

Memorandum

To: CHAIR AND COMMISSIONERS
CALIFORNIA TRANSPORTATION COMMISSION

CTC Meeting: October 21-22, 2015

Reference No.: 3.11
Information Item

From: NORMA ORTEGA
Chief Financial Officer

Prepared by: Rihui Zhang, Chief
Division of Local Assistance

Subject: **QUARTERLY REPORT - LOCAL ASSISTANCE LUMP SUM ALLOCATION FOR THE PERIOD ENDING JUNE 30, 2015**

SUMMARY:

As of June 30, 2015, about \$579 million, or 34 percent, of the \$1.7 billion allocated by the California Transportation Commission (Commission) for Federal Fiscal Year (FFY) 2015 has been sub-allocated to 838 local projects. The majority of the sub-allocations (approximately \$399 million) are for 444 projects in the following three categories:

- National Highway Performance Program (NHPP) & RSTP Bridge – 234 projects, \$181 million
- Regional Surface Transportation Program (RSTP) – 139 projects, \$149 million
- High Priority Projects/Demonstration Projects/Emergency Relief – 71 projects, \$69 million

The remaining \$180 million was sub-allocated for 394 projects in other categories (as referenced with an asterisk on the attachment).

BACKGROUND:

The California Department of Transportation's (Department) Division of Local Assistance (DLA) administers the local assistance subvention budget under delegated authority from the Commission. The Commission provides an annual lump sum allocation consistent with each fiscal year's Budget Act. The Commission further delegates to the Department the authority to adjust allocations between categories, and the Department reports to the Commission if transfers in or out of an expenditure category exceed 10 percent of its allocation, per Commission Resolution G-01-08.

Although the sub-allocated percentage is only 34 percent for period ending June 30, 2015 the next quarterly report will see a major increase. The Department delivered 100 percent of federal formula funding and received an additional \$61.5 million in August Redistribution for FFY 2015.

Attachment

LOCAL ASSISTANCE LUMP SUM ALLOCATIONS
Period Ending June 30, 2015
(Dollars in Thousands)

Reference No.: 3.11
October 21-22, 2015
Attachment

Fund Description	Commission Allocation			Total Sub-Allocations			Allocation Balance			Percent Sub-Allocated	Number of
	State	Federal	Total	State	Federal	Total	State	Federal	Total	Total	Total
Local Administered & Miscellaneous Programs											
Regional Surface Transportation Program (RSTP) ¹		559,108	559,108		149,124	149,124		409,984	409,984	27%	139
Surface Transportation Program State Match and Exchange	57,849		57,849	56,485		56,485 *	1,364		1,364	98%	138
Congestion Mitigation & Air Quality Program ³		473,109	473,109		62,271	62,271 *		410,838	410,838	13%	112
Freeway Service Patrol	25,479		25,479	16,216		16,216 *	9,263		9,263	64%	12
High Priority Projects/Demonstration Projects/Emergency Relief		226,999	226,999		69,038	69,038		157,961	157,961	30%	71
Miscellaneous	3,250		3,250	1,537		1,537 *	1,713	-	1,713	47%	6
Bridge Programs											
Bridge Inspection	735		735	211		211 *	524	0	524	29%	1
National Highway Performance Program (NHPP) & RSTP Bridge ²		244,081	244,081		180,912	180,912		63,169	63,169	74%	234
Rail Programs											
Railroad Grade Crossing Maintenance	2,000		2,000	0		0 *	2,000		2,000	0%	0
Railroad Grade Separation	15,000		15,000	2,959		2,959 *	12,041		12,041	20%	1
Safety Programs											
Highway Safety Improvement Program		73,999	73,999		39,869	39,869 *	0	34,130	34,130	54%	124
Total Local Assistance Subvention Funds	104,313	1,577,296	1,681,609	77,408	501,214	578,622	26,905	1,076,082	1,102,987	34%	838

Notes

Allocations for state funds reflect the May 2015 Commission meeting vote, Item 2.5h, Resolution FM-14-02.
Allocations for federal funds reflect the May 2015 Commission meeting vote, Item 2.5h, Resolution FM-14-02.
The Allocation Balance is the difference between the Commission Allocations and the Total Sub-Allocations.
Total Sub-Allocations are from InfoAdvantage (accounting system).
In accordance with Commission Resolution G-01-08, the Department reports when total transfers in or out of an expenditure category exceed 10 percent of its allocation.

Assumptions:

- * Indicates programs that were not discussed in Reference 3.11.
- ¹ RSTP consists of the Surface Transportation Program subvented to local agencies, less funding set-aside for off-system bridge projects.
- ² NHPP consists of on-system bridges (about \$221 million) while RSTP bridge projects consist of off-system bridge (about \$75 million).