transportation Improvement Program (FTIP) by the Metropolitan Planning Organization; and

WHEREAS, the Santa Barbara County Association of Governments (SBCAG) has been designated as the Metropolitan Planning Organization for Santa Barbara County; and

WHEREAS, SBCAG, through the conduct of a continuing, comprehensive, and coordinated transportation planning process with applicable federal requirements, has prepared a 2011 FTIP; and

WHEREAS, the 2011 FTIP has been prepared in cooperation with state and local government agencies including Caltrans, local transit operators; and the Air Pollution Control District; and

WHEREAS, the 2011 FTIP must be consistent with the Regional Transportation Plan adopted pursuant to Government Code Section 6508; and

WHEREAS, the 2011 FTIP projects have been developed from the 2001 RTP, 2003 CMP, 2008 RTP and 2010 STIP; and

WHEREAS, all projects proposed for federal funding or approval under Title 23 Code of Federal Regulations and the Federal Transit Act must be included in a transportation improvement program; and

WHEREAS, because Santa Barbara County attained the federal eight-hour ozone standard, it is no longer required to follow air quality conformity measures nor the applicable State Implementation Plan (SIP) as required by the federal Clean Air Act (42 U.S.C. Section 7401 et seq.); and

WHEREAS, the 2011 FTIP was made available for public review and members of the public were given a reasonable opportunity to review the 2011 FTIP before it was adopted by the SBCAG Board on September 20, 2010; and

WHEREAS, revisions to the FTIP requested by Caltrans in order to incorporate the FTIP into the statewide FTIP by the end of the federal fiscal year were adopted by the SBCAG Board on September 20, 2010 after public review;

WHEREAS, the 2011 FTIP is financially constrained and funds needed to complete the 2011 FTIP are reasonably expected to be available.

NOW, THEREFORE, BE IT RESOLVED that the SBCAG Board of Directors amends the 2011 FTIP to include the changes identified in the Staff Report Item 7A, January 19, 2012.

BE IT FURTHER RESOLVED that the SBCAG Board of Directors finds that development of the 2011 FTIP was made in accordance with public involvement procedures originally adopted by SBCAG on November 10, 1994 and updated on March 24, 2002 and December 20, 2007; and

BE IT FURTHER RESOLVED that the SBCAG Board of Directors finds that 2011 FTIP is consistent with metropolitan planning regulations from 23 Code of Federal Regulations Part 450; and

BE IT FURTHER RESOLVED that the SBCAG Board of Directors finds that the amended 2011 FTIP is consistent with the 2008 RTP.

BE IT FURTHER RESOLVED that the 2011 FTIP as amended is financially constrained and funds needed to complete the 2011 FTIP are reasonably expected to be available.

BE IT FURTHER RESOLVED that because Santa Barbara County attained the federal eight-hour ozone standard, the 2011 FTIP is not required to follow air quality conformity measures nor the applicable State Implementation Plan (SIP) as required by the federal Clean Air Act (42 U.S.C. Section 7401 et seq.); and

BE IT FURTHER RESOLVED that the inclusion of any federally-funded projects in the 2011 FTIP, including all amendments, constitutes the federal project selection procedures for Santa Barbara County, and any projects programmed in the FTIP may proceed to implementation without further project selection action by SBCAG.

PASSED AND ADOPTED this 19<sup>TH</sup> day of January 2012 by the following vote:

AYES: DIRECTORS CARBAJAL, WOLF, FARR, STEVE LAVAGNINO, ALVAREZ, LINN, RICHARDSON, HOUSE, LARRY LAVAGNINO, ACEVES, SIERRA AND ARMENDARIZ

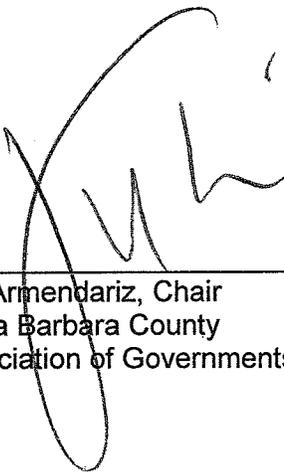
NOES:

ABSENT: DIRECTOR GRAY

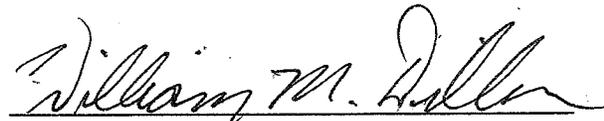
ABSTAIN:

ATTEST:

  
\_\_\_\_\_  
Jim Kemp  
Executive Director

  
\_\_\_\_\_  
Joe Armendariz, Chair  
Santa Barbara County  
Association of Governments

APPROVED AS TO FORM:

  
\_\_\_\_\_  
William Dillon  
Senior Deputy, County Counsel

William Dillon  
Senior Deputy, County Counsel