

## STAFF REPORT

**SUBJECT:** 2011 Federal Transportation Improvement Program Amendment

**MEETING DATE:** October 20, 2011

**AGENDA ITEM:** 7A

**STAFF CONTACT:** Sarkes Khachek

### RECOMMENDATION:

Approve Formal Amendment #10 to the 2011 Federal Transportation Improvement Program to program new federal transit funding and SHOPP Minor A funding for projects in Santa Barbara County.

### SUMMARY:

Caltrans has notified SBCAG of new funding that must be included in SBCAG's Federal Transportation Improvement Program (FTIP). SBCAG's role is to amend the funding changes into the FTIP—a single document that the public and government agencies can review to understand how, where and when federal funding and state funding on regionally significant projects will be spent in Santa Barbara County. An accurate project description, including scope and funding allocated, must be listed in the FTIP before federal funds can be expended on the project. The projects affected through this amendment are funded through the Federal Transit Administration's Elderly and Disabled Program (FTA 5310), the Intercity Transit Program (FTA 5311(f)), the Jobs Access/Reverse Commute Program (5316) and the SHOPP Minor A Program.

### DISCUSSION:

As the federally designated Metropolitan Planning Organization for Santa Barbara County, SBCAG is responsible for preparing and adopting the Federal Transportation Improvement Program. The FTIP identifies all federally funded highway, transit and other surface transportation projects in the county, along with their federal funding sources, that are scheduled for implementation in the next six years. The FTIP serves as the sole reference document that the federal government, Caltrans and the public can review to understand where, when and how federal transportation funds are being spent in Santa Barbara County, including those that SBCAG does and does not have programming discretion over. The FTIP requires periodic amending following its quadrennial adoption to reflect changes such as project additions, deletions, scope revisions and securing of additional federal funds by SBCAG and other agencies. The federal government requires that significant changes to the cost, scope or schedule of a project be approved through a formal amendment to the FTIP voted on by the SBCAG Board.

This staff report includes a formal amendment for the projects described below.

### **FTA 5310 – Elderly and Disabled Program**

This capital grants funding program was established to meet the transportation needs of elderly persons and persons with disabilities in areas where public mass transportation services are otherwise unavailable, insufficient, or inappropriate. It allows for the procurement of accessible vans and busses; communication equipment; mobility management activities; and computer hardware and software for eligible applicants.

The California Department of Transportation, as the administrator of the program for California, conducted a call for projects this past spring. Easy Lift Transportation and Santa Maria Organization of Transportation Helpers (SMOOTH) both were successful in receiving funding for transit vehicles and communication equipment. The total amount of new funding awarded for Santa Barbara County is nearly \$1 million.

#### **Easy Lift Transportation**

<b>Project Request</b>	<b>Funding Awarded</b>
<b>Total Request:</b>	<b>\$472,000</b>
Eight (8) Minivans	\$352,000
Two (2) Small Buses	\$120,000

#### **SMOOTH, Inc.**

<b>Project Request</b>	<b>Funding Awarded</b>
<b>Total Request:</b>	<b>\$469,500</b>
One (1) Large Bus	\$70,000
Base Station and Seven (7) Mobile Radios	\$9,500
Six (6) Medium Buses	\$390,000

The FTA 5310 funds must be programmed in the 2011 FTIP before funds can be expended by the transit operators.

### **FTA 5311(f) – Intercity Transit Program**

The FTA 5311(f) program provides funding for intercity transit systems in California. Caltrans conducted a call for projects for the Section 5311(f) program in the summer of 2011. SBCAG and the City of Santa Maria were both successful in receiving funding for projects in Santa Barbara County.

#### **North County Transit Plan Update - Grant Amount: \$85,500**

Funding was awarded to SBCAG to update the 2006 North County Transit Plan.

#### **New Service from Santa Ynez Valley to Santa Maria - Grant Amount: \$102,500**

The City of Santa Maria was successful in receiving funding for implementation of new transit service from the Santa Maria Urbanized Area to the Santa Ynez Valley.

The FTA 5311 funds must be programmed in the 2011 FTIP before funds can be expended.

## **FTA 5316 – Jobs Access and Reverse Commute (JARC) Program**

This program provides transportation services to and from training, employment, and childcare services to qualified welfare and low-income recipients. The cities of Lompoc and Santa Maria were awarded additional funds from Caltrans for previously awarded projects for FY 12/13.

<b>Agency</b>	<b>Project</b>	<b>Additional FTA 5316 Funding</b>
City of Lompoc Transit (COLT)	Lompoc to Solvang Transit Service Operations	Operations: \$40,000
Santa Maria Area Transit (SMAT)	Operation of Nighttime and Saturday Service	Operations: \$100,000

## **SHOPP Minor A Program**

The Minor A program consists of small value SHOPP projects for safety improvement, shoulder improvements, pavement resurfacing, and/or rehabilitation projects. Caltrans notified SBCAG of a project in Santa Barbara County that must be added to the FTIP.

### **Project Description:**

On U.S. 101, inside shoulder widening and rumble strip near Santa Maria from 0.3 mile south of Solomon Summit undercrossing to Clark Avenue Overcrossing.

**Funding Amount:** \$2.046 million

### **RECOMMENDATION:**

Approve Formal Amendment #10 to the 2011 Federal Transportation Improvement Program to program federal transit funding and SHOPP Minor A funding to projects in Santa Barbara County.

