State of California
DEPARTMENT OF TRANSPORTATION

California State Transportation Agency

M e m o r a n d u m

To: CHAIR AND COMMISSIONERS
CALIFORNIA TRANSPORTATION COMMISSION

CTC Meeting: October 8, 2013

Reference No.: 3.10
Information Item

From: STEVEN KECK
Acting Chief Financial Officer

Prepared by: James E. Davis
Division Chief
Division of Project Management

Subject: **FY 2012-13 FOURTH QUARTER PROJECT DELIVERY REPORT**

Attached is the California Department of Transportation's Fiscal Year 2012-13 Fourth Quarter Project Delivery Report.

Attachment

Caltrans

CALIFORNIA DEPARTMENT OF TRANSPORTATION

End - of - Year
FY 2012-13
Project Delivery Report

Quarterly Report to the California Transportation Commission

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The Project Delivery Report is prepared quarterly in December, March, June, and September pursuant to California Transportation Commission (CTC) Resolution G-92–12. The Department of Transportation (Department) staff prepares this report. The purpose of this report is to monitor and track the progress of project delivery during the year and to compare against past years.

Note 1: All costs shown are in $1,000's unless noted otherwise.
Note 2: Abbreviations and acronyms are listed in the appendix.

Executive Summary

End of Year - FY 2012-13

FY 2012-13 Contract for Delivery

For FY 2012-13, the dollar value of projects in the Contract for Delivery is $1,430 million. Through the end of the fourth quarter, FY 2012-13, the Department delivered a total of 167 (98 percent of annual plan) highway construction contracts with an estimated value in the contract at $1,197 million.

Program Delivery Summary

Though the end of the fourth quarter, FY 2012-13, the Department has delivered:

- A total of 450 projects valued at $2,134 million from all funding programs.
- A total of 20 (87 percent of annual plan) programmed State Transportation Improvement Program (STIP) highway construction contracts valued at $100 million (73 percent of annual plan).
- A total of 162 (107 percent of annual plan) programmed State Highway Operations and Protection Program (SHOPP) highway construction contracts valued at $1,095 million (127 percent of annual plan).

Past Years' Contracts for Delivery Award Status

Though the end of the fourth quarter, FY 2012-13, the Department has awarded:

- 268 projects out of 279 (96 percent) from the FY 2011-12 Contract for Delivery.
- 346 projects out of 346 (100 percent) from the FY 2010-11 Contract for Delivery.

Environmental Document Milestones

In FY 2012-13, the planned total number of environmental document milestones is 158. The Department delivered 108 (91 percent of annual plan) final environmental documents and 29 (74 percent of annual plan) draft environmental documents.

Right of Way Program

In FY 2012-13, the Department received a right-of-way allocation of $227 million dollars. Though the end of the second quarter, the Department expended $227 million (100 percent of annual plan).

Construction Program

There are 735 on-going construction contracts valued at $12,155 million.

Report on Completed Projects

Though the end of the fourth quarter, FY 2012-13, the Department has completed:

- A total of 25 STIP projects. The total amount of State funds that were approved by the commission for these projects was $738 million. The actual cost of the projects completed was $688 million which is 93 percent of the approved funds.
- A total of 242 SHOPP projects. The total amount of State funds that were approved by the commission for these projects was $1,654 million. The actual cost of the projects completed was $1,228 million which is 74 percent of the approved funds.

FY 2012-13 Contract for Delivery

Each year, the Department Director signs a contract with each of the Department's 12 district directors committing RTL Milestones for delivery by quarter.

The Contract for Delivery is the Department's fiscal year plan and includes programmed projects and projects funded from other sources including maintenance, toll bridge, and partnership projects. The contract is not subject to change, so it does not include program amendments, emergency, or minor program projects.

The total estimated value at the "Ready To List" delivery milestone for all 170 projects in the FY 2012-13 Contract for Delivery is $1,430 million.

167 out of 170 projects planned in FY 2012-13 were delivered.

The three projects that were not delivered need additional time to secure coastal commission permits,

.

STATUS AS OF JUNE 30, 2012

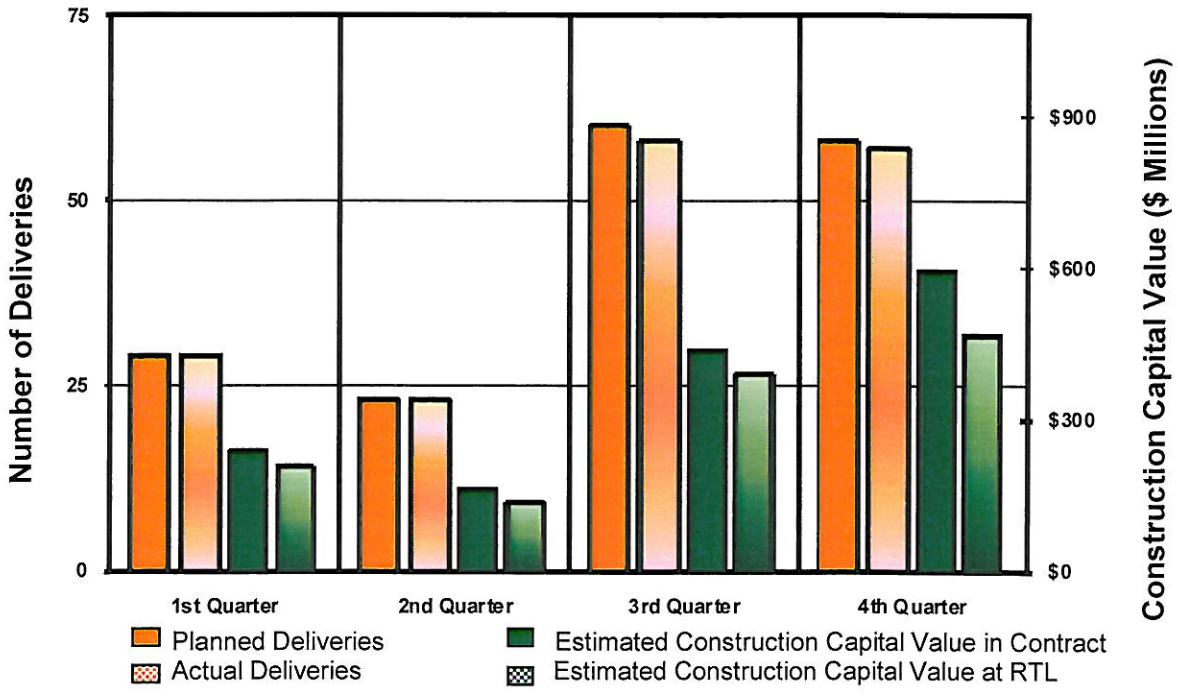
STATEWIDE

Contract for Delivery

FY 2012-13

Ready to List (RTL) Milestone Delivery

Description	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	TOTAL
NUMBER OF DELIVERIES					
Planned	29	23	60	58	170
Actual	29	23	58	57	167
CONSTRUCTION CAPITAL VALUE ($ MILLIONS)					
Estimate in Contract	$ 236.8	$ 161.9	$ 437.1	$ 594.5	$1,430.4
Estimated at RTL	$ 205.3	$ 135.3	$ 389.2	$ 467.0	$1,196.8



The California Department of Transportation Contract for Delivery!

FY 2012/2013

4th Quarter Delivery Report 58 Planned Deliveries

DISTRICT	PROJECT	PROGRAM	COUNTY	ROUTE	EST. CONST. CAPITAL VALUE IN CONTRACT ('1000's)	BUDGETED PE SUPPORT (1000's)	ACTUAL PE SUPPORT (1000's)	PROJECT DESCRIPTION	PROJ APP & ENV DOC	RIGHT OF WAY CERT	PLANS, SPECS, EST.	READY TO LIST	AWARD
1	0B100	SHOPP	HUM	101	$799	$415	$291	INSTALL CABLE MEDIAN BARRIER		★	AADD	★	09/01/13
1	0C360	SHOPP	MEN	101	$919	$439	$126	INSTALL HIGH FRICTION SURFACE		★	AADD	★	08/15/13
1	45090	SHOPP	HUM	169	$6,021	$2,992	$3,348	WIDENING AND MBGR		★			10/15/13
1	47940	STIP	DN	199	$13,648	$4,231	$3,904	REALIGNMENT AND WIDENING AT PATRICK CREEK NARROWS					11/01/13
2	36070	SHOPP	SHA	299	$27,000	$5,500	$5,500	ROADWAY REHABILITATION					11/06/13
2	3C072	SHOPP	LAS	395	$2,500	$1,219	$879	CAPM			AADD	★	★
2	4E530	SHOPP	SHA	5	$6,100	$923	$620	BRIDGE MAINTENANCE		★			09/06/13
3	1F330	SHOPP	ED	193	$1,625	$615	$542	IMPROVE SUPERELEVATION OF CURVE			AADD		09/15/13
3	2F970	SHOPP	COL	20	$2,490	$400	$165	PAVEMENT REHABILITATION		★	AADD	★	12/10/13
4	1A671	SHOPP	ALA	580	$49,290	$5,905	$4,025	REHABILITATE BRIDGE DECK		★			11/19/2013
4	1G420	SHOPP	SON	116	$680	$717	$1,288	CONSTRUCT RETAINING WALL, PLACE ROCK PROTECTION, MODIFY DRAINAGE,		★			09/22/13
4	23562	SHOPP	SM	101	$9,320	$4,356	$4,356	REPLACE BRIDGE STRUCTURE AT SAN FRANCISQUITO CREEK		★			11/1/2013
4	2356A	LOCAL	SM	101	$1,734	$585	$428	REPLACEMENT LANDSCAPING				★	09/02/13
4	2A110	SHOPP	NAP	121	$6,200	$3,000	$3,798	REPLACE CAPELL CREEK BRIDGE (SCOUR)		★	★	★	
4	2A250	SHOPP	SCL	152	$24,826	$7,652	$9,404	IMPROVE SIGHT DISTANCE, UPGRADE SHOULDERS, MINOR REALIGNMENT, TURN-		★	★		09/24/13
4	2A430	SHOPP	SCL	9	$8,746	$3,700	$4,255	IMPROVE SIGHT DISTANCE, UPGRADE SHOULDERS, AND PROVIDE MINOR					08/15/13
4	3A870	SHOPP	CC	680	$15,270	$3,600	$5,346	BRIDGE REHABILITATION					10/1/2013
4	4A510	SHOPP	SF	280	$9,004	$2,738	$1,875	REPLACE BRIDGE HINGES			AADD		12/1/2013
4	4A630	SHOPP	VAR	000	$11,619	$2,700	$2,700	CONSTRUCT WHEELCHAIR RAMPS			★		09/19/13
4	4S050	SHOPP	SCL	9	$2,780	$475	$1,380	CONSTRUCT TIE-BACK WALL					07/17/13
4	4S160	SHOPP	SON	1	$1,404	$1,270	$1,243	INSTALL SUB-DRAINAGE SYSTEM, RECONSTRUCT EMBANKMENT		★	★	★	12/17/13
4	4S450	SHOPP	MRN	1	$1,100	$850	$1,256	RECONSTRUCT SLOPE WITH RETAINING WALL					11/01/13

FOURTH QUARTER APRIL 1 - JUNE 30 — **Status as of 6/30/2013**

AADD -	Authority to Advertise District Delegation	RA -	Recovery Act
B - CMIA -	Bond - Corridor Mobility Improvement Account	Retro-SW -	Retrofit Soundwall
B - RTE99 -	P1B SR99 Improvement	RM2 -	Regional Measure 2
HM - b -	Highway Maintenance - bridge	STIPP -	State Transportation Improvement Program
HM - d -	Highway Maintenance - drainage	TCIP -	Trade Corridors Improvement Program
HM - p -	Highway Maintenance - pavement	TCRP -	Traffic Congestion Relief Program
L - Reimb -	Local Reimbursed	TOLL -	Other Toll
M - Reimb -	Measure Reimbursed	TOLL-R -	Toll Retrofit
MTC -	Metropolitan Transportation Commission	VAR -	Various
PE -	Preliminary Engineering	SHOPP -	State Highway Operation Protection Prog.
Ph2 Ret -	Phase 2 Retrofit	B-SHOPP -	Bond - State Highway Operations Protection Program Augmentation

Completed — Awarded

Completed Ahead of Schedule — Awarded Ahead of Schedul

Behind Schedule — Awarded Behind Schedule

To Be Completed/Awarded

PE Support <= 80% of Budget — PE Support Within Budget

PE Support >= 120% of Budge — Future RTL Status Date

The California Department of Transportation Contract for Delivery!

FY 2012/2013

4th Quarter Delivery Report 58 Planned Deliveries

DISTRICT	PROJECT	PROGRAM	COUNTY	ROUTE	EST. CONST. CAPITAL VALUE IN CONTRACT ('1000's)	BUDGETED PE SUPPORT (1000's)	ACTUAL PE SUPPORT (1000's)	PROJECT DESCRIPTION	PROJ APP & ENV DOC	RIGHT OF WAY CERT	PLANS, SPECS, EST.	READY TO LIST	AWARD
4	4S770	SHOPP	MRN	1	$880	$480	$498	REPLACE FAILED CULVERT AND EXTEND ANOTHER CULVERT IN ANOTHER		★	AADD		08/08/13
5	0C901	SHOPP	SCR	1	$2,956	$1,009	$818	INSTALL CCTV AND SIGNS				★	10/01/13
5	0G070	SHOPP	SB	101	$17,169	$3,749	$6,158	UPGRADE EXISTING DRAINAGE CULVERTS					11/15/13
6	0J930	SHOPP	KER	119	$2,097	$1,363	$1,363	INSTALL GUARDRAIL AND EXTEND CULVERTS			AADD		10/15/13
7	25901	SHOPP	LA	710	$10,950	$1,470	$1,966	SAND FILTERS & INFILTRATION DEVICES *PHASE 2 OF 10.					10/10/13
7	27240	SHOPP	LA	5	$2,033	$465	$465	STORM WATER MITIGATION		★	AADD		10/08/13
7	27490	SHOPP	LA	110	$1,250	$300	$300	INSTALL PLANTS FOR EROSION CONTROL			AADD		10/09/13
7	27590	SHOPP	LA	110	$1,300	$300	$300	INSTALL PLANTS FOR EROSION CONTROL			AADD		10/09/13
7	2777U	SHOPP	LA	5	$3,500	$900	$595	INSTALL PLANTS FOR EROSION CONTROL *COMB FR 27750, 27760 & 27770		★	AADD		09/23/13
7	27830	SHOPP	LA	405	$9,200	$1,700	$1,883	BRIDGE REHAB *BRIDGE# 53-1198			AADD	★	09/27/13
7	3X390	SHOPP	LA	1	$3,500	$500	$500	REPLACE ENTIRE CRIB WALL SYSTEM *DIR			AADD		11/12/13
7	3X410	SHOPP	LA	2	$3,500	$490	$527	CONSTRUCT DEBRIS WALL, GRADE SLOPE,PLACE EROSION CONTROL *DIR		★	AADD		11/13/13
7	3X450	SHOPP	LA	1	$3,500	$525		REPAIR FAILED DRAINAGE *DIR		06/14/13	04/15/13	06/28/13	10/09/13
7	4H900	SHOPP	LA	60	$2,606	$646	$1,340	WINDEN OFF-RAMP			AADD		08/28/13
7	4T490	SHOPP	LA	1	$570	$399	$398	CONSTRUCT CURB RAMPS & SIDEWALK			AADD	★	09/16/13
7	4T570	SHOPP	LA	105	$985	$255	$255	RDWRK/FLSHNG BCN/LGTHNG DRNG,STRPNG		★			08/22/13
7	4T580	SHOPP	LA	210	$370	$151	$296	INSTALL TRAFFIC SIGNAL	★	★		★	11/07/13
8	0M450	SHOPP	SBD	38	$765	$843	$1,008	ADD LEFT TURN POCKETS		★	AADD	★	10/28/13
8	0N570	SHOPP	SBD	40	$1,175	$632	$480	BRIDGE SIESMIC RETROFIT		★		★	10/07/13
8	43541	SHOPP	SBD	40	$489	$477	$415	PLACE ROCK SLOPE PROTECTION	★	★	AADD		09/05/13
8	44910	SHOPP	RIV	111	$7,398	$2,572	$2,431	REPLACE BRIDGE		★			12/20/13
9	35160	SHOPP	INY	395	$5,573	$430	$265	PAVEMENT PRESERVATION			AADD		7/15/2013

FOURTH QUARTER APRIL 1 - JUNE 30 — **Status as of 6/30/2013**

AADD -	Authority to Advertise District Delegation	RA -	Recovery Act
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HM - d -	Highway Maintenance - drainage	TCIP -	Trade Corridors Improvement Program
HM - p -	Highway Maintenance - pavement	TCRP -	Traffic Congestion Relief Program
L - Reimb -	Local Reimbursed	TOLL -	Other Toll
M - Reimb -	Measure Reimbursed	TOLL-R -	Toll Retrofit
MTC -	Metropolitan Transportation Commission	VAR -	Various
PE -	Preliminary Engineering	SHOPP -	State Highway Operation Protection Prog.
Ph2 Ret -	Phase 2 Retrofit	B-SHOPP -	Bond - State Highway Operations Protection Program Augmentation

Completed	Awarded
★ Completed Ahead of Schedule	★ Awarded Ahead of Schedul
Behind Schedule	Awarded Behind Schedule
To Be Completed/Awarded	
PE Support <= 80% of Budget	PE Support Within Budget
PE Support >= 120% of Budge	Future RTL Status Date

The California Department of Transportation Contract for Delivery!