### **Attachments:**

Attachment A – Amendment #10 Resolution 11-22  
Attachment B – EZ Trak FTIP Report – Amendment #10  
Attachment C – SHOPP Minor Back-up List

A RESOLUTION OF THE SANTA BARBARA  
COUNTY ASSOCIATION OF GOVERNMENTS

AMENDING THE 2011 FEDERAL )  
TRANSPORTATION IMPROVEMENT )  
PROGRAM TO ADD SHOPP AND FEDERAL )  
TRANSIT FUNDING TO THE 2011 FTIP)

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RESOLUTION NO. 11-22

WHEREAS, Title 23 Code of Federal Regulations, part 450, and Title 49 Code of Federal Regulations, part 613, require the preparation and updating of a Federal Transportation Improvement Program (FTIP) by the Metropolitan Planning Organization; and

WHEREAS, the Santa Barbara County Association of Governments (SBCAG) has been designated as the Metropolitan Planning Organization for Santa Barbara County; and

WHEREAS, SBCAG, through the conduct of a continuing, comprehensive, and coordinated transportation planning process with applicable federal requirements, has prepared a 2011 FTIP; and

WHEREAS, the 2011 FTIP has been prepared in cooperation with state and local government agencies including Caltrans, local transit operators; and the Air Pollution Control District; and

WHEREAS, the 2011 FTIP must be consistent with the Regional Transportation Plan adopted pursuant to Government Code Section 6508; and

WHEREAS, the 2011 FTIP projects have been developed from the 2001 RTP, 2003 CMP, 2008 RTP and 2010 STIP; and

WHEREAS, all projects proposed for federal funding or approval under Title 23 Code of Federal Regulations and the Federal Transit Act must be included in a transportation improvement program; and

WHEREAS, because Santa Barbara County attained the federal eight-hour ozone standard, it is no longer required to follow air quality conformity measures nor the applicable State Implementation Plan (SIP) as required by the federal Clean Air Act (42 U.S.C. Section 7401 et seq.); and

WHEREAS, the 2011 FTIP was made available for public review and members of the public were given a reasonable opportunity to review the 2011 FTIP before it was adopted by the SBCAG Board on September 20, 2010; and

WHEREAS, revisions to the FTIP requested by Caltrans in order to incorporate the FTIP into the statewide FTIP by the end of the federal fiscal year were adopted by the SBCAG Board on September 20, 2010 after public review;

WHEREAS, the 2011 FTIP is financially constrained and funds needed to complete the 2011 FTIP are reasonably expected to be available.

NOW, THEREFORE, BE IT RESOLVED that the SBCAG Board of Directors amends the 2011 FTIP to include the changes identified in the Staff Report Item 7A, October 20 2011.

BE IT FURTHER RESOLVED that the SBCAG Board of Directors finds that development of the 2011 FTIP was made in accordance with public involvement procedures originally adopted by SBCAG on November 10, 1994 and updated on March 24, 2002 and December 20, 2007; and

BE IT FURTHER RESOLVED that the SBCAG Board of Directors finds that 2011 FTIP is consistent with metropolitan planning regulations from 23 Code of Federal Regulations Part 450; and

BE IT FURTHER RESOLVED that the SBCAG Board of Directors finds that the amended 2011 FTIP is consistent with the 2008 RTP.

BE IT FURTHER RESOLVED that the 2011 FTIP as amended is financially constrained and funds needed to complete the 2011 FTIP are reasonably expected to be available.

BE IT FURTHER RESOLVED that because Santa Barbara County attained the federal eight-hour ozone standard, the 2011 FTIP is not required to follow air quality conformity measures nor the applicable State Implementation Plan (SIP) as required by the federal Clean Air Act (42 U.S.C. Section 7401 et seq.); and

BE IT FURTHER RESOLVED that the inclusion of any federally-funded projects in the 2011 FTIP, including all amendments, constitutes the federal project selection procedures for Santa Barbara County, and any projects programmed in the FTIP may proceed to implementation without further project selection action by SBCAG.

PASSED AND ADOPTED this 20<sup>TH</sup> day of October 2011 by the following vote:

AYES: DIRECTORS WOLF, FARR, GRAY , STEVE LAVAGNINO, ALVAREZ, LINN, RICHARDSON, SCHNEIDER, LARRY LAVAGNINO, ACEVES, SIERRA AND CHAIR ARMENDAR

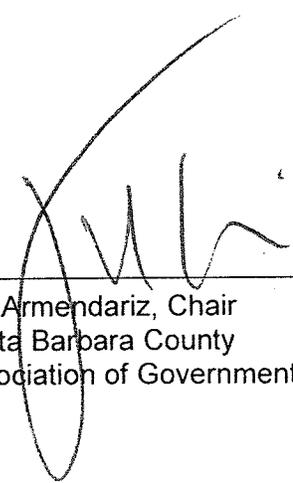
NOES:

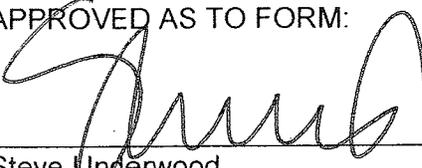
ABSENT: DIRECTOR CARBAJAL

ABSTAIN:

ATTEST:

  
\_\_\_\_\_  
Jim Kemp  
Executive Director

  
\_\_\_\_\_  
Joe Armendariz, Chair  
Santa Barbara County  
Association of Governments

APPROVED AS TO FORM:  
  
\_\_\_\_\_  
Steve Underwood  
Chief Assistant County Counsel

**Santa Barbara County Association of Governments**  
**2011 Federal Transportation Improvement Project List**

SBCAG ID <b>CT45</b>		Implementing Agency					
SBCAG ID # <b>CT45</b>	Last Revised <b>Amendment 10</b>	<b>Fiscal Year</b>	<b>Revenue Source</b>	<b>Engineering</b>	<b>Right of Way</b>	<b>Construction</b>	<b>Total Revenue</b>
		11/12	SHOPP (AC)-CT Minor Pam			\$2,046,000	\$2,046,000
Project Manager	Phone			\$0	\$0	\$2,046,000	\$2,046,000
Estimated Total Project Cost <b>\$0</b>	RTP ID Number <b>n/a</b>						
Project Title <b>Grouped Projects for SHOPP Minor Program</b>							
Project Description Railroad highway crossing, safety improvements, shoulder improvements, traffic control devices and operating assistance, intersection signalization projects at individual intersections, pavement marking demonstration, truck climbing lanes outside the urbanized area, lighting improvements, emergency truck pullovers, pavement resurfacing and /or rehabilitation, emergency relief (23 U.S.C. 125), widening narrow pavements or reconstruction bridges (no additional travel lanes.) Projects are consistent with the applicable classifications under 23 CFR 771.117(c) and (d).							
<b>Includes 1 Project</b>		Total Cost	<b>\$2,046,000</b>				

SBCAG ID <b>EZLIFT05</b>		Implementing Agency <b>Easy Lift Transportation</b>					
SBCAG ID # <b>EZLIFT05</b>	Last Revised <b>Amendment 10</b>	<b>Fiscal Year</b>	<b>Revenue Source</b>	<b>Engineering</b>	<b>Right of Way</b>	<b>Construction</b>	<b>Total Revenue</b>
		10/11	FTA 5310			\$352,000	\$352,000
Project Manager <b>Ernesto Paredes</b>	Phone <b>(805) 681-1410</b>			\$0	\$0	\$352,000	\$352,000
Estimated Total Project Cost <b>\$352,000</b>	RTP ID Number <b>n/a</b>						
Project Title <b>Easy Lift 2011 FTA 5310 Project - Eight (8) Replacement Mini-vans</b>							
Project Description <b>Purchase of eight (8) replacement mini-vans for Easy Lift Transportation.</b>							
<b>Federal</b>		Total Cost	<b>\$352,000</b>				

**Santa Barbara County Association of Governments**  
**2011 Federal Transportation Improvement Project List**

SBCAG ID **EZLIFT06** Implementing Agency **Easy Lift Transportation**

SBCAG ID #	Last Revised	Fiscal Year	Revenue Source	Engineering	Right of Way	Construction	Total Revenue
EZLIFT06	Amendment 10	10/11	FTA 5310			\$120,000	\$120,000
				\$0	\$0	\$120,000	\$120,000

Project Manager: **Ernesto Paredes**  
 Phone: **(805) 681-1410**  
 Estimated Total Project Cost: **\$120,000**  
 RTP ID Number: **n/a**

Project Title: **Easy Lift 2011 FTA 5310 Project - Two (2) Small Buses**  
 Project Description: **Purchase of two (2) Small Buses for Easy Lift Transportation.**

**Federal** Total Cost **\$120,000**

**Santa Barbara County Association of Governments**  
**2011 Federal Transportation Improvement Project List**

SBCAG ID **LOMPOC13**

Implementing Agency **Lompoc, City of**

SBCAG ID # **LOMPOC13** Last Revised **Amendment 10**

Project Manager **Richard Fernbaught** Phone **(805) 875-8268**

Estimated Total Project Cost **\$317,800** RTP ID Number **n/a**

Project Title **Wine Country Express Operations (Lompoc to Solvang)**  
 Project Description **Wine Country Express Operating Assistance.**

Fiscal Year	Revenue Source	Engineering	Right of Way	Construction	Total Revenue
08/09	FTA 5316 - JARC			\$36.400	\$36.400
08/09	TDA			\$36.400	\$36.400
09/10	FTA 5316 - JARC			\$38.650	\$38.650
09/10	TDA			\$38.650	\$38.650
10/11	FTA 5316 - JARC			\$40.900	\$40.900
10/11	TDA			\$40.900	\$40.900
11/12	FTA 5316 - JARC			\$42.950	\$42.950
11/12	TDA			\$42.950	\$42.950
12/13	FTA 5316 - JARC			\$40.000	\$40.000
12/13	TDA			\$40.000	\$40.000
<b>\$0</b>				<b>\$0</b>	<b>\$397,800</b>
<b>\$0</b>				<b>\$0</b>	<b>\$397,800</b>

**Federal** Total Cost **\$397,800**

**Previously Approved FTIP**

SBCAG ID # **LOMPOC13** Last Revised **Adoption**

Project Manager **Richard Fernbaught** Phone **(805) 875-8268**

Estimated Total Project Cost **\$317,800** RTP ID Number **n/a**

Project Title **Wine Country Express Operations (Lompoc to Solvang)**  
 Project Description **Wine Country Express Operating Assistance.**

Fiscal Year	Revenue Source	Engineering	Right of Way	Construction	Total Revenue
08/09	FTA 5316 - JARC			\$36.400	\$36.400
08/09	TDA			\$36.400	\$36.400
09/10	FTA 5316 - JARC			\$38.650	\$38.650
09/10	TDA			\$38.650	\$38.650
10/11	FTA 5316 - JARC			\$40.900	\$40.900
10/11	TDA			\$40.900	\$40.900
11/12	FTA 5316 - JARC			\$42.950	\$42.950
11/12	TDA			\$42.950	\$42.950
<b>\$0</b>				<b>\$0</b>	<b>\$317,800</b>
<b>\$0</b>				<b>\$0</b>	<b>\$317,800</b>

**Federal Project** Total Cost **\$317,800**

**Santa Barbara County Association of Governments**  
**2011 Federal Transportation Improvement Project List**

SBCAG ID **SMOOTH07** Implementing Agency **Santa Maria Organization of Transportation Helpers**

Fiscal Year	Revenue Source	Engineering	Right of Way	Construction	Total Revenue
10/11	FTA 5310			\$2,500	\$2,500
		\$0	\$0	\$2,500	\$2,500

SBCAG ID # **SMOOTH07** Last Revised **Amendment 10**  
 Project Manager **Jim Talbott** Phone **(805) 922-8476**  
 Estimated Total Project Cost **\$2,500** RTP ID Number **n/a**