FY 2012/2013

4th Quarter Delivery Report 58 Planned Deliveries

DISTRICT	PROJECT	PROGRAM	COUNTY	ROUTE	EST. CONST. CAPITAL VALUE IN CONTRACT ('1000's)	BUDGETED PE SUPPORT ('1000's)	ACTUAL PE SUPPORT ('1000's)	PROJECT DESCRIPTION	PROJ APP & ENV DOC	RIGHT OF WAY CERT	PLANS, SPECS, EST.	READY TO LIST	AWARD
9	35410	SHOPP	MNO	395	$2,045	$151	$158	PAVEMENT PRESERVATION	★	★	AADD	★	9/1/2013
10	0S110	TCIF/LOCAL	SJ	4	$121,808	$17,400	$3,795	EXTEND FREEWAY		★			11/27/13
10	0T160	SHOPP	SJ	26	$2,789	$1,625	$1,275	HORIZONTAL CURVE REALIGNMENT		★	AADD		08/06/13
10	0T230	SHOPP	SJ	4	$739	$763	$763	TRAFFIC CONTROL INSTALLATION AND WIDENING SHOULDER				★	09/04/13
11	00270	SHOPP	SD	5	$15,927	$2,652	$5,250	CONSTRUCT AUXILIARY LANES/ WIDEN CONNECTOR		★	AADD	★	09/06/13
11	05632	CIF/LOCAL/ STI	SD	11	$67,100	$13,140	$12,209	CONSTRUCT FREEWAY TO FREEWAY CONNECTORS		★	AADD	★	03/30/16
11	40670	SHOPP	SD	5	$53,315	$3,715	$3,715	PAVEMENT PRESERVATION (CAPM)			AADD		11/21/13
12	0G331	STIP	ORA	91	$2,275	$379	$379	HIGHWAY REPLACEMENT PLANTING		★	AADD	★	07/29/13
12	0H440	SHOPP	ORA	73	$18,044	$4,100	$3,914	STORM WATER MITIGATION & SLOPE STABILITY		★			09/08/13
12	0L380	SHOPP	ORA	39	$3,090	$899	$928	INSTALL NEW & UPGRADE CURB RAMPS AT VARIOUS LOCATIONS			★	★	08/01/13
12	0L740	SHOPP	ORA	55	$16,900	$2,200	$2,200	PAVEMENT RESURFACING INCLUDES RAMPS & APPROACH SLAB REPLACEMENT.			★	★	09/22/13
12	0L750	SHOPP	ORA	91	$748	$329	$563	SHOULDER WIDENING, SIGNING, STRIPING AND GURDRAIL MODIFICATION			★	★	08/15/13
12	0L970	SHOPP	ORA	39	$919	$1,040	$688	ADD SAFETY LIGHTING, MODIFY SIGNALS AND PAVEMENT MARKING, ADA UPDATE		★	★	★	11/01/13
12	0M360	SHOPP	ORA	55	$4,000	$1,040	$1,040	CONSTRUCT CONCRETE MEDIAN BARRIER IN PLACE OF TEMPORARY K-RAIL			★	★	09/22/13
					$594,540	$123,371	$115,867						

FOURTH QUARTER APRIL 1 - JUNE 30 — **Status as of 6/30/2013**

AADD -	Authority to Advertise District Delegation	RA -	Recovery Act
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HM - p -	Highway Maintenance - pavement	TCRP -	Traffic Congestion Relief Program
L - Reimb -	Local Reimbursed	TOLL -	Other Toll
M - Reimb -	Measure Reimbursed	TOLL-R -	Toll Retrofit
MTC -	Metropolitan Transportation Commission	VAR -	Various
PE -	Preliminary Engineering	SHOPP -	State Highway Operation Protection Prog.
Ph2 Ret -	Phase 2 Retrofit	B-SHOPP -	Bond - State Highway Operations Protection Program Augmentation

Completed	Awarded
Completed Ahead of Schedule	Awarded Ahead of Schedul
Behind Schedule	Awarded Behind Schedule
To Be Completed/Awarded	
PE Support <= 80% of Budget	PE Support Within Budget
PE Support >= 120% of Budge	Future RTL Status Date

The California Department of Transportation Contract for Delivery!

FY 2012/2013

3rd Quarter Delivery Report 60 Planned Deliveries

DISTRICT	PROJECT	PROGRAM	COUNTY	ROUTE	EST. CONST. CAPITAL VALUE IN CONTRACT ('1000's)	BUDGETED PE SUPPORT (1000's)	ACTUAL PE SUPPORT (1000's)	PROJECT DESCRIPTION	PROJ APP & ENV DOC	RIGHT OF WAY CERT	PLANS, SPECS, EST.	READY TO LIST	AWARD
1	0B190	SHOPP	HUM	101	$1,105	$541	$294	INSTALL MEDIAN BARRIER		★	AADD		07/24/13
1	26202	STIP	MEN	101	$26,290	$714	$1,112	WETLAND/RIPARIAN MITIGATION	★		AADD		05/01/13
1	37816	SHOPP	MEN	128	$5,000	$4,272	$3,968	CULVERT REHABILITATION		★		★	★
1	40280	SHOPP	MEN	101	$1,600	$2,259	$2,259	CULVERT REHABILITATION					07/01/13
1	45970	SHOPP	HUM	101	$9,969	$829	$1,458	SEISMIC RETROFIT		★			07/01/13
1	47490	SHOPP	MEN	1	$3,400	$1,540		REPAIR STORM DAMAGE				03/01/13	07/01/13
1	47660	SHOPP	MEN	128	$10,329	$3,957	$1,766	REPAIR STORM DAMAGE		★			07/01/13
1	48470	SHOPP	MEN	1	$2,500	$784		CONSTRUCT MBGR & CENTERLINE RUMBLE STRIPS, UPGRADE DRAINAGE INLETS				01/15/13	06/01/13
2	2C225	SHOPP	TEH	36	$1,300	$790	$920	BRIDGE SCOUR		★	AADD	★	★
2	2E350	SHOPP	TRI	299	$2,385	$1,134	$2,078	CURVE IMPROVEMENT		★			05/22/13
2	2E620	SHOPP	TEH	36	$2,500	$1,110	$1,011	CURVE IMPROVEMENT		★			★
2	2E730	SHOPP	TEH	32	$1,900	$675	$658	CURVE IMPROVEMENT AND SHOULDER WIDENING					
2	3E710	SHOPP	TRI	36	$960	$352	$338	CURVE IMPROVEMENT		★			★
3	0F230	SHOPP	SAC	50	$36,600	$4,020	$3,320	INSTALL TWO 4" MICRODECK SYSTEMS TO REHABILITATE TWO BRIDGE DECKS			★		09/01/13
3	0F300	SHOPP	ED	50	$4,960	$882	$845	RETROFIT COLUMNS AND ADD BRACING		★			08/15/13
3	3E100	SHOPP	PLA	80	$27,134	$4,695	$4,695	VERTICAL CLEARANCE FOR PERMIT VEHICLE					09/01/13
3	3F320	SHOPP	SAC	50	$1,456	$210	$205	PLACE THIN HIGH FRICTION SURFACE TREATMENT AND OPEN GRADED ASPHALT		★	AADD	★	★
3	4E500	STIP	SIE	89	$450	$260	$298	CONSTRUCT WILDLIFE CROSSING		★		★	
4	15330	CMAQ	SCL	101	$26,548	$4,200	$3,785	INSTALL RAMP METERING & TRAFFIC OPERATION SYSTEMS.		★			06/03/13
4	1A290	SHOPP	SON	12	$11,167	$4,375	$7,282	REPLACE BRIDGE FOR SCOUR					06/01/13
4	1G070	SHOPP	SCL	9	$2,633	$462	$684	SHOULDER WIDENING		★		★	
4	1G250	BATA-REHAB	SF	80	$2,200	$760	$713	REPLACE FLUORESCENT LIGHTING WITH HIGH PRESSURE SODIUM VAPOR LIGHTING					04/01/13

THIRD QUARTER JANUARY 1 - MARCH 31 — **Status as of 6/30/2013**

AADD -	Authority to Advertise District Delegation	RA -	Recovery Act
B - CMIA -	Bond - Corridor Mobility Improvement Account	Retro-SW -	Retrofit Soundwall
B - RTE99 -	P1B SR99 Improvement	RM2 -	Regional Measure 2
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L - Reimb -	Local Reimbursed	TOLL -	Other Toll
M - Reimb -	Measure Reimbursed	TOLL-R -	Toll Retrofit
MTC -	Metropolitan Transportation Commission	VAR -	Various
PE -	Preliminary Engineering	SHOPP -	State Highway Operation Protection Prog.
Ph2 Ret -	Phase 2 Retrofit	B-SHOPP -	Bond - State Highway Operations Protection Program Augmentation

Completed
Completed Ahead of Schedule
Behind Schedule
To Be Completed/Awarded

Awarded
Awarded Ahead of Schedul
Awarded Behind Schedule

PE Support <= 80% of Budget
PE Support >= 120% of Budge

PE Support Within Budget
Future RTL Status Date

The California Department of Transportation Contract for Delivery!

FY 2012/2013

3rd Quarter Delivery Report 60 Planned Deliveries

DISTRICT	PROJECT	PROGRAM	COUNTY	ROUTE	EST. CONST. CAPITAL VALUE IN CONTRACT ('1000's)	BUDGETED PE SUPPORT (1000's)	ACTUAL PE SUPPORT (1000's)	PROJECT DESCRIPTION	PROJ APP & ENV DOC	RIGHT OF WAY CERT	PLANS, SPECS, EST.	READY TO LIST	AWARD
4	1G260	BATA-REHAB	SF	80	$2,800	$2,720	$554	REPLACE FLUORESCENT LIGHTING WITH HIGH PRESSURE SODIUM VAPOR LIGHTING					04/01/13
4	24544	STIP	SON	101	$2,450	$1,850	$1,850	COLLEGE AVENUE IMPROVEMENT					09/18/13
4	2A620	SHOPP	SOL	12	$9,935	$4,733	$6,040	INSTALL LEFT TURN POCKETS, FROM AZAVEDO RD TO LIBERTY ISLAND RD					06/07/13
4	2G361	RM1	ALA	92	$1,490	$520	$614	REPLACEMENT PLANTING AND IRRIGATION					
4	4G160	SHOPP	SF	101	$570	$194	$260	RECONSTRUCT BRIDGE RAILING AND DECK		★	★	★	★
4	4S070	SHOPP	SCL	101	$1,565	$240	$552	INSTALL RSP		★			04/15/13
5	0Q631	SHOPP	SLO	101	$200	$152	$63	Landscape mitigation	★			★	04/15/13
5	0R910	SHOPP	SCR	1	$2,469	$1,406	$1,356	UPGRADE MBGR, CONSTRUCT CONCRETE BARRIER & RETAINING WALLS & GUARD					07/01/13
6	0E660	STIP	KER	99	$1,126	$304	$354	99 CORRIDOR-BRIDGE ENHANCEMENT		★		★	08/01/13
6	0E670	STIP	TUL	99	$534	$233	$418	99 CORRIDOR-BRIDGE ENHANCEMENT		★		★	08/01/13
6	0G850	LOCAL	KER	58	$21,085	$2,950	$3,021	WIDEN FREEWAY FROM 4 TO 6 LANES	★				
6	0L390	SHOPP	KER	99	$1,136	$1,085	$1,045	RELOCATE RIGHT TURN LANE OF THE SB ONRAMP TO SR 99					★
6	0N380	SHOPP	KER	178	$342	$149	$428	CONSTRUCT RETAINING STRUCTURES	★	★		★	07/01/13
6	0N390	SHOPP	TUL	245	$406	$466	$332	CONSTRUCT ROCK SLOPE PROTECTION		★	AADD		08/15/13
7	21595	OCAL/SLPP/ ST	LA	5	$131,786	$15,851	$15,851	ROADWAY WIDENING & STRIPING -SEG 5					06/17/13
7	25920	SHOPP	LA	10	$5,654	$759	$759	SAND FILTERS & INFILTRATION DEVICES *PHASE 2 OF 10			AADD		07/29/13
7	2768U	SHOPP	LA	210	$2,400	$600	$377	INSTALL PLANTS FOR EROSION CONTROL *COMB FR 27680 & 27710		★	AADD		08/07/13
7	27880	SHOPP	LA	5	$1,647	$264	$913	UPGRADE WEIGH STATION					08/14/13
7	3X350	SHOPP	LA	105	$500	$100	$100	RECONSTRUCT THE FAILED SLOPE / HYDRO SEED *DIR		★	AADD		09/12/13
7	3X660	SHOPP	LA	10	$1,500	$270	$139	CONSTRUCT RETAINING WALL AND EXTEND DRAINAGE SYSTEM			AADD		08/16/13
7	4T560	SHOPP	LA	107	$408	$140	$408	INSTALL ADA SIGNALS			AADD		08/01/13
8	0N510	SHOPP	RIV	15	$2,830	$788	$783	REPLACE EXISTING GUARDRAIL WITH CONCRETE BARRIER		★	AADD	★	09/30/13

THIRD QUARTER JANUARY 1 - MARCH 31 — **Status as of 6/30/2013**

AADD - Authority to Advertise District Delegation
B - CMIA - Bond - Corridor Mobility Improvement Account
B - RTE99 - P1B SR99 Improvement
HM - b - Highway Maintenance - bridge
HM - d - Highway Maintenance - drainage
HM - p - Highway Maintenance - pavement
L - Reimb - Local Reimbursed
M - Reimb - Measure Reimbursed
MTC - Metropolitan Transportation Commission
PE - Preliminary Engineering
Ph2 Ret - Phase 2 Retrofit
RA - Recovery Act
Retro-SW - Retrofit Soundwall
RM2 - Regional Measure 2
STIPP - State Transportation Improvement Program
TCIP - Trade Corridors Improvement Program
TCRP - Traffic Congestion Relief Program
TOLL - Other Toll
TOLL-R - Toll Retrofit
VAR - Various
SHOPP - State Highway Operation Protection Prog.
B-SHOPP - Bond - State Highway Operations Protection Program Augmentation

Completed
Completed Ahead of Schedule
Behind Schedule
To Be Completed/Awarded
Awarded
Awarded Ahead of Schedul
Awarded Behind Schedule
PE Support <= 80% of Budget
PE Support >= 120% of Budge
PE Support Within Budget
Future RTL Status Date

The California Department of Transportation Contract for Delivery!