Project Title  
**SMOOTH 2011 FTA 5310 Project - One (1) Base Station**  
 Project Description  
**Purchase of one (1) base station for SMOOTH Transportation.**

**Federal** Total Cost **\$2,500**

SBCAG ID **SMOOTH06** Implementing Agency **Santa Maria Organization of Transportation Helpers**

Fiscal Year	Revenue Source	Engineering	Right of Way	Construction	Total Revenue
10/11	FTA 5310			\$70,000	\$70,000
		\$0	\$0	\$70,000	\$70,000

SBCAG ID # **SMOOTH06** Last Revised **Amendment 10**  
 Project Manager **Jim Talbott** Phone **(805) 922-8476**  
 Estimated Total Project Cost **\$70,000** RTP ID Number **n/a**

Project Title  
**SMOOTH 2011 FTA 5310 Project - One (1) Large Bus**  
 Project Description  
**Purchase of one (1) Large Bus for SMOOTH Transportation.**

**Federal** Total Cost **\$70,000**

**Santa Barbara County Association of Governments**  
**2011 Federal Transportation Improvement Project List**

SBCAG ID **SMOOTH08** Implementing Agency **Santa Maria Organization of Transportation Helpers**

Fiscal Year	Revenue Source	Engineering	Right of Way	Construction	Total Revenue
10/11	FTA 5310			\$7,000	\$7,000
		\$0	\$0	\$7,000	\$7,000

SBCAG ID # **SMOOTH08** Last Revised **Amendment 10**  
 Project Manager **Jim Talbott** Phone **(805) 922-8476**  
 Estimated Total Project Cost **\$7,000** RTP ID Number **n/a**

Project Title  
**SMOOTH 2011 FTA 5310 Project - Seven (7) Mobile Radios**  
 Project Description  
**Purchase of seven (7) mobile radios for SMOOTH Transportation.**

**Federal** Total Cost **\$7,000**

SBCAG ID **SMOOTH05** Implementing Agency **Santa Maria Organization of Transportation Helpers**

Fiscal Year	Revenue Source	Engineering	Right of Way	Construction	Total Revenue
10/11	FTA 5310			\$390,000	\$390,000
		\$0	\$0	\$390,000	\$390,000

SBCAG ID # **SMOOTH05** Last Revised **Amendment 10**  
 Project Manager **Jim Talbott** Phone **(805) 922-8476**  
 Estimated Total Project Cost **\$390,000** RTP ID Number **n/a**

Project Title  
**SMOOTH 2011 FTA 5310 Project - Six (6) Medium Buses**  
 Project Description  
**Purchase of six (6) Medium Buses for SMOOTH Transportation.**

**Federal** Total Cost **\$390,000**

**Santa Barbara County Association of Governments**  
**2011 Federal Transportation Improvement Project List**

SBCAG ID **SM41** Implementing Agency **Santa Maria, City of**

SBCAG ID # **SM41** Last Revised **Amendment 10**  
 Project Manager **Austin O'Dell** Phone **(805) 925-0951**  
 Estimated Total Project Cost **\$185,000** RTP ID Number **n/a**

Fiscal Year	Revenue Source	Engineering	Right of Way	Construction	Total Revenue
10/11	FTA 5311F			\$102,500	\$102,500
10/11	TDA			\$82,500	\$82,500
		\$0	\$0	\$185,000	\$185,000

Project Title  
**FTA 5311f - Intercity transit operations from Santa Maria to Santa Ynez Valley**  
 Project Description  
**Funding for operation of intercity transit service from Santa Maria Urbanized Area to the Santa Ynez Valley.**

**Federal** Total Cost **\$185,000**

**Santa Barbara County Association of Governments**  
**2011 Federal Transportation Improvement Project List**

SBCAG ID **SM30**

Implementing Agency **Santa Maria, City of**

SBCAG ID # **SM30** Last Revised **Amendment 10**

Project Manager **Austin O'Dell** Phone **(805) 925-0951**

Estimated Total Project Cost **\$535,000** RTP ID Number **SMAT 3**

Project Title **Nighttime Transit Service**

Project Description **SMAT operating assistance for expanded fixed route and ADA evening and Saturday service.**

Fiscal Year	Revenue Source	Engineering	Right of Way	Construction	Total Revenue
06/07	FTA 5316 - JARC			\$68,000	\$68,000
06/07	TDA			\$67,000	\$67,000
08/09	FTA 5316 - JARC			\$50,000	\$50,000
08/09	TDA			\$50,000	\$50,000
09/10	FTA 5316 - JARC			\$50,000	\$50,000
09/10	TDA			\$50,000	\$50,000
10/11	FTA 5316 - JARC			\$50,000	\$50,000
10/11	TDA			\$50,000	\$50,000
11/12	FTA 5316 - JARC			\$50,000	\$50,000
11/12	TDA			\$50,000	\$50,000
12/13	FTA 5316 - JARC			\$100,000	\$100,000
12/13	TDA			\$100,000	\$100,000
<b>\$0</b>				<b>\$0</b>	<b>\$735,000</b>

**Federal**

Total Cost **\$735,000**

**Previously Approved FTIP**

SBCAG ID # **SM30** Last Revised **Adoption**

Project Manager **Austin O'Dell** Phone **(805) 925-0951**

Estimated Total Project Cost **\$535,000** RTP ID Number **SMAT 3**

Project Title **Nighttime Transit Service**

Project Description **SMAT operating assistance for expanded fixed route and ADA evening and Saturday service.**

Fiscal Year	Revenue Source	Engineering	Right of Way	Construction	Total Revenue
06/07	FTA 5316 - JARC			\$68,000	\$68,000
06/07	TDA			\$67,000	\$67,000
08/09	FTA 5316 - JARC			\$50,000	\$50,000
08/09	TDA			\$50,000	\$50,000
09/10	FTA 5316 - JARC			\$50,000	\$50,000
09/10	TDA			\$50,000	\$50,000
10/11	FTA 5316 - JARC			\$50,000	\$50,000
10/11	TDA			\$50,000	\$50,000
11/12	FTA 5316 - JARC			\$50,000	\$50,000
11/12	TDA			\$50,000	\$50,000
<b>\$0</b>				<b>\$0</b>	<b>\$535,000</b>

**Federal Project**

Total Cost **\$535,000**

**Santa Barbara County Association of Governments**  
**2011 Federal Transportation Improvement Project List**

SBCAG ID **SBCAG22** Implementing Agency **SBCAG**

SBCAG ID # **SBCAG22** Last Revised **Amendment 10**

Project Manager **Peter Imhoff** Phone **(805) 961-8900**

Estimated Total Project Cost **\$96,610** RTP ID Number **n/a**

Project Title **FTA 5311f SBCAG North County Transit Plan Update**

Project Description **Update of the SBCAG North County Transit Plan.**

Fiscal Year	Revenue Source	Engineering	Right of Way	Construction	Total Revenue
10/11	FTA 5311F	\$85,500			\$85,500
10/11	TDA	\$11,110			\$11,110
		<b>\$96,610</b>	<b>\$0</b>	<b>\$0</b>	<b>\$96,610</b>

**Federal** Total Cost **\$96,610**

**SBCAG SHOPP Lump Sum Project Listings - Minor Program (FTIP Project ID: CT45)**

**Amendment #10**

Figures in thousands

Dist	County	Route	Post Miles	Location/Description	EA	FY	PE	RW	CONST	Project Cost	
<b>SHOPP Minor Program (FTIP Project ID: CT45)</b>											
05	Santa Barbara	101	78.4/82.2	Inside shoulder widening and rumble strip near Santa Maria from 0.3 mile south of Solomon Summit undercrossing to Clark Avenue Overcrossing.	1A220	2011/12	\$1,041	\$5	\$1,000	<b>\$2,046</b>	
							<b>TOTAL</b>	<b>\$1,041</b>	<b>\$5</b>	<b>\$1,000</b>	<b>\$2,046</b>

**Summary of Changes**  
**SBCAG 2011 Formal Amendment No. 10**

MPO FTIP ID	PROJECT TITLE	DESCRIPTION OF CHANGE	Phase	Fund Type	PRIOR CTIPS Amt.	CURRENT CTIPS Amt.	Net Change	Net Change Total	FFY	Financial Table Fund Source Category	%	Comments
Lompoc13	Wine Country Express Operations	Add new funding	CON	FTA 5316	0	40,000	40,000	\$80,000	FY12/13	FTA 5316	25%	
				TDA	0	40,000	40,000		FY12/13	Local Other		
SM30	Nighttime Transit Service	Add new funding	CON	FTA 5316	0	\$50,000	50,000	\$100,000	FY12/13	FTA 5316	37%	
				TDA	0	\$50,000	50,000		FY12/13	Local Other		
CT45	Grouped Projects for SHOPP Minor Program	Add new lump sum listing	CON	SHOPP (AC)-CT Minor Program	0	\$2,046,000	2,046,000	2,046,000	FY 11/12	State Minor Program		
SBCAG22	FTA 5311f SBCAG North County Transit Plan	Add new project and funding	PE	FTA 5311	0	\$85,500	85,500	85,500	FY 10/11	FTA 5311		
				TDA	0	\$11,110	11,110	11,110	FY 10/11	TDA		
SM41	FTA 5311f Intercity transit operations from Santa Maria to Santa Ynez Valley	Add new project and funding	CON	FTA 5311	0	\$102,500	102,500	102,500	FY 10/11	FTA 5311		
				TDA	0	\$82,500	82,500	82,500	FY 10/11	TDA		
EZLIFT05	Easy Lift 2011 FTA 5310 Project - Eight (8) Replacement Mini-vans	Add new project and funding	CON	FTA 5310	0	\$352,000	352,000	352,000	FY 10/11	FTA 5310		
EZLIFT06	Easy Lift 2011 FTA 5310 Project - Two (2) Small Buses	Add new project and funding	CON	FTA 5310	0	\$120,000	120,000	120,000	FY 10/11	FTA 5310		
SMOOTH05	SMOOTH 2011 FTA 5310 Project - Six (6) Medium Buses	Add new project and funding	CON	FTA 5310	0	\$390,000	390,000	390,000	FY 10/11	FTA 5310		
SMOOTH06	SMOOTH 2011 FTA 5310 Project - One (1) Large Bus	Add new project and funding	CON	FTA 5310	0	\$70,000	70,000	70,000	FY 10/11	FTA 5310		
SMOOTH07	SMOOTH 2011 FTA 5310 Project - One (1) Base Station	Add new project and funding	CON	FTA 5310	0	\$2,500	2,500	2,500	FY 10/11	FTA 5310		
SMOOTH08	SMOOTH 2011 FTA 5310 Project - Seven (7) Mobile Radios	Add new project and funding	CON	FTA 5310	0	\$7,000	7,000	7,000	FY 10/11	FTA 5310		

TABLE 1: REVENUE

MPO's Name  
 2010/11-2013/14 Federal Transportation Improvement Program  
 Amendment No.  
 (\$'s in 1,000)