FY 2012/2013

3rd Quarter Delivery Report 60 Planned Deliveries

DISTRICT	PROJECT	PROGRAM	COUNTY	ROUTE	EST. CONST. CAPITAL VALUE IN CONTRACT ('1000's)	BUDGETED PE SUPPORT (1000's)	ACTUAL PE SUPPORT (1000's)	PROJECT DESCRIPTION	PROJ APP & ENV DOC	RIGHT OF WAY CERT	PLANS, SPECS, EST.	READY TO LIST	AWARD
8	49180	SHOPP	RIV	62	$15,309	$2,194	$1,548	PAVEMENT REHAB INCLUDING SHOULDERS,			★		08/15/13
10	0N830	SHOPP	SJ	000	$430	$187	$187	INSTALL DESIGNED SOIL REMEDIATION		★			05/10/13
10	0S950	STIP	MER	99	$900	$183	$390	CORRIDOR BRIDGE ENHANCEMENT		★	AADD	★	06/21/13
10	0T040	SHOPP	AMA	104	$433	$216	$149	HIGHWAY RAIL GRADE CROSSING WARNING DEVICE		★	AADD		05/01/13
10	0U280	SHOPP	AMA	88	$652	$282	$236	INSTALL CENTERLINE RUMBLE STRIPS		★	AADD		05/29/13
10	0U500	SHOPP	MER	5	$654	$373	$268	INSTALL DOUBLE BEAM BARRIER (DTBB) IN THE CENTER MEDIAN		★	AADD		
10	0U610	SHOPP	SJ	12	$2,200	$605	$281	REMOVE & REPLACE EXISTING CONCRETE BRIDGE DECK & REPLACE JOINT SEALS	★	★	AADD		08/07/13
11	26501	STIP	SD	163	$3,976	$725	$1,091	SCENIC/HISTORIC HIGHWAY PRESERVATION (PHASE 2A) TRANSPORTATION ENHANCEMENT		★	AADD		07/11/13
11	28240	SHOPP	SD	15	$6,550	$1,564	$1,043	STORM WATER MITIGATION: CONSTRUCT BIOSWALES AND INFILTRATION TRENCHES,			AADD		07/26/13
11	29480	STIP	IMP	186	$1,535	$566	$1,250	PEDESTRIAN/TRANSIT FACILITIES -(TE)		★	AADD		06/29/13
11	2T183	LOCAL/DEMO	SD	805	$13,379	$4,187	$7,888	CONSTRUCT SOUNDWALLS		★	AADD		06/27/13
11	40140	SHOPP	SD	5	$8,450	$1,462	$1,829	REMOVE EXISTING MBGR AND REPLACE WITH CONCRETE BARRIER AND UPGRADE		★	AADD		07/06/13
11	40430	SHOPP	SD	5	$3,971	$811	$876	CONSTRUCT OUTER SEPARATION BARRIER		★	AADD		07/18/13
11	40890	SHOPP	SD	5	$1,950	$352	$550	CLEAN AND TREAT BRIDGE DECK WITH METHACRYLATE AND REPLACE JOINT SEALS		★	AADD		06/06/13
12	0L77U	SHOPP	ORA	39	$950	$635	$497	INSTALL ADDITIONAL SAFETY LIGHTING AND MODIFY SIGNALS.		★	★	★	★
12	0L870	SHOPP	ORA	5	$575	$484	$518	SIGNAL MODIFICATION SAFETY/TRAFFIC OPERATION		★	★	★	★
					$437,133	$89,421	$92,507						

THIRD QUARTER JANUARY 1 - MARCH 31 — **Status as of 6/30/2013**

AADD -	Authority to Advertise District Delegation	RA -	Recovery Act
B - CMIA -	Bond - Corridor Mobility Improvement Account	Retro-SW -	Retrofit Soundwall
B - RTE99 -	P1B SR99 Improvement	RM2 -	Regional Measure 2
HM - b -	Highway Maintenance - bridge	STIPP -	State Transportation Improvement Program
HM - d -	Highway Maintenance - drainage	TCIP -	Trade Corridors Improvement Program
HM - p -	Highway Maintenance - pavement	TCRP -	Traffic Congestion Relief Program
L - Reimb -	Local Reimbursed	TOLL -	Other Toll
M - Reimb -	Measure Reimbursed	TOLL-R -	Toll Retrofit
MTC -	Metropolitan Transportation Commission	VAR -	Various
PE -	Preliminary Engineering	SHOPP -	State Highway Operation Protection Prog.
Ph2 Ret -	Phase 2 Retrofit	B-SHOPP -	Bond - State Highway Operations Protection Program Augmentation

- Completed
- Completed Ahead of Schedule
- Behind Schedule
- To Be Completed/Awarded
- Awarded
- Awarded Ahead of Schedul
- Awarded Behind Schedule
- PE Support <= 80% of Budget
- PE Support >= 120% of Budge
- PE Support Within Budget
- Future RTL Status Date

The California Department of Transportation Contract for Delivery!

FY 2012/2013

2nd Quarter Delivery Report 23 Planned Deliveries

DISTRICT	PROJECT	PROGRAM	COUNTY	ROUTE	EST. CONST. CAPITAL VALUE IN CONTRACT ('1000's)	BUDGETED PE SUPPORT (1000's)	ACTUAL PE SUPPORT (1000's)	PROJECT DESCRIPTION	PROJ APP & ENV DOC	RIGHT OF WAY CERT	PLANS, SPECS, EST.	READY TO LIST	AWARD
1	36432	SHOPP	MEN	20	$1,883	$2,890	$3,382	REHABILITATE DRAINAGE		★			02/01/13
1	47690	SHOPP	DN	101	$6,700	$1,357	$1,320	REMOVE & RECONSTRUCT HINGES @ SPANS 2, 8 & 11		★	AADD	★	
2	3E650	SHOPP	VAR	5	$3,305	$735	$446	BRIDGE MAINTENANCE		★	★	★	★
2	3E690	SHOPP	MOD	299	$1,293	$730	$505	SHOULDER WIDENING					★
3	0F680	SHOPP	SAC	80	$1,730	$448	$393	REPLACE JOINTS AND SUPER-REHAB		★	AADD		
3	1A731	SHOPP	ED	50	$13,139	$6,615	$6,373	STORM WATER QUALITY IMPROVEMENTS, OVERLAY, WIDEN SHOULDER, REPLACE					08/01/13
3	1A844	SHOPP	ED	89	$20,659	$5,809	$6,107	STORM WATER QUALITY IMPROVEMENTS O/L, WIDEN, ADD DIKES & RET BASINS					
3	3F030	SHOPP	NEV	80	$1,774	$375	$445	INSTALL CONCRETE MEDIAN BARRIER		★	AADD	★	
3	4E590	SHOPP	ED	49	$1,495	$697	$769	INCREASE SUPERELEVATION OF CURVE		★	AADD	★	
4	1123H	STIP	SM	1	$1,150	$151	$1,190	MITIGATION AT DISPOSAL SITE, N & S PORTALS, S ROCK CUT & POND REPAIR		★			04/15/13
4	4A260	SHOPP	ALA	580	$4,537	$800	$1,220	INSTALL METAL BEAM GUARDRAIL		★	★	★	05/01/13
5	0M980	SHOPP	SCR	1	$1,543	$582	$667	GUARDRAIL UPGRADE					
5	0Q570	SHOPP	MON	101	$8,000	$977	$1,160	INSTALL MEDIAN BARRIER			★	★	
5	33075	STIP	SLO	46	$4,300	$1,024	$1,326	BRIDGE REPLACEMENT			★	★	★
6	34252	P/LOCAL/ FUTU	FRE	180	$26,500	$8,449	$8,962	CONSTRUCT 4 LANE EXPRESSWAY ON EXISTING ALIGNMENT					★
7	26590	SHOPP	LA	101	$3,241	$956	$1,831	UPGRADE BRIDGE RAILS			AADD		05/19/14
8	0K310	SHOPP	SBD	95	$3,797	$1,148	$1,318	VERICAL CURVE ALIGNMENT		★			05/23/13
8	0Q110	SHOPP	RIV	10	$3,570	$595	$740	REPLACE CHAIN LINK FENCE & BARBED WIRE FENCE WITH CONCRETE BARRIER		★	★	★	★
10	0G800	SHOPP	SJ	12	$31,000	$3,983	$3,635	ROADWAY REHABILITATION BY WIDENING THE SHOULDERS		★			07/03/13
11	06381	SHOPP	SD	8	$1,697	$315	$581	HIGHWAY PLANTING/IRRIGATION SYSTEM FOR PPNO 0187P		★	AADD		★
11	29040	SHOPP	SD	94	$14,513	$2,225	$1,419	PAVEMENT REHABILITATION		★	AADD		06/13/13
11	40280	SHOPP	SD	52	$3,500	$790	$987	PAVEMENT REHABILITATION			AADD		05/23/13

SECOND QUARTER OCTOBER 1 - DECEMBER 31 **Status as of 6/30/2013**

AADD -	Authority to Advertise District Delegation	RA -	Recovery Act
B - CMIA -	Bond - Corridor Mobility Improvement Account	Retro-SW -	Retrofit Soundwall
B - RTE99 -	P1B SR99 Improvement	RM2 -	Regional Measure 2
HM - b -	Highway Maintenance - bridge	STIPP -	State Transportation Improvement Program
HM - d -	Highway Maintenance - drainage	TCIP -	Trade Corridors Improvement Program
HM - p -	Highway Maintenance - pavement	TCRP -	Traffic Congestion Relief Program
L - Reimb -	Local Reimbursed	TOLL -	Other Toll
M - Reimb -	Measure Reimbursed	TOLL-R -	Toll Retrofit
MTC -	Metropolitan Transportation Commission	VAR -	Various
PE -	Preliminary Engineering	SHOPP -	State Highway Operation Protection Prog.
Ph2 Ret -	Phase 2 Retrofit	B-SHOPP -	Bond - State Highway Operations Protection Program Augmentation

Completed
Completed Ahead of Schedule
Behind Schedule
To Be Completed/Awarded

Awarded
Awarded Ahead of Schedul
Awarded Behind Schedule

PE Support <= 80% of Budget
PE Support >= 120% of Budge

PE Support Within Budget
Future RTL Status Date

The California Department of Transportation Contract for Delivery!

FY 2012/2013

2nd Quarter Delivery Report 23 Planned Deliveries

DISTRICT	PROJECT	PROGRAM	COUNTY	ROUTE	EST. CONST. CAPITAL VALUE IN CONTRACT ('1000's)	BUDGETED PE SUPPORT (1000's)	ACTUAL PE SUPPORT (1000's)	PROJECT DESCRIPTION	PROJ APP & ENV DOC	RIGHT OF WAY CERT	PLANS, SPECS, EST.	READY TO LIST	AWARD
11	40370	SHOPP	SD	163	$2,605	$461	$518	INSTALL OUTER SEPARATION BARRIER			AADD	★	★
					$161,931	$42,112	$45,294						

SECOND QUARTER OCTOBER 1 - DECEMBER 31 — **Status as of 6/30/2013**

AADD -	Authority to Advertise District Delegation	RA -	Recovery Act
B - CMIA -	Bond - Corridor Mobility Improvement Account	Retro-SW -	Retrofit Soundwall
B - RTE99 -	P1B SR99 Improvement	RM2 -	Regional Measure 2
HM - b -	Highway Maintenance - bridge	STIPP -	State Transportation Improvement Program
HM - d -	Highway Maintenance - drainage	TCIP -	Trade Corridors Improvement Program
HM - p -	Highway Maintenance - pavement	TCRP -	Traffic Congestion Relief Program
L - Reimb -	Local Reimbursed	TOLL -	Other Toll
M - Reimb -	Measure Reimbursed	TOLL-R -	Toll Retrofit
MTC -	Metropolitan Transportation Commission	VAR -	Various
PE -	Preliminary Engineering	SHOPP -	State Highway Operation Protection Prog.
Ph2 Ret -	Phase 2 Retrofit	B-SHOPP -	Bond - State Highway Operations Protection Program Augmentation

Completed	Awarded
Completed Ahead of Schedule	Awarded Ahead of Schedul
Behind Schedule	Awarded Behind Schedule
To Be Completed/Awarded	
PE Support <= 80% of Budget	PE Support Within Budget
PE Support >= 120% of Budge	Future RTL Status Date

The California Department of Transportation Contract for Delivery!

FY 2012/2013

1st Quarter Delivery Report 29 Planned Deliveries

DISTRICT	PROJECT	PROGRAM	COUNTY	ROUTE	EST. CONST. CAPITAL VALUE IN CONTRACT ('1000's)	BUDGETED PE SUPPORT (1000's)	ACTUAL PE SUPPORT (1000's)	PROJECT DESCRIPTION	PROJ APP & ENV DOC	RIGHT OF WAY CERT	PLANS, SPECS, EST.	READY TO LIST	AWARD
2	0E440	STIP	SIS	97	$743	$239	$313	TURN LANE			AADD	★	★
2	0E840	STIP	SIS	3	$743	$239	$304	INSTALL LEFT TURN LANE AT JUNIPER DRIVE		★	AADD	★	★
2	2E291	SHOPP	SIS	96	$8,200	$20	$44	BRIDGE PREVENTIVE MAINTENANCE			AADD	★	
3	1A732	SHOPP	ED	50	$10,144	$4,889	$4,443	STORM WATER QUALITY IMPROVEMENTS, OVERLAY, WIDEN SHOULDER, REPLACE		★			
4	00394	BATA-REHAB	CC	580	$3,500	$2,720	$2,727	REPLACE MAINTENANCE BUILDINGS			★		
4	01408	OPP/BATA-REH	ALA	80	$39,000	$4,850	$1,586	ALA-80-1.8/0 SFOBB MAINTENANCE OPERATIONS BUILDING-PHASE 1					
4	0A090	SHOPP	SOL	80	$2,500	$1,898	$3,119	LENGHTENING ON-RAMP AND WIDEN ALAMO CREEK BRIDGE.		★	★	★	
4	4A925	LSP/STIP/LOCA	SM	101	$6,970	$690	$244	INSTALL INTELLIGENT TRANSPORTATION SYSTEM ELEMENTS					
5	0G160	SHOPP	SB	166	$3,731	$2,633	$2,441	RELOCATE DRAINAGE DITCHES			★		
6	0H100	SHOPP	FRE	168	$3,700	$446	$569	AC OVERLAY		★	AADD	★	★
6	0H170	SHOPP	FRE	180	$3,564	$1,938	$1,743	BRIDGE REPLACEMENT					02/01/13
6	0H180	SHOPP	KER	14	$14,450	$2,206	$2,116	BRIDGE REPLACEMENT (SCOUR)		★			
6	0M800	SHOPP	MAD	99	$680	$368	$346	INSTALL MEDIAN BARRIER			AADD		★
6	36023	STIP	TUL	99	$17,700	$1,850	$377	4 LANE FREEWAY TO 6 LANE FREEWAY			★		11/30/12
6	48750	STIP	KIN	198	$15,491	$3,996	$5,398	RECONSTRUCT INTERCHANGE					05/15/13
7	25880	SHOPP	LA	5	$2,600	$756	$589	SOIL STABILIZATION & REVEGETATION			AADD		
7	3X180	SHOPP	LA	47	$415	$83	$179	STORM DAMAGE REPAIRS *DIR			AADD		
8	0G840	SHOPP	SBD	15	$11,335	$3,626	$3,687	UPGRADE AND INCREASE CAPACITY AT THE SAFETY ROADSIDE REST AREA					04/01/13
8	0Q860	SHOPP	SBD	15	$176	$180	$207	REPLACE OVERHEAD SIGN STRUCTURE					
10	0G350	SHOPP	SJ	12	$2,745	$1,342	$1,024	REPLACE BEARING PADS, JOINT SEALS		★			01/02/13
10	0K330	SHOPP	SJ	5	$2,590	$1,406	$1,491	INSTALL TRAFFIC MONITORING STATIONS (TMS) AT VARIOUS LOCATIONS		★	★		★
10	0S780	STIP	STA	99	$1,075	$572	$575	BRIDGE ENHANCEMENT, 16 STRUCTURES			AADD	★	