Funding Source	NOTES	4 YEARS (FSTIP Cycle)								CURRENT TOTAL
		2010/11		2011/12		2012/13		2013/14		
		Amendment		Amendment		Amendment		Amendment		
		Prior No. 6	Current No. 10	Prior No. 6	Current No. 10	Prior No. 6	Current No. 10	Prior No. 6	Current No. 10	
LOCAL	Sales Tax									
	- City									
	- County									
	Gas Tax									
	- Gas Tax (Subventions to Cities)									
	- Gas Tax (Subventions to Counties)									
	Other Local Funds	\$547	\$547	\$594	\$594	\$241	\$241			\$1,382
	- County General Funds									
	- City General Funds	\$345	\$345	\$414	\$414	\$241	\$241			\$1,000
	- Street Taxes and Developer Fees	\$202	\$202	\$180	\$180					\$382
- RSTP Exchange funds										
Transit										
- Transit Fares										
Tolls (e.g. non-state owned bridges)										
Other (See Appendix 1)	\$9,589	\$9,475	\$16,664	\$16,773	\$110	\$110	\$1,291	\$1,324	\$27,682	
Local Total	\$10,136	\$10,022	\$17,258	\$17,367	\$241	\$351	\$1,291	\$1,324	\$29,064	
REGIONAL	Tolls									
	- Bridge									
	- Corridor									
	Regional Transit Fares/Measures									
	Regional Sales Tax	\$10,686	\$10,686	\$9,505	\$9,505			\$140,000	\$140,000	\$160,191
	Regional Bond Revenue									
	Regional Gas Tax									
Vehicle Registration Fees (CARB Fees, SAFE)										
Other (See Appendix 2)										
Regional Total	\$10,686	\$10,686	\$9,505	\$9,505			\$140,000	\$140,000	\$160,191	
STATE	State Highway Operations and Protection Program									
	SHOPP (Including Augmentation)	\$11,838	\$11,838	\$47,800	\$10,179	\$9,123	\$32,810	\$14,369	\$32,083	\$86,910
	SHOPP Prior									
	State Minor Program				\$2,046					\$2,046
	State Transportation Improvement Program	\$8,621	\$6,621	\$9,745	\$11,745	\$28,057	\$28,057	\$6,220	\$6,220	\$52,643
	STIP (Including Augmentation)	\$7,716	\$5,716	\$9,745	\$11,745	\$28,057	\$28,057	\$6,220	\$6,220	\$51,738
	- Transportation Enhancement	\$905	\$905							\$905
	STIP Prior									
	- Transportation Enhancement									
	Proposition 1 A									
	Proposition 1 B	\$86,602	\$86,579	\$10,013	\$10,019	\$220	\$220			\$96,818
	GARVEE Bonds (Includes Debt Service Payments)									
	Highway Maintenance (HM)	\$13,981	\$13,981					\$922	\$922	\$13,981
	Traffic Congestion Relief Program (TCRP)									\$922
State Transit Assistance (STA)(e.g. population/revenue based, Prop 42)										
Safe Routes to School (SR2S)										
State Emergency Repair Program										
Other (See Appendix 3)	\$1,563	\$1,563	\$9,731	\$9,731					\$11,294	
State Total	\$122,605	\$120,582	\$77,369	\$41,674	\$37,400	\$61,087	\$21,511	\$39,225	\$262,558	
FEDERAL TRANSIT	5307 - Urbanized Area Formula Program	\$8,054	\$8,054	\$8,175	\$8,175					\$16,229
	5308 - Clean Fuel Formula Program									
	5309a - Fixed Guideway Modernization									
	5309b - New and Small Starts (Capital Investment Grants)									
	5309c - Bus and Bus Related Grants									
	5310 - Elderly & Persons with Disabilities Formula Program	\$164	\$1,105							\$1,105
	5311 - Nonurbanized Area Formula Program	\$164	\$164							\$164
	5311c - Public Transportation on Indian Reservation									
	5311e - Intercity Bus		\$188							\$188
	5316 - Job Access and Reverse Commute Program	\$91	\$91	\$93	\$93		\$90			\$274
	5317 - New Freedom	\$180	\$180	\$180	\$180					\$360
	5320 - Transit in the Parks									
	FTA Transfer from Prior FTIP									
Other (See Appendix 4)						\$90			\$18,320	
Federal Transit Total	\$8,653	\$9,782	\$8,448	\$8,448		\$90			\$18,320	
FEDERAL HIGHWAY	Bridge Discretionary Program									
	Congestion Mitigation and Air Quality (CMAQ)									
	Coordinated Border Infrastructure (SAFETEA-LU Sec.1303)									
	Corridor Infrastructure Improvement Program (SAFETEA-LU Sec. 1302)									
	Federal Lands Highway									
	Ferry Boat Discretionary									
	High Priority Projects (HPP) and Demo	\$3,943	\$3,943	\$1,113	\$1,113					\$5,056
	High Risk Rural Road (HRRR)	\$538	\$538							\$538
	Highway Bridge Program (HBP)	\$16,846	\$15,697	\$27,417	\$28,354	\$2,495	\$2,650	\$9,961	\$10,215	\$56,916
	Highway Safety Improvement Program (HSIP)	\$759	\$759	\$1,925	\$1,025	\$961	\$1,861			\$3,645
	National Scenic Byways Program									
	Projects of National/Regional Significance (SAFETEA-LU Sec. 1301)									
	Public Lands Highway									
	Railway (Section 130)									
	Recreational Trails	\$297	\$297							\$297
Safe Routes to School (SRTS) (SAFETEA-LU)	\$1,121	\$1,121	\$379	\$379					\$1,500	
Surface Transportation Program (Regional)	\$1,544	\$1,544							\$1,544	
Transportation and Community and System Preservation Program										
Transportation Improvements (TI)										
Other (see Appendix 5)	\$587	\$587							\$587	
Federal Highway Total	\$25,635	\$24,486	\$30,800	\$30,871	\$3,456	\$4,511	\$9,961	\$10,215	\$70,083	
FEDERAL RAILROAD ADMINISTRATION	American Recovery and Reinvestment Act of 2009									
	Passenger Rail Investment and Improvement Act of 2008 (PRIIA)									
	Other (see Appendix 6)									
Federal Railroad Administration Total										
Federal Total	\$34,288	\$34,268	\$39,337	\$39,319	\$3,456	\$4,601	\$9,961	\$10,215	\$88,403	
INNOVATIVE FINANCE	TIFIA (Transportation Infrastructure Finance and Innovation Act)									
	State Infrastructure Bank									
	Section 129 Loans									
	Rail Rehab & Improvement Financing									
	Railroad Innovative Finance									
	Private Activity Bonds									
	Private Concession Fees									
	Private Donations									
Program Income (from a federal project)										
Other (See Appendix 7)										
Innovative Financing Total										
REVENUE TOTAL		\$177,715	\$175,558	\$143,469	\$107,865	\$41,097	\$66,039	\$172,763	\$190,764	\$540,226