FIRST QUARTER JULY 1 - SEPTEMBER 30 — **Status as of 6/30/2013**

AADD -	Authority to Advertise District Delegation	RA -	Recovery Act
B - CMIA -	Bond - Corridor Mobility Improvement Account	Retro-SW -	Retrofit Soundwall
B - RTE99 -	P1B SR99 Improvement	RM2 -	Regional Measure 2
HM - b -	Highway Maintenance - bridge	STIPP -	State Transportation Improvement Program
HM - d -	Highway Maintenance - drainage	TCIP -	Trade Corridors Improvement Program
HM - p -	Highway Maintenance - pavement	TCRP -	Traffic Congestion Relief Program
L - Reimb -	Local Reimbursed	TOLL -	Other Toll
M - Reimb -	Measure Reimbursed	TOLL-R -	Toll Retrofit
MTC -	Metropolitan Transportation Commission	VAR -	Various
PE -	Preliminary Engineering	SHOPP -	State Highway Operation Protection Prog.
Ph2 Ret -	Phase 2 Retrofit	B-SHOPP -	Bond - State Highway Operations Protection Program Augmentation

Completed — Awarded

Completed Ahead of Schedule — Awarded Ahead of Schedul

Behind Schedule — Awarded Behind Schedule

To Be Completed/Awarded

PE Support <= 80% of Budget — PE Support Within Budget

PE Support >= 120% of Budge — Future RTL Status Date

The California Department of Transportation Contract for Delivery!

FY 2012/2013

1st Quarter Delivery Report 29 Planned Deliveries

DISTRICT	PROJECT	PROGRAM	COUNTY	ROUTE	EST. CONST. CAPITAL VALUE IN CONTRACT ('1000's)	BUDGETED PE SUPPORT (1000's)	ACTUAL PE SUPPORT (1000's)	PROJECT DESCRIPTION	PROJ APP & ENV DOC	RIGHT OF WAY CERT	PLANS, SPECS, EST.	READY TO LIST	AWARD
10	0T140	SHOPP	AMA	49	$464	$476	$503	INSTALL NEW SIGNAL	★	★	★	★	
11	0223U	RTIP/SHOPP	SD	5	$74,700	$3,417	$500	REPLACE BRIDGE, RECONSTRUCT INTERCHANGE, SIGNAL INTERSECTION, ADD			AADD		11/12/12
11	27520	SHOPP	IMP	98	$5,440	$1,060	$479	COLD PLANE AND OVERLAY PAVEMENT		★	AADD		
11	2T230	LOCAL/ DEMO	SD	78	$720	$180	$253	SR-78 LANDSCAPE			AADD	★	
12	0K330	STIP	ORA	91	$607	$127	$217	WILDLIFE CORRIDOR CONNECTIVITY ENHANCEMENT (INSTALL VEGETATION)				★	
12	0K530	SHOPP	ORA	91	$2,520	$560	$710	RESURFACE ALL LANES WITH RUBBERIZED DENSE AC		★	★	★	
12	0M000	SHOPP	ORA	55	$300	$380	$443	OVERLAY THE CONNECTOR WITH OGAC, MODIFY DRAINAGE, UPGRADE METAL BEAM				★	
					$236,803	$43,147	$36,627						

FIRST QUARTER JULY 1 - SEPTEMBER 30 — **Status as of 6/30/2013**

AADD -	Authority to Advertise District Delegation	RA -	Recovery Act
B - CMIA -	Bond - Corridor Mobility Improvement Account	Retro-SW -	Retrofit Soundwall
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L - Reimb -	Local Reimbursed	TOLL -	Other Toll
M - Reimb -	Measure Reimbursed	TOLL-R -	Toll Retrofit
MTC -	Metropolitan Transportation Commission	VAR -	Various
PE -	Preliminary Engineering	SHOPP -	State Highway Operation Protection Prog.
Ph2 Ret -	Phase 2 Retrofit	B-SHOPP -	Bond - State Highway Operations Protection Program Augmentation

Completed	Awarded
★ Completed Ahead of Schedule	★ Awarded Ahead of Schedul
Behind Schedule	Awarded Behind Schedule
To Be Completed/Awarded	
PE Support <= 80% of Budget	PE Support Within Budget
PE Support >= 120% of Budge	Future RTL Status Date

Program Delivery Summary

This section describes by funding programs the number and dollar value of all projects delivered.

Intercity Rail Program

For FY 2012-13, five Intercity Rail projects valued at $44.0 million were programmed for delivery.

Number of Intercity Rail Projects

	Q1	Q2	Q3	Q4	Annual
Plan	0	0	2	3	5
Actual	0	0	2	0	2

Value of Intercity Rail Projects

	Q1	Q2	Q3	Q4	Annual
Plan	$ 0.0	$ 0.0	$ 6.6	$ 37.4	$ 44.0
Actual	$ 0.0	$ 0.0	$ 6.6	$ 0.0	$ 6.6

AB 1740 Retrofit Soundwall Program

All 63 planned projects with a construction value of $215 million have been delivered within the program budget of $226 million.

	Delivered		Construction Completed	
Locations	63	100%	61	97%
Value	$ 215	95%	$ 211	93%

The balance of $11 million is being held in reserve pending settlement of any potential claims and closing out of all projects. The last two projects under construction have completion dates in FY 2013-14.

Delivery Summary of All Programs

Though the end of the fourth quarter, FY 2012-13, the Department delivered a total of 450 projects valued at $2,134 million from all programs.

Projects are shown below by the planned program and dollar value.

Projects by Funding Programs	Number		Value	
	Annual Plan	FYTD	Annual Plan	FYTD
STIP (w TCRP,TFA)	23	20	$ 137.8	$ 100.4
SHOPP	151	162	$ 863.1	$ 1,095.3
BOND	6	8	$ 214.7	$ 249.5
Partnership*	15	15	$ 310.5	$ 310.5
Minor (CFD)	2	2	$ 0.4	$ 0.4
Subtotal	197	207	$ 1,526.5	$ 1,756.1
Emergency		40		$ 59.8
Minor		40		$ 28.2
Maintenance		163		$ 289.4
Total		450		$ 2,133.5

** Partnership funds include all local funds and federal fund subventions given to local agencies.*

Detailed Delivery Summary of All Projects by Programs

Programs	Annual Number of Projects			Annual Dollar Value of Projects		
	Plan	Actual	Percent	Plan	Actual	Percent
STIP Program						
STIP (w TCRP,TFA)	18	18	100	$ 93.8	$ 93.8	100
Intercity Rail	5	2	40	$ 44.0	$ 6.6	15
Advanced** STIP		0			$ 0.0	
TOTAL STIP	23	20	87	$ 137.8	$ 100.4	73
SHOPP (w Augmentation)	139	136	98	$ 812.1	$ 802.7	99
Amended** SHOPP	12	12	100	$ 51.0	$ 51.0	100
Design Build SHOPP		1			$ 158.0	
Advanced** SHOPP		13			$ 84.2	
	151	162	107	$ 863.1	$ 1,095.3	127
Other ** Programs in Contract (excluding Intercity Rail Bond Program)						
BOND	6	6	100	$ 214.7	$ 214.7	100
Amended**BOND		2			$ 34.8	
Partnership	15	15	100	$ 310.5	$ 310.5	100
Minor	2	2	100	$ 0.4	$ 0.4	100
TOTAL "Other"	23	25	109	$ 525.6	$ 560.4	107
Additional ** Programs						
Emergency		40			$ 59.8	
Minor		40			$ 28.2	
Maintenance		163			$ 289.4	
TOTAL "Additional"		243			$ 377.4	
TOTAL All Programs						
STIP	23	20	87	$ 137.8	$ 100.4	73
SHOPP	151	162	107	$ 863.1	$ 1,095.3	127
Other	23	25	109	$ 525.6	$ 560.4	107
Subtotal	197	207	105	$ 1,526.5	$ 1,756.1	115
Additional		243			$ 377.4	
TOTAL		450			$ 2,133.5	

** *Notes:*

Additional – Recent projects not in contract. Includes funding reservations.

Amended – Added or deleted to program by amendment.

Advanced – Delivered early from future program year. (Not included in planned numbers)

Other – planned non-STIP/SHOPP projects committed in contract.

Delivery Percentages – Advances in contracts are included in planned figures, other advances are not included in planned figures, but are added to delivered figures.

Due to multiple funding sources on some projects, the sum of contract projects by funding source will exceed the number of planned contract projects.

Historical Program Delivery Comparison

4th Quarter "Annual Plan" Comparison

Number of STIP Projects

	12-13	11-12	10-11	09-10	08-09
Annual Plan	23	31	26	39	36
FYTD	20	27	23	37	36
Percent	87	87	88	95	100

Value of STIP Projects

	12-13	11-12	10-11	09-10	08-09
Annual Plan	$ 138	$ 510	$ 320	$ 380	$ 454
FYTD	$ 100	$ 487	$ 314	$ 221	$ 454
Percent	73	95	98	58	100

Number of SHOPP Projects

	12-13	11-12	10-11	09-10	08-09
Annual Plan	151	197	263	247	234
FYTD	162	194	269	263	245
Percent	107	98	102	106	105

Value of SHOPP Projects

	12-13	11-12	10-11	09-10	08-09
Annual Plan	$ 863	$1,204	$2,882	$1,483	$1,475
FYTD	$1,095	$1,187	$2,949	$1,609	$1,557
Percent	127	99	102	108	106

Total Number of All Projects

	12-13	11-12	10-11	09-10	08-09
FYTD	450	593	697	741	768

Total Value of All Projects

	12-13	11-12	10-11	09-10	08-09
FYTD	$2,134	$3,851	$4,630	$3,758	$4,160

Past Years' Contract For Delivery Award Status

This section describes the contract award status projects in past years for the annual Contract for Delivery.

Contract Award Status

Progress continues to be made to get past years' contracts for delivery projects awarded.

Contract Award Status	Plan	Awarded	Percent
FY 2011-12 Contract for Delivery	279	268	96
FY 2010-11 Contract for Delivery	346	346	100
FY 2009-10 Contract for Delivery	306	306	100
FY 2008-09 Contract for Delivery	334	334	100
FY 2007-08 Contract for Delivery	294	294	100
FY 2006-07 Contract for Delivery	286	286	100
FY 2005-06 Contract for Delivery	174	174	100

Historical Delivery Comparison

Through the fourth quarter FY 2012-13, for last year's contract for delivery (FY 2011-12), the Department has awarded 268 projects out of 279 projects or 96 percent of the planned projects. As a comparison, as reported a year ago for the same time period, the Department had awarded 340 projects out of 346 planned projects or 98 percent.

Contracts Not Yet Awarded

Of the 11 projects not yet awarded, five projects are currently out to bid. Issues for award delays on the other projects are as follows:

- Five projects were future year funds.
- Two projects are being prepared for bidding having secured upgraded RW.
- One project is a low priority SRRA project.
- Two projects were advanced delivery and did not have funding capacity.
- One ADA project is having specs changed.

Contracts Not Yet Awarded	Number
PROJECTS ALLOCATED	
Project Currently Bid	5
Recently ready	2
PROJECTS NOT ALLOCATED	
ADA specs	1
Priority, funding capacity	3
Total	11

See appendix for a list of projects not yet awarded

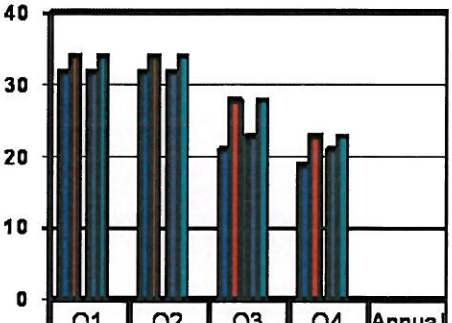
Environmental Document Milestones

Environmental Delivery Commitment

As part of this report, the Department reports on delivery for the upcoming year of project approval and environmental milestones that require CTC action for consideration of future funding. The milestones include Draft Environmental Documents (DED), and Project Approval and Environmental Document (PAED) which also includes the Final Environmental Documents (FED). To provide a comprehensive view of environmental documents under development, the Department also includes Categorical Exclusions that do not require CTC review or action. For FY 2012-13, the Department has planned delivery of 158 environmental milestones.

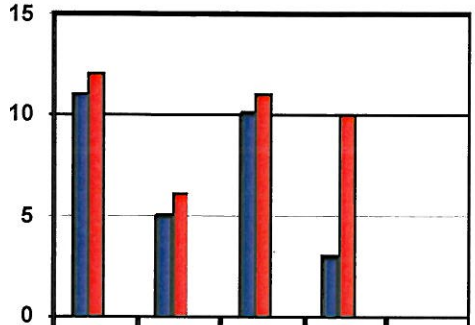
For FY 2012-13, through the end of the fourth quarter, the Department delivered 143 (91 percent of annual plan) environmental milestones.

Number of PAED & FED Milestones



	Q1	Q2	Q3	Q4	Annual
PAED Actual	32	32	21	19	104
PAED Plan	34	34	28	23	119
PAED FYTD	94%	94%	75%	83%	87%
FED Actual	32	32	23	21	108
FED Plan	34	34	28	23	119
FED FYTD	94%	94%	82%	91%	91%

Number of DED Milestones



	Q1	Q2	Q3	Q4	Annual
DED Actual	11	5	10	3	29
DED Plan	12	6	11	10	39
DED FYTD	92%	83%	91%	30%	74%

Through the end of the fourth quarter, 15 PAED and 10 DED planned milestones have slipped outside FY 2012-13 (milestones shown in appendix).

Historical Delivery Comparisons

As a benchmark for comparison, below are historical environmental milestone delivery trend charts for the current year and past four years.

Past 4th Qtr PAED & FED Milestones

	12-13	11-12	10-11	09-10	08-09
PAED Plan	119	167	147	148	145
PAED FYTD	104	155	138	133	136
PAED Percent	87	93	94	90	94
FED Plan	119				
FED FYTD	108				
FED Percent	91				

Past 4th Qtr DED Milestones

	12-13	11-12	10-11	09-10	08-09
DED Plan	39	44	37	34	41
DED FYTD	29	31	31	26	33
DED Percent	74	70	84	76	80

Right of Way Program

Right of Way Delivery Commitment

The Department's R/W delivery commitment is twofold. One delivery commitment is to utilize funds approved by the CTC for acquisition of R/W. The second delivery commitment is to secure all necessary R/W requirements and to certify R/W for all projects scheduled for delivery in the current year.