MPO Financial Summary Notes:



**TABLE 2: PROGRAMMED**

**MPO's Name**  
**2010/11-2013/14 Federal Transportation Improvement Program**  
**Amendment No.**  
**(\$'s in 1,000)**

Funding Source		NOTES	4 YEARS (FSTIP Cycle)								CURRENT TOTAL
			2010/11 Amendment		2011/12 Amendment		2012/13 Amendment		2013/14 Amendment		
			Prior No. 6	Current No. 10	Prior No. 6	Current No. 10	Prior No. 6	Current No. 10	Prior No. 6	Current No. 10	
LOCAL	Local Total		\$10,136	\$10,022	\$17,250	\$17,367	\$241	\$351	\$1,291	\$1,324	\$29,064
	Tolls										
REGIONAL	-- Bridge										
	-- Corridor										
	Regional Transit Fares/Measures										
	Regional Sales Tax		\$10,686	\$10,686	\$9,505	\$9,505			\$140,000	\$140,000	\$160,191
	Regional Bond Revenue										
	Regional Gas Tax										
	Vehicle Registration Fees (CARB Fees, SAFE) Other (See Appendix A)										
Regional Total		\$10,686	\$10,686	\$9,505	\$9,505			\$140,000	\$140,000	\$160,191	
STATE	Slate Highway Operations and Protection Program		\$11,838	\$11,838	\$47,880	\$10,179	\$9,123	\$32,810	\$14,369	\$32,083	\$86,910
	SHOPP (Including Augmentation)		\$11,838	\$11,838	\$47,880	\$8,133	\$9,123	\$32,810	\$14,369	\$32,083	\$84,864
	SHOPP Prior										
	Slate Minor Program					\$2,046					\$2,046
	Slate Transportation Improvement Program		\$8,621	\$6,621	\$9,745	\$11,745	\$28,057	\$28,057	\$6,220	\$6,220	\$52,643
	STIP (Including Augmentation)		\$7,716	\$5,716	\$9,745	\$11,745	\$28,057	\$28,057	\$6,220	\$6,220	\$51,738
	Transportation Enhancement		\$905	\$905							\$905
	STIP Prior										
	Transportation Enhancement										
	Proposition 1 A										
	Proposition 1 B		\$86,602	\$86,579	\$10,013	\$10,019	\$220	\$220			\$96,818
	GARVEE Bonds (Includes Debt Service Payments)										
	Highway Maintenance (HM)		\$13,981	\$13,981							\$13,981
	Traffic Congestion Relief Program (TCRP)								\$922	\$922	\$922
	Slate Transit Assistance (STA)(e.g., population/revenue based, Prop 42)										
Safe Routes to School (SR2S)											
Slate Emergency Repair Program											
Other (See Appendix B)		\$1,563	\$1,563	\$9,731	\$9,731					\$11,294	
State Total		\$122,605	\$120,582	\$77,369	\$41,674	\$37,400	\$61,087	\$21,511	\$39,225	\$262,568	
FEDERAL TRANSIT	5307 - Urbanized Area Formula Program		\$8,054	\$8,054	\$8,175	\$8,175					\$16,229
	5308 - Clean Fuel Formula Program										
	5309a - Fixed Guideway Modernization										
	5309b - New and Small Starts (Capital Investment Grants)										
	5309c - Bus and Bus Related Grants										
	5310 - Elderly & Persons with Disabilities Formula Program		\$164	\$1,105							\$1,105
	5311 - Nonurbanized Area Formula Program		\$164	\$164							\$164
	5311c - Public Transportation on Indian Reservation										
	5311f - Intercity Bus			\$188							\$188
	5316 - Job Access and Reverse Commute Program		\$91	\$91	\$93	\$93		\$90			\$274
	5317 - New Freedom		\$180	\$180	\$180	\$180					\$360
	5320 - Transit in the Parks										
	FTA Transfer from Prior FTIP										
	Other (See Appendix C)										
Federal Transit Total		\$8,653	\$9,782	\$8,448	\$8,448		\$90			\$18,320	
FEDERAL HIGHWAY	Bridge Discretionary Program										
	Congestion Mitigation and Air Quality (CMAQ)										
	Coordinated Border Infrastructure (SAFETEA-LU Sec.1303)										
	Corridor Infrastructure Improvement Program (SAFETEA-LU Sec. 1302)										
	Federal Lands Highway										
	Ferry Boat Discretionary										
	High Priority Projects (HPP) and Demo		\$3,943	\$3,943	\$1,113	\$1,113					\$5,056
	High Risk Rural Road (HRRR)		\$538	\$538							\$538
	Highway Bridge Program (HBP)		\$16,846	\$15,697	\$27,472	\$28,354	\$2,495	\$2,650	\$9,961	\$10,215	\$56,916
	Highway Safety Improvement Program (HSIP)		\$759	\$759	\$1,925	\$1,025	\$961	\$1,861			\$3,645
	National Scenic Byways Program										
	Projects of National/Regional Significance (SAFETEA-LU Sec. 1301)										
	Public Lands Highway										
	Railway (Section 130)										
	Recreational Trails		\$297	\$297							\$297
	Safe Routes to School (SRTS) (SAFETEA-LU)		\$1,121	\$1,121	\$379	\$379					\$1,500
	Surface Transportation Program (Regional)		\$1,544	\$1,544							\$1,544
Transportation and Community and System Preservation Program											
Transportation Improvements (TI)											
Other (see Appendix D)		\$587	\$587							\$587	
Federal Highway Total		\$25,635	\$24,486	\$30,889	\$30,871	\$3,456	\$4,511	\$9,961	\$10,215	\$70,083	
FEDERAL ADMINISTRATION	American Recovery and Reinvestment Act of 2009 (ARRA)										
	Passenger Rail Investment and Improvement Act of 2008 (PRIIA)										
	Other (see Appendix E)										
Federal Railroad Administration Total											
Federal Total		\$34,288	\$34,268	\$39,337	\$39,319	\$3,456	\$4,601	\$9,961	\$10,215	\$88,403	
INNOVATIVE FINANCE	TIFIA (Transportation Infrastructure Finance and Innovation Act)										
	State Infrastructure Bank										
	Section 129 Loans										
	Rail Rehab & Improvement Financing										
	Railroad Innovative Finance										
	Private Activity Bonds										
	Private Concession Fees										
	Private Donations										
	Program Income (from a federal project)										
	Other (See Appendix F)										
Innovative Financing Total											
<b>PROGRAMMED TOTAL</b>		<b>\$177,715</b>	<b>\$175,558</b>	<b>\$143,469</b>	<b>\$107,865</b>	<b>\$41,097</b>	<b>\$66,039</b>	<b>\$172,763</b>	<b>\$190,764</b>	<b>\$540,226</b>	