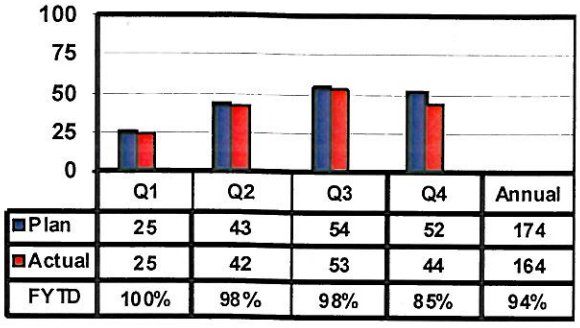
Right of Way Expenditures

R/W activities and expenditures are outlined by the categories below:

Category ($millions)	Plan	FYTD	Percent
Capital Projects			
STIP	$ 99.5	$ 159.7	161
SHOPP	$ 41.5	$ 22.1	53
Subtotal	$ 141.0	$ 181.8	129
Specific Categories			
Post Certifications	$ 64.7	$ 32.0	49
Inverse Condemnation	$ 20.3	$ 12.3	61
Project Development	$ 1.0	$ 1.0	100
Subtotal	$ 86.0	$ 45.3	53
TOTAL	$ 227.0	$ 227.1	100

For FY 2012-13, the Department requested and received a R/W allocation of $227 million. Through the end of the fourth quarter, the Department expended a total of $227 million, 100 percent of the annual plan.

Right of Way Certifications



	Q1	Q2	Q3	Q4	Annual
Plan	25	43	54	52	174
Actual	25	42	53	44	164
FYTD	100%	98%	98%	85%	94%

For FY 2012-13, the planned number of R/W certifications is 174. Through the end of the fourth quarter, the Department completed a total of 164 R/W certifications, 94 percent of the annual plan.

Historical Delivery Comparisons

As a benchmark for comparison, below are historical R/W delivery trend charts for the current year and past four years.

Past 4th Qtr Right of Way Expenditures

	12-13	11-12	10-11	09-10	08-09
Plan	$227.0	$217.5	$219.4	$237.7	$231.2
FYTD	$227.1	$217.6	$219.4	$237.7	$231.3
Percent	100	100	100	100	100

Past 4th Qtr Right of Way Certifications

	12-13	11-12	10-11	09-10	08-09
Plan	174	275	311	283	304
FYTD	164	270	309	278	303
Percent	94	98	99	98	100

Construction Program

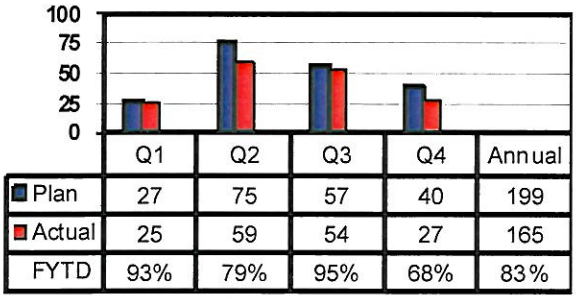
PLANNED CONSTRUCTION PROGRAM

(Excludes some projects such as minor, program amendments and emergency.)

Construction Delivery Commitment

Delivery in the eyes of our customers is achieved when capital improvements are delivered to the traveling public. This is best measured by when the construction contract is accepted.

Planned Construction Contracts Accepted



	Q1	Q2	Q3	Q4	Annual
Plan	27	75	57	40	199
Actual	25	59	54	27	165
FYTD	93%	79%	95%	68%	83%

Through the end of the fourth quarter, FY 2012-13, the Department had accepted a total of 165 major construction contracts (83 percent) out of a total of 199 planned contracts identified in the Department's delivery plan.

Historical Delivery Comparison

As a benchmark for comparison, shown are historical delivery trend charts for planned major construction contract acceptances.

Past 4th Qtr Construction Contracts Accepted

	12-13	11-12	10-11	09-10	08-09
Plan	199	272	216	226	213
FYTD	165	241	187	219	207
Percent	83	89	87	97	97

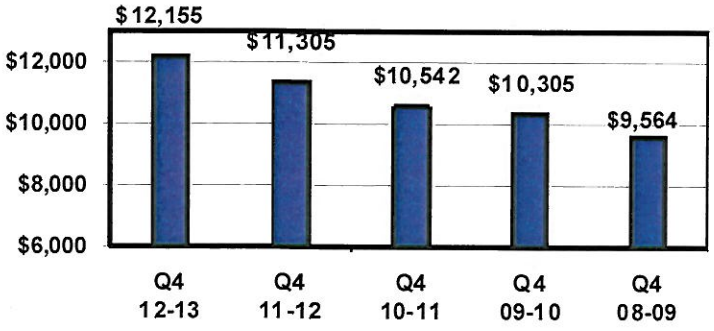
OVERALL CONSTRUCTION PROGRAM

(Includes planned programmed projects and additional minor A, amendments, and some minor B projects that are not programmed.)

Under Construction

At the end of the fourth quarter, FY 2012-13, the Department had 735 contracts valued at $12,155 million under construction.

Value of Ongoing Contracts ($ millions)



4th Quarter Construction Program Results

Construction Starts – 176 construction contracts valued at $397 million were started (including minor A and some minor B projects that are not programmed).

Accepted Contracts – 94 construction contracts valued at $588 million were accepted.

Arbitration - The Department currently has 25 construction contracts in arbitration. Six new arbitration case was filed, and three contracts were settled or received a arbitration decision.

Report on Completed Projects

In the 2010 STIP guidelines is a requirement for the Department to provide the Commission with a report on completed projects. This report provides cost information for projects that the Department has accepted the construction contract (CCA milestone).

Cost information at completion consists of all project expenditures to date. The expended costs in this report are compared to the latest approved budget costs resulting from actions taken by the Commission on each project, including: Programmed funds, Allocated funds, Funds adjusted at vote, Supplemental funds, and AB 608 adjustments.

Reporting Program / Project Thresholds

Completed project cost information is presented in the following levels for analysis:

- Program Level
- STIP/SHOPP Component Level
- Individual Project Component Level
- Overall Project Level

Program Level

At the Program level, total costs are reported for STIP and SHOPP program funds.

STIP / SHOPP Component Level

The methodology used to determine the amount of committed funds is based on programmed amounts, allocated funds, or debit and credit adjustments made against county shares in accordance with STIP guidelines.

It should be noted that while some individual components may exceed their approved budget, other components often have significant savings. STIP guidelines restrict the ability to capture savings and to supplement the budget. Consequently, some components are over expended while the overall project expenditures is less than the total county shares used to fund the entire project.

Individual Project Component Level

This provides an assessment of estimating trends for each of the six individual programmed cost components.

When projects are initially programmed into a programming document, there are a lot of unknown factors that could result in higher or lower costs by the time a project is ready for construction. A good example of unknowns is project refinements and changes that are implemented by the public hearing and project input process during preliminary engineering.

Sometimes Department expenditures in one component are offset by savings in another component. A common example is additional right of support effort may result in lower right of way capital expenditures. Another example is additional environmental expenditures to produce a publicly acceptable environmental document may be offset by lower design expenditures.

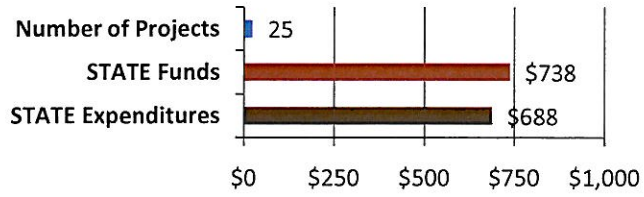
Overall Project Level

This compares expended costs to the approved budget costs for the overall project. At the project level, greater flexibility is provided when costs can be managed within a project budget and transferred between components.

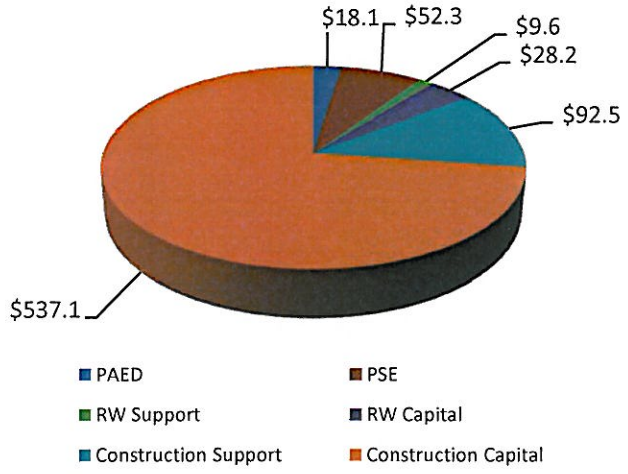
Completed FY 2012-13 STIP Projects

STIP Program Level

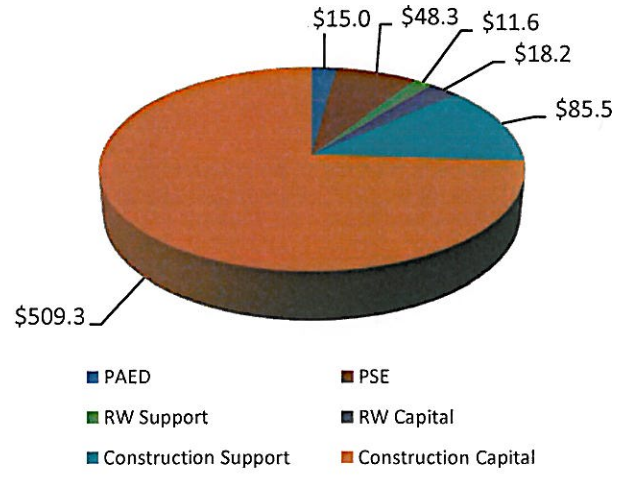
STIP Projects (millions)



Approved State Funded Budget (millions)



State Funded Expenditures (millions)



There were a total of 25 STIP projects that were completed through the fourth quarter in FY 2012-13. The total amount of State funds[1] that were approved[2] by the commission for these projects was $738[3] million. The actual cost of the projects completed was $688 million which is 94 percent of the approved funds.

(1) Funds approved by Commission, STIP, TCRP, SHOPP, ARRA, and Bond.
(2) Programmed funds, allocated funds, adjusted funds (debits, credits), and supplemental funds.
(3) Local funds are only included if they were part of the construction contract administered by the Department. Other local funds may not be reflected in accounting and data systems.

STIP Component Levels

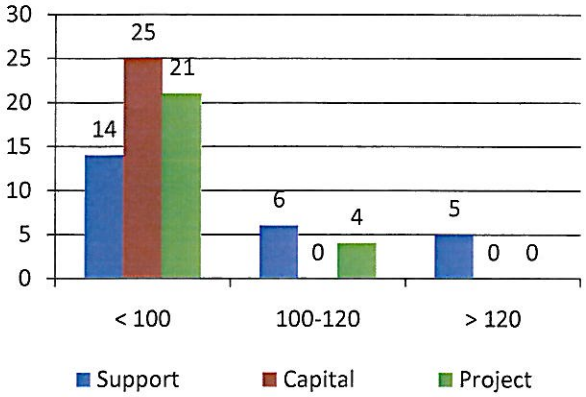
	Approved	Expended	Percent
PJD	$ 70,327	$ 63,294	90
RW	$ 37,793	$ 29,877	79
Con	$629,573	$594,791	94
Support	$172,423	$160,382	93
Capital	$565,270	$527,579	93
All	$737,693	$687,961	93

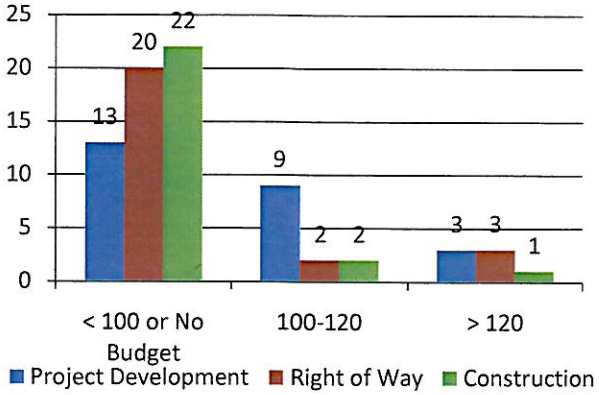
STIP Construction Capital Cost Trends

The table below provides construction capital trend information between programmed, allocated, awarded, construction (includes G-12's and supplemental) and expenditures for completed construction projects.

Construction Capital Component Budget	STIP Cost ($1,000'S)	Percent of Allocated Funds	TOTAL Cost ($1,000'S)	Percent of Allocated Funds
Programmed	$174,703	106 %	$701,193	102 %
Allocated	$164,035	100 %	$687,578	100 %
Awarded	$157,710	96 %	$537,087	78 %
Construction	NA	NA	$529,554	77 %
Expended	$150,430	92 %	$509,087	74 %

STIP Projects Completed Cost - Component Groupings



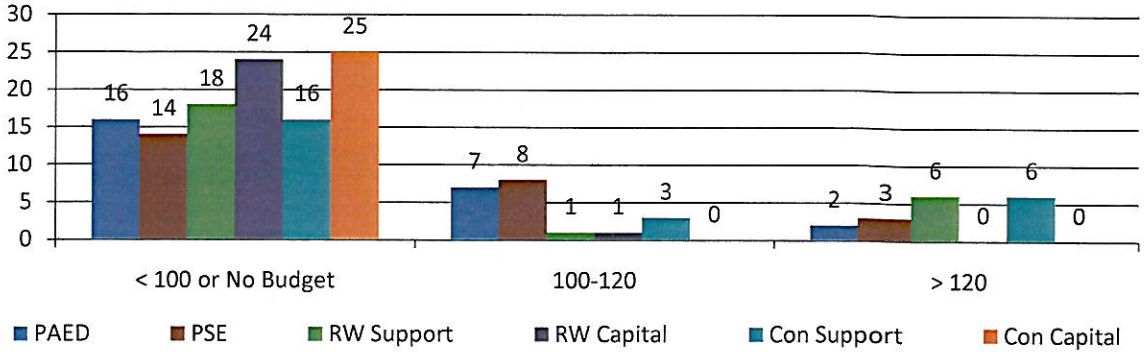


STIP Programmed and Completed Cost Information - Component Groupings

		Expended / Budget Percent	Number of Completed Projects			Budget ($1,000's)	Percent Budget	Spent ($1,000's)	Percent Spent	(+/-) ($1,000's)	Cost Ratios Spent / Budget
			Under	Over	Percent						
Capital / Support Components	Support	< 100	14		56 %	$ 123,746	72 %	$ 101,478	63 %		
		100-120[1]		6	24 %	36,049	21 %	37,698	24 %	Under Budget	
		> 120		5	20 %	12,628	7 %	21,206	13 %		
		Total				$ 172,423		$ 160,382		$ 12,041	93 %
	Capital	< 100	25		100 %	$ 565,270	100 %	$ 527,579	100 %		
		100-120[1]		0	0 %	0	0 %	0	0 %	Under Budget	
		> 120		0	0 %	0	0 %	0	0 %		
		Total				$ 565,270		$ 527,579		$ 37,691	93 %
	Project	< 100	21		84 %	$ 686,859	93 %	$ 632,937	92 %		
		100-120[1]		4	16 %	50,834	7 %	55,024	8 %	Under Budget	
		> 120		0	0 %	0	0 %	0	0 %		
		Total				$ 737,693		$ 687,961		$ 49,732	93 %
STIP Guidelines Components	PJD	No Budget	1		4 %	$ 0	0 %	$ 0	0 %		
		< 100	12		48 %	41,505	59 %	31,768	50 %		
		100-120[1]		9	36 %	23,253	33 %	24,303	38 %	Under Budget	
		> 120		3	12 %	5,569	8 %	7,224	11 %		
		Total				$ 70,327		$ 63,294		$ 7,032	90 %
	Right of Way	No Budget	2		8 %	$ 0	0 %	$ 0	0 %		
		< 100	18		72 %	28,587	76 %	19,853	66 %		
		100-120[1]		2	8 %	9,113	24 %	9,332	31 %	Under Budget	
		> 120		3	12 %	93	0 %	692	2 %		
		Total				$ 37,793		$ 29,877		$ 7,916	79 %
	Construction	No Budget	0		0 %	$ 0	0 %	$ 0	0 %		
		< 100	22		88 %	591,868	94 %	553,037	93 %		
		100-120[1]		2	8 %	36,402	6 %	40,186	7 %	Under Budget	
		> 120		1	4 %	1,303	0 %	1,568	0 %		
		Total				$ 629,573		$ 594,791		$ 34,782	94 %

[1] Reference: Table 2, California State Auditor Report 2010-122: State law requires that STIP project costs may not be changed to reflect differences that are within 20 percent of the amount programmed for actual project costs. Further, according to the chief of Caltrans' Division of Project Management, although there are no written requirements, Caltrans' practice is to manage SHOPP projects similar to STIP projects when a SHOPP project is 20 percent over its support budget.