MPO Financial Summary Notes:



**TABLE 3: REVENUE-PROGRAMMED**

MPO's Name  
 2010/11-2013/14 Federal Transportation Improvement Program  
 Amendment No.  
 (\$'s in 1,000)

Funding Source		4 YEARS (FSTIP Cycle)								CURRENT TOTAL
		2010/11		2011/12		2012/13		2013/14		
		Amendment		Amendment		Amendment		Amendment		
		Prior No. 6	Current No. 10	Prior No. 6	Current No. 10	Prior No. 6	Current No. 10	Prior No. 6	Current No. 10	
<b>LOCAL</b>	Local Total									
<b>REGIONAL</b>	Tolls									
	-- Bridge									
	-- Corridor									
	Regional Transit Fares/Measures									
	Regional Sales Tax									
	Regional Bond Revenue									
	Regional Gas Tax									
	Vehicle Registration Fees (CARB Fees, SAFE) Other									
	Regional Total									
<b>STATE</b>	State Highway Operations and Protection Program									
	SHOPP (Including Augmentation)									
	SHOPP Prior									
	State Minor Program									
	State Transportation Improvement Program									
	STIP (Including Augmentation)									
	Transportation Enhancement									
	STIP Prior									
	Transportation Enhancement									
	Proposition 1 A									
	Proposition 1 B									
	GARVEE Bonds (Includes Debt Service Payments)									
	Highway Maintenance (HM)									
	Traffic Congestion Relief Program (TCRP)									
	State Transit Assistance (STA)(e.g., population/revenue based, Prop 42)									
Safe Routes to School (SR2S)										
State Emergency Repair Program										
Other										
	State Total									
<b>FEDERAL TRANSIT</b>	5307 - Urbanized Area Formula Program									
	5308 - Clean Fuel Formula Program									
	5309a - Fixed Guideway Modernization									
	5309b - New and Small Starts (Capital Investment Grants)									
	5309c - Bus and Bus Related Grants									
	5310 - Elderly & Persons with Disabilities Formula Program									
	5311 - Nonurbanized Area Formula Program									
	5311c - Public Transportation on Indian Reservation									
	5311f - Intercity Bus									
	5316 - Job Access and Reverse Commute Program									
	5317 - New Freedom									
	5320 - Transit in the Parks									
	FTA Transfer from Prior FTIP									
	Other									
	Federal Transit Total									
<b>FEDERAL HIGHWAY</b>	Bridge Discretionary Program									
	Congestion Mitigation and Air Quality (CMAQ)									
	Coordinated Border Infrastructure (SAFETEA-LU Sec.1303)									
	Corridor Infrastructure Improvement Program (SAFETEA-LU Sec. 1302)									
	Federal Lands Highway									
	Ferry Boat Discretionary									
	High Priority Projects (HPP) and Demo									
	High Risk Rural Road (HRRR)									
	Highway Bridge Program (HBP)									
	Highway Safety Improvement Program (HSIP)									
	National Scenic Byways Program									
	Projects of National/Regional Significance (SAFETEA-LU Sec. 1301)									
	Public Lands Highway									
	Railway (Section 130)									
	Recreational Trails									
	Safe Routes to School (SRTS) (SAFETEA-LU)									
	Surface Transportation Program (Regional)									
	Transportation and Community and System Preservation Program									
Transportation Improvements (TI)										
Other										
	Federal Highway Total									
<b>FEDERAL RAILROAD ADMINISTRATION</b>	American Recovery and Reinvestment Act of 2009									
	Passenger Rail Investment and Improvement Act of 2008 (PRIIA)									
	Other									
	Federal Railroad Administration Total									
<b>INNOVATIVE FINANCE</b>	Federal Total									
	TIFIA (Transportation Infrastructure Finance and Innovation Act)									
	State Infrastructure Bank									
	Section 129 Loans									
	Rail Rehab & Improvement Financing									
	Railroad Innovative Finance									
	Private Activity Bonds									
	Private Concession Fees									
	Private Donations									
	Program Income (from a federal project)									
Other										
	Innovative Financing Total									
<b>REVENUE - PROGRAM TOTAL</b>										