STIP Project Completed Cost - Individual Components



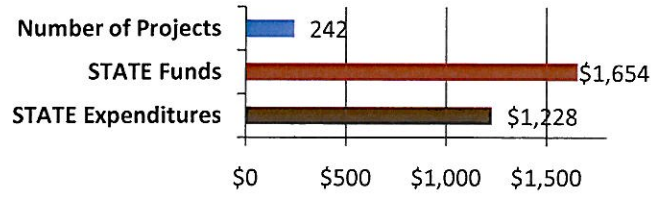
STIP Programmed and Completed Cost Information - Individual Components

		Expended / Budget Percent	Number of Completed Projects			Budget ($1,000's)	Percent Budget	Spent ($1,000's)	Percent Spent	(+/-) ($1,000's)	Cost Ratios Spent / Budget
			Under	Over	Percent						
Individual Project Components	PAED	No Budget	3		12 %	$ 0	0 %	$ 0	0 %		
		< 100	13		52 %	14,526	80 %	11,026	74 %		
		100-120[1]		7	28 %	3,428	19 %	3,792	25 %		
		> 120		2	8 %	108	1 %	177	1 %	Under Budget	
		Total				$ 18,062		$ 14,995		$ 3,067	83 %
	PSE	No Budget	1		4 %	$ 0	0 %	$ 0	0 %		
		< 100	13		52 %	31,418	60 %	24,219	50 %		
		100-120[1]		8	32 %	18,230	35 %	20,008	41 %		
		> 120		3	12 %	2,617	5 %	4,071	8 %	Under Budget	
		Total				$ 52,265		$ 48,298		$ 3,967	92 %
	RW Support	No Budget	2		8 %	$ 0	0 %	$ 0	0 %		
		< 100	16		64 %	6,298	66 %	4,825	41 %		
		100-120[1]		1	4 %	160	2 %	178	2 %		
		> 120		6	24 %	3,152	33 %	6,629	57 %	Over Budget	
		Total				$ 9,610		$ 11,632		($ 2,022)	121 %
	RW Capital	No Budget	10		40 %	$ 0	0 %	$ 0	0 %		
		< 100	14		56 %	28,183	100 %	18,238	100 %		
		100-120[1]		1	4 %	0	0 %	6	0 %		
		> 120		0	0 %	0	0 %	0	0 %	Under Budget	
		Total				$ 28,183		$ 18,244		$ 9,939	65 %
	Construction Support Pre SB 1102	No Budget	0		0 %	$ 0	0 %	$ 0	0 %		
		< 100	16		64 %	70,114	76 %	54,984	64 %		
		100-120[1]		3	12 %	17,683	19 %	17,900	21 %		
		> 120		6	24 %	4,688	5 %	12,572	15 %		
	Construction Support SB 1102	No Budget	0		0 %	0	0 %	0	0 %		
		< 100	0		0 %	0	0 %	0	0 %		
		100-120[1]		0	0 %	0	0 %	0	0 %		
		> 120		0	0 %	0	0 %	0	0 %	Under Budget	
		Total				$ 92,485		$ 85,456		$ 7,029	92 %
	Construction Capital	No Budget	0		0 %	$ 0	0 %	$ 0	0 %		
		< 100	25		100 %	537,087	100 %	509,335	100 %		
		100-120[1]		0	0 %	0	0 %	0	0 %		
		> 120		0	0 %	0	0 %	0	0 %	Under Budget	
		Total				$ 537,087		$ 509,335		$ 27,752	95 %

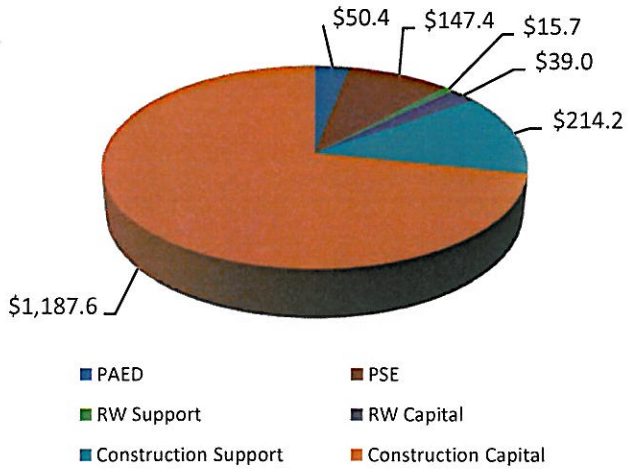
Completed FY 2012-13 SHOPP Projects

SHOPP Program Level

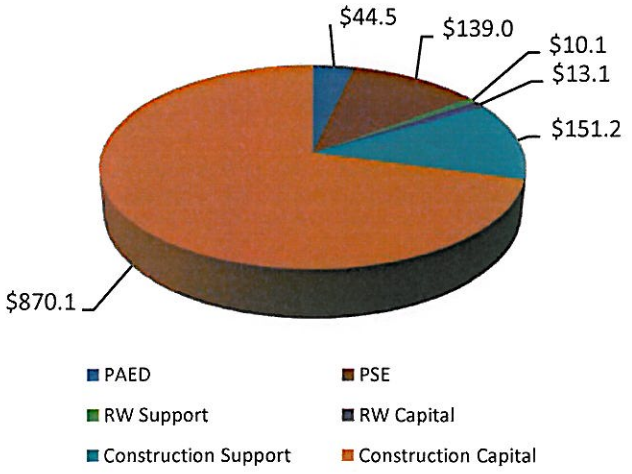
SHOPP Projects (millions)



Approved State Funded Budget (millions)



State Funded Expenditures (millions)



There were a total of 242 SHOPP projects that were completed through the fourth quarter in FY 2012-13. The total amount of State funds[1] that were approved[2] by the commission for these projects was $1,654[3] million. The actual cost of the projects completed was $1,228 million which is 74 percent of the approved funds.

(1) Funds approved by Commission, STIP, TCRP, SHOPP, ARRA, and Bond.
(2) Programmed funds, allocated funds, adjusted funds (debits, credits), and supplemental funds.
(3) Local funds are only included if they were part of the construction contract administered by the Department. Other local funds may not be reflected in accounting and data systems.

SHOPP Component Levels

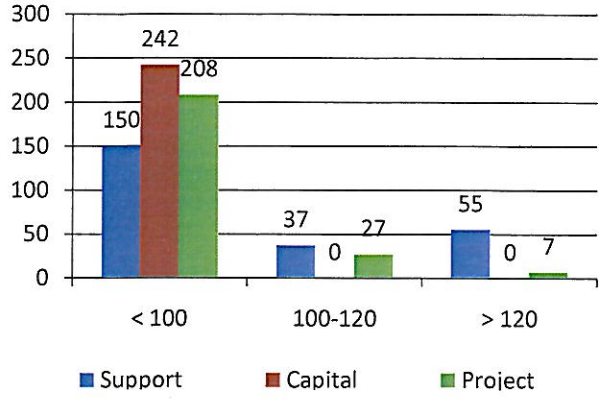
	Approved	Expended	Percent
PJD	$ 197,737	$ 183,449	93
RW	$ 54,687	$ 23,184	42
Con	$1,401,770	$1,021,269	73
Support	$ 427,632	$ 344,740	81
Capital	$1,226,562	$ 883,162	72
All	$1,654,193	$1,227,901	74

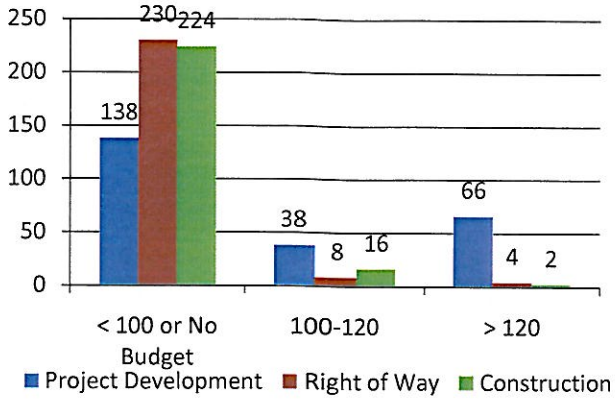
SHOPP Construction Capital Cost Trends

The table below provides construction capital trend information between programmed, allocated, awarded, construction (includes G-12's and supplemental) and expenditures for completed construction projects.

Construction Capital Component Budget	Cost ($1,000'S)	Percent of Allocated Funds
Programmed	$1,411,281	122 %
Allocated	$1,153,353	100 %
Awarded	$ 976,469	85 %
Construction	$1,008,021	87 %
Expended	$ 872,584	76 %

SHOPP Projects Completed Cost Percentages - Component Groupings



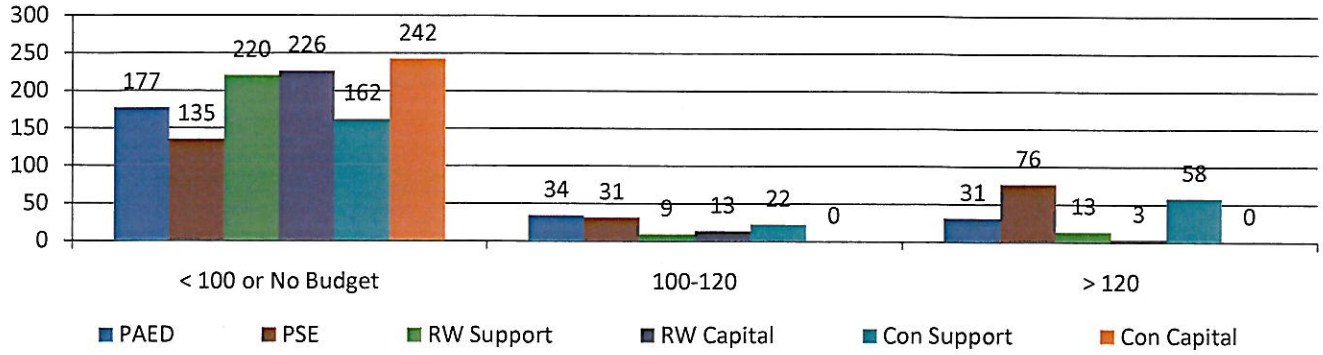


SHOPP Programmed and Completed Cost Information - Component Groupings

		Expended / Budget Percent	Number of Completed Projects			Budget ($1,000's)	Percent Budget	Spent ($1,000's)	Percent Spent	(+/-) ($1,000's)	Cost Ratios Spent / Budget
			Under	Over	Percent						
Capital / Support Components	Support	< 100	150		62%	$ 298,374	70%	$ 183,473	53%		
		100-120[1]		37	15%	83,725	20%	90,936	26%	Under Budget	
		> 120		55	23%	45,533	11%	70,331	20%		
		Total				$ 427,632		$ 344,740		$ 82,892	81%
	Capital	< 100	242		100%	$1,226,562	100%	$ 883,162	100%		
		100-120[1]		0	0%	$0	0%	0	0%	Under Budget	
		> 120		0	0%	$0	0%	0	0%		
		Total				$1,226,562		$ 883,162		$ 343,400	72%
	Project	< 100	208		86%	$1,569,435	95%	$1,134,001	92%		
		100-120[1]		27	11%	73,105	4%	78,214	6%	Under Budget	
		> 120		7	3%	11,653	1%	15,686	1%		
		Total				$1,654,193		$1,227,901		$ 426,292	74%
STIP Guidelines Components	PJD	No Budget	35		14%	$ 0	0%	$ 0	0%		
		< 100	103		43%	91,806	46%	52,505	29%		
		100-120[1]		38	16%	71,267	36%	76,728	42%	Under Budget	
		> 120		66	27%	34,664	18%	54,216	30%		
		Total				$ 197,737		$ 183,449		$ 14,288	93%
	Right of Way	No Budget	23		10%	$ 0	0%	$ 0	0%		
		< 100	207		86%	49,078	90%	17,203	74%		
		100-120[1]		8	3%	5,496	10%	5 804	25%	Under Budget	
		> 120		4	2%	113	0%	177	1%		
		Total				$ 54,687		23,184		$ 31,503	42%
	Construction	No Budget	0		0%	$ 0	0%	$ 0	0%		
		< 100	224		93%	1,358,533	97%	974,845	95%		
		100-120[1]		16	7%	40,425	3%	42,916	4%	Under Budget	
		> 120		2	1%	2,812	0%	3,508	0%		
		Total				$1,401,770		$1,021,269		$ 380,501	73%

[1] Reference: Table 2, California State Auditor Report 2010-122: State law requires that STIP project costs may not be changed to reflect differences that are within 20 percent of the amount programmed for actual project costs. Further, according to the chief of Caltrans' Division of Project Management, although there are no written requirements, Caltrans' practice is to manage SHOPP projects similar to STIP projects when a SHOPP project is 20 percent over its support budget.

SHOPP Project Completed Cost Percentages - Individual Components



SHOPP Programmed and Completed Cost Information - Individual Components

		Expended / Budget Percent	Number of Completed Projects			Budget ($1,000's)	Percent Budget	Spent ($1,000's)	Percent Spent	(+/-) ($1,000's)	Cost Ratios Spent / Budget
			Under	Over	Percent						
Individual Project Components	PAED	No Budget	82		34%	$ 0	0%	$ 0	0%		
		< 100	95		39%	29,617	59%	16,497	37%		
		100-120[1]		34	14%	17,189	34%	18,296	41%	Under Budget	
		> 120		31	13%	3,556	7%	9,698	22%		
		Total				$ 50,362		$ 44,491		$ 5,871	88%
	PSE	No Budget	36		15%	$ 0	0%	$ 0	0%		
		< 100	99		41%	75,025	51%	43,086	31%		
		100-120[1]		31	13%	37,339	25%	40,288	29%	Under Budget	
		> 120		76	31%	35,011	24%	55,584	40%		
		Total				$ 147,375		$ 138,958		$ 8,417	94%
	RW Support	No Budget	26		11%	$ 0	0%	$ 0	0%		
		< 100	194		80%	11,728	75%	4,871	48%		
		100-120[1]		9	4%	3,042	19%	3,281	33%	Under Budget	
		> 120		13	5%	910	6%	1,934	19%		
		Total				$ 15,680		$ 10,086		$ 5,594	64%
	RW Capital	No Budget	87		36%	$ 0	0%	$ 0	0%		
		< 100	139		57%	34,540	89%	8,101	62%		
		100-120[1]		13	5%	4,263	11%	4,567	35%	Under Budget	
		> 120		3	1%	204	1%	427	3%		
		Total				$ 39,007		$ 13,095		$ 25,912	34%
	Construction Support Pre SB 1102	No Budget	0		0%	$ 0	0%	$ 0	0%		
		< 100	162		67%	176,975	83%	97,298	64%		
		100-120[1]		22	9%	14,825	7%	15,935	11%		
		> 120		58	24%	22,415	10%	37,971	25%		
	Construction Support SB 1102	No Budget	0		0%	0	0%	0	0%		
		< 100	0		0%	0	0%	0	0%		
		100-120[1]		0	0%	0	0%	0	0%	Under Budget	
		> 120		0	0%	0	0%	0	0%		
		Total				$ 214,215		$ 151,204		$ 63,011	71%
	Construction Capital	No Budget	0		0%	$ 0	0%	$ 0	0		
		< 100	242		100%	1,187,554	100%	870,065	100%		
		100-120[1]		0	0%	0	0%	0	0	Under Budget	
		> 120		0	0%	0	0%	0	0		
		Total				$1,187,554		$ 870,065		$ 317,489	73%

Appendix

(A) Glossary

(B) Past Years' Contracts for Delivery Award Status Projects Not Yet Awarded

(C) Environmental Documents

(D) Status of Major Projects with Right of Way

(E) Construction Contract Administration

(F) Completed Projects Cost Information

Glossary

1st – First

2nd – Second

3rd – Third

4th - Fourth

A AB – Assembly Bill

B BATA – Bay Area Toll Authority

BIP –

BOND – Proposition 1B Bond Program

C Cap – Capital (has construction)

CE – Categorical Exemption

Cert - Certification

CTC – California Transportation Commission

Cty - County

D Doc – Document

D-EA – District and expenditure authorization

DED – Draft environmental document

E ED – Environmental Document

EIR – Environmental Impact Report

Emerg – Emergency funded project

Env - Environmental

F FED – Final environmental document

FY – Fiscal Year

FYTD – Fiscal year to date

N ND – Negative Declaration

NOP – Notice of Preparation

P PART – Partnership (local funded projects delivered by state including contributor funds on state funded projects (counts all non-STIP or non- SHOPP Funds)

PAED – Project approval and environmental document

PM – Post Mile

PSE – Plans, specifications and estimate

Q Q1 – First Quarter

Q2 – Second Quarter

Q3 – Third Quarter

Q4 – Fourth Quarter

Qtr – Quarter

R RTL – Ready to list

Rte – Route

R/W – Right-of-way

RWC – Right-of-way certification

S SDWLL – Retrofit Soundwall funded project

SHOPP – State Highway Operations and Protection Program

STIP – State Transportation Improvement Program

T TBSRA – Toll Bridge Seismic Retrofit Account

TCRF – Traffic congestion relief funds

Prior Years' Contracts for Delivery Award Status

Projects initial bid.

FY CFD	D-EA	Pgm	Cty	Rte	Description	Value	Ready to List	Vote	Ad	Bid Opening	Comments
11-12	04-1G560	SHOPP	ALA	880	REMOVE EXISTING MEDIAN DOUBLE METAL BEAM BARRIER AND INSTALL TYPE 60A	$1,500	06/29/12	08/22/12	04/08/13	05/21/13	Bid DBE GFE issues.
11-12	08-0K230	SHOPP	RIV	010	PCC GRINDING AND SLABS REPLACEMENT	$6,778	05/16/12	08/06/13	06/07/13	10/03/13	Advanced delivery, no capacity to fund when delivered.
11-12	08-36850	SHOPP	SBD	15	Const Comm Veh Enforcement Facility (Cvef)& Agric Inspec Facility (Aif	$ 71,244	06/30/11	05/07/13	06/03/13	07/25/13	Low bid 16% over EE.
11-12	11-07670	SHOPP	IMP	000	CONSTRUCT MAINTENANCE STATION	$9,158	11/26/11	05/07/13	05/13/13	06/13/13	Low bid 38% over EE.
11-12	12-0H208	SHOPP	ORA	055	FLATTEN THE SLOPE ABOVE THE MAINTENANCE ACCESS ROAD	$4,458	03/01/12	08/06/13	08/12/13	09/12/13	Out to bid. RW Cert upgraded recently.
						$93,138					

Projects allocated, not advertised

11-12	07-1170U (117080)	STIP/ CMAQ	LA	010	CONSTRUCT HOV LANES & SOUNDWALLS	$134,760	08/15/13	12/06/12			Recently delivered.
11-12	08-0J010	SHOPP	SBD	018	COSNTRCUT LEFT TURN IN BOTH DIRECTION WIDEN SHOULDER WEST BOUND	$803	06/30/12	08/06/13			Delivered with RW Cert 3, recently upgraded.
						$135,563					

Projects delivered, not allocated with issue pending

11-12	02-0E360	SHOPP	TEH	005	REBUILD N/B & S/B FACILITIES AT CORNING SRRA'S	$6,000	12/01/11				SRRA projects low priority.
11-12	07-27820	SHOPP	LA	010	INSTALL ADA CURB RAMPS	$3,000	05/22/12				Project plans being updated to new spec requriements.
11-12	08-0G620	SHOPP	SBD	038	GRIND 30MM & OVERLAY 45MM DGAC TYPE A	$13,155	01/31/12				Advanced delivery, no capacity to fund when delivered.
11-12	08-0K280	SHOPP	SBD	040	GRIND 45 MM AND OVERLAY 60 MM AC	$23,219	08/15/11				Advanced delivery, no capacity to fund when delivered.
						$45,374					

Project Approval (PR) Final Environmental Document (FED) Milestone Delivery

First Quarter - 34 Planned Deliverables

D	EA	Pgm	Cty	Rte	Description	PR	FED
01	49650	SHOPP	HUM	101	EXCAVATE HAZARDOUS WASTE		
01	0B190	SHOPP	HUM	101	INSTALL MEDIAN BARRIER		
01	0B430	SHOPP	HUM	101	BIG LAGOON SLIPOUT REPAIR		
01	0B470	SHOPP	MEN	001	REPAIR SLIPOUT		
02	1E060	STIP	MOD	139	INSTALL CCTV AND RWIS		
02	4E530	SHOPP	SHA	005	BRIDGE REHABILITATION.		
03	0F230	SHOPP	SAC	050	INSTALL TWO 4" MICRODECK SYSTEMS TO REHA		
03	1F280	STIP	GLE	005	NATIVE PLANTING		
03	1F290	STIP	COL	005	NATIVE PLANTING		
03	1F330	SHOPP	ED	193	IMPROVE SUPERELEVATION OF CURVE		
03	2F150	SHOPP	ED	049	LEFT TURN CHANNELIZATION		
03	3F320		SAC	050	PLACE THIN HIGH FRICTION SURFACE		
03	4E480	STIP	SAC	099	INSTALL NATIVE PLANTING		
04	3G300		CC	160	FENDER SYSTEM		
04	4A630	SHOPP	VAR	000	CONSTRUCT WHEELCHAIR RAMPS		
05	1C320	SHOPP	SLO	046	INSTALL RUMBLE STRIPS		
06	0N380	SHOPP	KER	178	CONSTRUCT RETAINING STRUCTURES		
07	27490	SHOPP	LA	110	SOURCE CONTROL *SHOPP CANDIDATE		
07	27590	SHOPP	LA	110	SOURCE CONTROL *SHOPP CANDIDATE		
07	3X350	SHOPP	LA	105	RECONSTRUCT THE FAILED SLOPE *DIR		
07	3X410	SHOPP	LA	002	GRADE SLOPE,PLACE EROSION CONTROL *DIR		
07	4T560	SHOPP	LA	107	SIGNALS		
07	4T570	SHOPP	LA	105	RDWRK/FLSHNG BCN/LGTHNG DRNG,STRPNG		
07	4T580	SHOPP	LA	210	INSTALL TRAFFIC SIGNAL		
08	33630	SHOPP	SBD	38	REPLACE BRIDGE DECK, UPGRADE BRIDGE RAIL, A		
08	43541	SHOPP	SBD	040	PLACE ROCK SLOPE PROTECTION		
08	0P310	SHOPP	SBD	395	INSTALL LEFT-TURN PHASING		
08	0Q230	SHOPP	SBD	018	SIGNALIZE INTERSECTION. PLUS CURB RAMPS, AL		
08	3348H	STIP	RIV	215	FACILITATE MANAGING LANDSCAPE DESIGN. PL		
09	34650	SHOPP	MNO	266	CURVE CORRECTION/COLLISION SEVERITY REDU		
10	0K021	SHOPP	MER	099	INSTALL ABOUT 220 TREES AND 800 GROUNDCO		
11	26330	STIP	IMP	008	REVISED INTERCHANGE		
11	29230	SHOPP	SD	805	STORM WATER MITIGATION SLOPE EROSION REF		
11	40670	SHOPP	SD	005	PAVEMENT REHABILITATION		

Second Quarter - 34 Planned Deliverables

D	EA	Pgm	Cty	Rte	Description	PR	FED
01	0C360		MEN	101	INSTALL HIGH FRICTION COURSE TREATMENT		
02	1E000	STIP	MOD	299	INSTALL HAR		
02	29971	STIP	MOD	299	WIDEN TO THREE LANES		
02	4E610	SHOPP	TRI	299	REPAIR SLIDES.		
03	0F270	SHOPP	YUB	065	SCOUR MITIGATION BRIDGE REHAB		
03	1A843	SHOPP	ED	089	STORM WATER QUALITY IMPROVEMENTS O/L, W		
03	1A845	SHOPP	ED	089	STORM WATER QUALITY IMPROVEMENTS O/L, W		
03	1F420	SHOPP	BUT	099	SHOULDER WIDENING ON STRUCTURE		
03	2F000	SHOPP	VAR	000	UPGRADE GUARDRAIL END TREATMENTS		
03	2F970	SHOPP	COL	020	HMA OVERLAY		
03	4E860	SHOPP	PLA	193	IMPROVE AND WIDEN CURVE		
03	4M200	SHOPP	COL	005	SUB-SCOUR MITIGATION-7 BRIDGES		
04	2G870	SHOPP	MRN	001			
04	4A090	SHOPP	NAP	029	REPLACEMENT OF TROUTDAL CREEK BRIDGE ON		
05	0T500	STIP	SCR	000	VISTA POINT INTERPRETIVE DISPLAYS		
05	0T640	SHOPP	SBT	025	CURVE CORRECTION		
06	0E680	STIP	MAD	099	99 CORRIDOR-BRIDGE ENHANCEMENT		
06	0G850		KER	058	WIDEN FREEWAY FROM 4 TO 6 LANES		
06	0J530	SHOPP	TUL	190	INTERSECTION IMPROVEMENTS		
07	26560	SHOPP	LA	138	WIDEN ROADWAY AND PAVE SHOULDERS		
07	28850	SHOPP	LA	405	PAVE AREA,CONSTRUCT SCALE HOUSE		
07	29250	SHOPP	VEN	101	MODIFY TRAFFIC SIGNAL		
08	0071H		SBD	066	REPLACE LANDSCAPE & IRRIGATION		
08	0071J		SBD	215	REPLACE LANDSCAPE AND IRRIGATION		
08	0071K		SBD	215	REPLACE LANDSCAPE AND IRRIGATION		
08	0071L		SBD	215	REPLACE LANDSCAPE AND IRRIGATION		
08	0G900	SHOPP	SBD	247	CONSTRUCT STANDARD PAVED SHOULDER		
08	0M200	SHOPP	RIV	371	CONSTRUCT SHOULDER		
08	0N560	SHOPP	SBD	040	BRIDGE REPLACEMENT		
08	0N591	SHOPP	SBD	040	BRIDGE REPLACEMENT		
08	0R840	SHOPP	SBD	015	REPAIR CULVERT BY COMPACTION GROUTING TH		
10	0U610	SHOPP	SJ	012	REMOVE & REPLACE EXISTING CONCRETE BRIDG		
11	40850	SHOPP	SD	125	INSTALL MEDIAN BARRIER		
12	0J61U	SHOPP	ORA	005	REVEGETATION OF EXPOSED SLOPES FOR NPDES		

Third Quarter - 28 Planned Deliverables

D	EA	Pgm	Cty	Rte	Description	PR	FED
01	49710	SHOPP	MEN	271	HAZARDOUS WASTE MITIGATION		
01	0B000	SHOPP	LAK	020	REPLACE HOT MIX ASPHALT, APPLY GAP GRADED		
01	0B100	SHOPP	HUM	101	INSTALL CABLE MEDIAN BARRIER		
02	4C402	STIP	SHA	005	WIDEN TO 6 LANES.		
02	4F100	SHOPP	PLU	070	PERM. RESTORATION		
03	3E120	SHOPP	BUT	070	SEISMIC RETROFIT		
03	0F690	SHOPP	BUT	070	REPLACE BRIDGE		
03	1F260	STIP	SIE	089	CONSTRUCT TWO WILDLIFE UNDERCROSSINGS A		
03	1F400		PLA	080	WIDENING EASTBOUND ROADWAY		
03	2F160		SAC	160	RE-ALIGN ROUTE		
03	3C000		SAC	005	CONST HOV LANES		
03	3F000	SHOPP	YUB	020	HMA OVERLAY		
03	3F180	SHOPP	SAC	080	PLACE VEGETATION CONTROL AND GRAVEL		
04	04100		SM	092	RESURFACE ORTHOTROPIC DECK		
04	1SS02	SHOPP	ALA	013			
05	0G040	SHOPP	SLO	101	HIGHWAY REHAB		
06	0M050	SHOPP	FRE	168	REALIGN CURVE		
07	28380	STIP	LA	101	VINE PLANTING TRANS ENHANCEMENT *ITIP TE I		
07	29090	SHOPP	LA	210	REPLACE MBGR TO CONCRETE BARRIER		
08	04351	STIP	SBD	58	REALIGN & WIDEN 2 TO 4 LANE EXPWY		
08	0J990	SHOPP	SBD	018	REPLACE AND REPAIR DAMAGED SIDEWALK AND		
08	0P980	SHOPP	SBD	018	SIGNALIZE INTERSECTION. ADA REQUIREMENTS		
08	0Q600	SHOPP	SBD	002	MODIFY DIKE AND PLACE CONCRETE SLOPE		
09	33500	SHOPP	MNO	395	MITIGATE MONO LAKE ROCKFALL		
10	0S870	SHOPP	STA	004	SHOULDER WIDENING WITH RUMBLE STRIPS		
11	26041	SHOPP	SD	008	DRAINAGE IMPROVEMENTS		
11	28250	SHOPP	SD	005	STORM WATER MITIGATION STORM WATER MIT		
12	0H900	SHOPP	ORA	055	REMOVE DISEASED EUC. TREES, UPGRADE EXIST		

Fourth Quarter - 23 Planned Deliverables

D	EA	Pgm	Cty	Rte	Description	PR	FED
01	47940	STIP	DN	199	SHOULDER WIDENING & BRIDGE WIDENING /REI		
01	4500U	SHOPP	DN	199	WIDENING		
02	2E740	SHOPP	SHA	299	CURVE IMPROVEMENT		
02	3E410	SHOPP	SHA	299	HIGHWAY REALIGNMENT		
02	3E790	SHOPP	TRI	299	CURVE IMPROVEMENTS		
02	4E410	SHOPP	TRI	299	.121 WEAVERVILLE CAPM		
03	3E110	SHOPP	NEV	080	PAINT STRUCTURES		
04	1G850	SHOPP	SM	092	INSTALL OR MODIFY METAL BEAM GUARD RAILS		
04	3G450		CC	580	REPLACE JOINT SEALS		
04	3G750	SHOPP	SM	092	CONSTRUCT RSP AND INSTALL DRAINAGE		
04	3G760	SHOPP	NAP	128	INSTALL SLOPE INDICATORS,INSTALL NEW HORIZ		
05	0T540	SHOPP	SCR	129	CURVE REALIGNMENT		
07	28720	SHOPP	LA	134	STORM WATER SOURCE CONTROL *2012 SHOPP		
07	28730	SHOPP	LA	210	STORM WATER SOURCE CONTROL *2012 SHOPP		
07	29210	SHOPP	LA	101	MAINTENANCE SAFETY		
07	29220	SHOPP	LA	002	MAINTENANCE SAFETY		
09	35120	SHOPP	INY	395	SHOULDER WIDENING AND CONSTRUCT RUMBL		
10	0Q120	STIP	MER	099	WIDEN FROM FOUR LANES TO SIX LANES		
10	0Q170	SHOPP	SJ	580	DIGOUT AND REPAIR OF LOCALIZED FAILURES/AC		
11	26160	SHOPP	SD	005	FREEWAY MAINTENANCE ACCESS		
11	40930	SHOPP	SD	075	BRIDGE REHABILITATION		
11	41060	SHOPP	SD	005	INSTALL AND UPGRADE CURB RAMPS		
12	0H20U	SHOPP	ORA	055	REMOVE DISEASED TREES AND UPGRADE HIGHW		

Legend

- Completed
- N — Not Needed due to Env Doc Change
- Behind Schedule
- To Be Completed
- Delay Out of Year

Draft Environmental Document (DED) Milestone Delivery

First Quarter - 12 Planned Deliverables

D	EA	Pgm	Cty	Rte	Description	DED
03	0F270	SHOPP	YUB	065	SCOUR MITIGATION BRIDGE REHAB	
03	0F690	SHOPP	BUT	070	REPLACE BRIDGE	
03	1F400		PLA	080	WIDENING EASTBOUND ROADWAY	
03	1F420	SHOPP	BUT	099	SHOULDER WIDENING ON STRUCTURE	
03	4E860	SHOPP	PLA	193	IMPROVE AND WIDEN CURVE	
03	4M200	SHOPP	COL	005	SUB-SCOUR MITIGATION-7 BRIDGES	
04	4A090	SHOPP	NAP	029	REPLACEMENT OF TROUTDAL CREEK BRIDGE ON	
05	0G040	SHOPP	SLO	101	HIGHWAY REHAB	
06	43080	STIP	TUL	065	CONSTRUCT 4-L EXPRESSWAY ALONG AN EXISTIN	
06	0G850		KER	058	WIDEN FREEWAY FROM 4 TO 6 LANES	
06	0M050	SHOPP	FRE	168	REALIGN CURVE	
08	04351	STIP	SBD	58	REALIGN & WIDEN 2 TO 4 LANE EXPWY	

Second Quarter - 6 Planned Deliverables

D	EA	Pgm	Cty	Rte	Description	DED
01	49710	SHOPP	MEN	271	HAZARDOUS WASTE MITIGATION	
03	3E120	SHOPP	BUT	070	SEISMIC RETROFIT	
03	2F160		SAC	160	RE-ALIGN ROUTE	
05	0T540	SHOPP	SCR	129	CURVE REALIGNMENT	
08	0Q600	SHOPP	SBD	002	MODIFY DIKE AND PLACE CONCRETE SLOPE	N
10	0Q120	STIP	MER	099	WIDEN FROM FOUR LANES TO SIX LANES	

Third Quarter - 11 Planned Deliverables

D	EA	Pgm	Cty	Rte	Description	DED
02	3E410	SHOPP	SHA	299	HIGHWAY REALIGNMENT	
02	4C402	STIP	SHA	005	WIDEN TO 6 LANES.	
04	3G620	SHOPP	SF	101	BRIDGE REHABILITATION	N
04	4S190	SHOPP	SON	116	CONSTRUCT SOLDIER PILE WALL	N
05	0T630	SHOPP	SB	101	CURVE REALIGNMENT	
08	34770	STIP	SBD	58	CONSTRUCT 4-LANE EXPWY ON NEW ALIGN	
08	0J930	SHOPP	SBD	015	REHABILITATE BRIDGE	
08	0Q300	SHOPP	SBD	138	CONSTRUCT TWO LANE CONVENTIONAL HWY	
09	35120	SHOPP	INY	395	SHOULDER WIDENING AND CONSTRUCT RUMBLI	
10	0F280	SHOPP	CAL	004	CONSTRUCT WALL FOR SEDIMENT CONTROL	
10	0V290	SHOPP	CAL	026	INSTALL A TWO-WAY LEFT-TURN LANE	N

Fourth Quarter - 10 Planned Deliverables

D	EA	Pgm	Cty	Rte	Description	DED
01	26201	STIP	MEN	101	RYAN CREEK FISH PASSAGE - COHO SALMON MIT	
01	49771	SHOPP	MEN	001	PERMANENT RESTORATION: REPAIR SLIPOUT / F	
01	0A360	SHOPP	HUM	299	CURVE IMPROVEMENT	
01	0B500	SHOPP	MEN	101	REPAIR SLIDES	
03	0F240	SHOPP	SAC	005	PLACE 3/4" POLYESTER OVERLAY, REPLACE JT SE	
03	2F210	SHOPP	SAC	050	BRIDGE DECK REHABILITATION	
04	17244	SHOPP	ALA	084	ENVIRONMENTAL MITIGATION	
04	0A020	SHOPP	SON	001	REALIGN ROADWAY	
04	1G020		SM	082	INSTALL LEFT TURN CHANNELIZATION	
08	0N970	SHOPP	SBD	395	CONSTRUCT 4' MEDIAN BUFFER & WIDEN EXISTI	

- Completed
- N — Not Needed due to Env Doc Change
- Behind Schedule
- To Be Completed
- Delay Out of Year (see delay categories below)

2012/13 FY Right of Way Capital Major Project List

D	EA	PPNO	Cty	Rte	Description	RW Cert Date	RW Capital All Funds	RW Capital STIP/ SHOPP	Doc Year	Total RW Capital Estimate	2013 FY Alloc Plan	TOTAL FYTD Commitments as of 07/29/13	Allocation Expended %	Allocation Remaining	1st Qtr	2nd Qtr	3rd Qtr	4th Qtr
01	262001	0125F	MEN	101	CONSTRUCT 4-LANE FREEWAY, PHASE 1	5/28/2010	33,000	26,750	2010	42,188	15,020,000	2,429,700	16.18%	12,590,300	1,112	2,012,938	4,726	410,924
01	3986U1		LAK	053	WIDEN ROADWAY WITH HMA OVER AB	5/25/2010	1,086	1,086	2008	1,031	1,021,000	0	0.00%	1,021,000	0	0	0	0
02	360700	3427	SHA	299	ROADWAY REHABILITATION	4/1/2013	3,092	3,092	2010	2,143	2,039,000	595,300	29.20%	1,443,700	184,450	119,656	213,665	77,530
03	3C3800	3258	ED	050	STORM WATER MITIGATION	6/1/2013	3,400	3,400	2010	3,002	2,378,000	454,834	19.13%	1,923,166	70,247	69,200	74,900	240,487
04	245441		SON	101	COLLEGE AVENUE IMPROVEMENT	8/20/2012	4,430	4,100	2010	4,509	1,256,000	441,410	35.14%	814,590	0	0	379,629	61,781
04	264071	0360J	MRN	101	CONSTRUCT INTERCHANGE ON RTE 101 AT SAN ANTONIO RD;INCLUDING FRONTAGE	4/26/2012	24,566	8,254	2010	33,803	2,336,000	1,684,166	72.10%	651,834	15,021	1,003,581	549,352	116,212
04	264081	0360H	SON	101	CONSTRUCT INTERCHANGE ON RTE 101 AT PETALUMA BLVD;INCLUDING FRONTAGE	4/15/2012	13,406	10,810	0	0	4,815,000	2,498,537	51.89%	2,316,463	401,852	1,543,776	632,088	(79,179)
04	4A5100	0609K	SF	280	REPLACE BRIDGE HINGES	5/1/2013	3,570	3,570	2010	3,390	1,413,000	290,629	20.57%	1,122,371	235,364	0	52,715	2,550
05	315801	0058E	MON	101	CONSTRUCT NEW INTERCHANGE AT SAN JUAN ROAD	4/2/2012	26,900	16,450	2010	26,899	13,236,000	377,415	2.85%	12,858,585	4,718	967	27,594	344,137
05	330771	0226G	SLO	046	CONVERT TO 4-LANE EXPRESSWAY	1/6/2012	4,400	4,400	2010	3,320	1,150,000	510,598	44.40%	639,402	0	214,572	112,562	183,464
05	344901	0297	SBT	156	WIDEN 2 TO 4 LANES	1/16/2015	14,636	14,636	2010	21,807	5,649,000	0	0.00%	5,649,000	0	0	0	0
05	492801	4928	SLO	001	REALIGN ROADWAY	8/8/2013	14,170	14,170	2010	15,603	8,600,000	55,368	0.64%	8,544,632	20,150	114	3,365	31,739
05	0C6401	6400	SB	246	PASSING LANES AND OPERATIONAL IMPROVEMENTS	4/3/2013	1,205	1,205	2010	1,073	1,056,000	0	0.00%	1,056,000	0	0	0	0
05	4482U1	0482	SB	101	RECONSTRUCT INTERCHANGES (2) AND REPLACE CARPINTERIA CR BR	7/15/2014	9,597	5,883	2010	9,388	3,101,000	183,794	5.93%	2,917,206	6,000	0	800	176,994
06	487501	4348	KIN	198	RECONSTRUCT INTERCHANGE	8/15/2012	3,327	1,327	2010	1,704	1,196,000	699,756	58.51%	496,244	40,111	9,204	173,778	476,664
07	119341	0310B	LA	10	CONSTRUCT HOV LANE IN EA DIRECTION *OVERSIGHT ONLY*PR & ENVR ONLY*$	4/11/2013	8,000	8,000	2010	6,306	4,973,000	21,227	0.43%	4,951,773	21,227	0	0	0
07	172151	2022C	LA	30	FREEWAY CONSTRUCTION	6/16/2000	31,739	31,739	1998	22,505	3,390,000	0	0.00%	3,390,000	0	0	0	0
07	202111	4137	LA	710	LONG LIFE PAVEMENT & WIDEN BRIDGES	5/11/2011	3,000	3,000	2010	2,270	1,000,000	167,612	16.76%	832,388	0	69,653	38,115	59,844
07	202121	4137A	LA	710	LONG LIFE PAVEMENT & WIDEN BRIDGES	4/8/2013	14,500	14,500	2010	23,297	11,000,000	3,372,876	30.66%	7,627,124	0	0	1,400	3,371,476
07	215921	2808	LA	005	ROADWAY WIDENING (SEG 2) *POR=2159A1	1/4/2013	249,994	89,757	2010	400,434	26,930,000	82,970,757	308.10%	(56,040,757)	2,619,199	10,840,629	2,461,587	67,049,341
07	215941	4155	LA	005	ROADWAY WIDENING (SEG 4) *POR=2159A1	3/9/2012	111,583	85,404	2010	239,388	25,568,000	9,484,797	37.10%	16,083,203	3,576,110	3,932,366	1,368,009	608,311
07	215951		LA	005	ROADWAY WIDENING & STRIPING (SEG 5) *POR=2159A1	1/4/2013	36,452	36,452	2010	83,755	16,000,000	15,672,379	97.95%	327,621	1,558,572	595,742	1,822,918	11,695,147
07	260701	3918	VEN	101	HOV LANES *COMBINED/196401	6/22/2011	1,980	1,980	2010	1,975	1,000,000	168	0.02%	999,832	168	0	0	0
07	293901	4554	LA	060	BRIDGE REPLACEMENT	2/3/2012	1,000	1,000	2010	6,105	1,000,000	609,689	60.97%	390,311	768,495	0	0	(158,806)
07	1170U1	0309N	LA	010	CONSTRUCT HOV LANES & SOUNDWALLS *COMB WITH 117081 & 111721	6/8/2012	20,304	20,304	2010	31,944	4,500,000	9,924,987	220.56%	(5,424,987)	765,345	35,724	59,921	9,063,997
08	355560	0174L	SBD	015	ADD N/B MIXED FLOW LANE W/AUX LANE. RECON "D" & "E" ST ICS & STODDARD	1/7/2013	23,984	23,984	2010	32,094	5,881,000	873,611	14.85%	5,007,389	374,359	33,498	426,954	38,800
08	0F6601	0253E	SBD	247	CONSTRUCT SHOULDERS @ VARIOUS LOC	9/6/2013	3,074	3,074	2010	3,473	1,132,000	130,065	11.49%	1,001,935	29,100	44,100	34,921	21,944
08	3401U1	0239D	SBD	138	WIDEN TO 4 LNS FR BEEKLEY RD TO JCT 15, ADD 1 LN EB FR PHELAN RD TO	12/5/2013	3,569	3,569	2010	9,927	3,569,000	1,103,823	30.93%	2,465,177	12,607	97,380	272,195	721,642
10	0A872	9940C		219	WIDEN TO 4 LANES AND INTERSECTION IMPROVEMENTS	11/7/2011	17,281	15,041	2010	15,696	1,600,000	1,153,326	72.08%	446,674	22,526	816,684	236,886	77,230
11	167891		IMP	078	CONSTRUCT FOUR LANE EXPRESSWAY	4/1/2010	26,375	13,371	2010	18,016	1,912,000	450	0.02%	1,911,550	0	0	0	450
12	0C5601	4587A	ORA	091	PROVIDE AN AUXILIARY LANE FROM NB SR-55 TO TUSTIN AVE OFF-RAMP	6/15/2013	1,700	1,700	2010	6,077	1,700,000	4,867,514	286.32%	(3,167,514)	42,424	126,905	2,739,548	1,958,638
12	0E3101	4102	ORA	074	RECONSTRUCT IC AT SR-74	4/19/2012	28,753	28,753	2010	28,753	7,680,000	2,079,472	27.08%	5,600,528	153,661	310,207	1,012,344	603,260
12	0H2081	3577A	ORA	055	FLATTEN THE SLOPE ABOVE THE MAINTENANCE ACCESS ROAD	5/24/2012	11,670	11,670	2010	3,166	2,500,000	1,300,035	52.00%	1,199,965	35	0	0	1,300,000
Grand Total											185,601,000	143,954,296	78%	41,646,704	10,922,852	21,876,896	12,699,971	98,454,576

Caltrans

Division of Construction
CONTRACT ADMINISTRATION
Q4 Fiscal Year 2012/13 ($ in Millions)

Construction Contracts - Quarterly Status Report[a]	Q4 11/12		Q1 12/13		Q2 12/13		Q3 12/13		Q4 12/13	
	Number	Value	Number	Value	Number	Value	Number	Value	Number	Value
1. Ongoing Contracts @ Beginning of Quarter	640	$11,085	769	$11,305	744	$11,690	644	$12,217	653	$ 12,346
2. New Contracts this Quarter	245	$ 919	103	$ 775	88	$ 1,345	115	$ 925	176	$ 397
3. Accepted Contracts this Quarter	116	$ 699	128	$ 390	188	$ 818	106	$ 796	94	$ 588
Ongoing Contracts @ End of Quarter (1+2-3)	769	$11,305	744	$11,690	644	$12,217	653	$12,346	735	$ 12,155
4. Accepted Contracts @ Beginning of Quarter	1,331	$ 7,802	1,305	$ 7,393	1,316	$ 6,902	1,417	$ 7,307	1,430	$ 7,451
5. Accepted Contracts this Quarter	116	699	128	390	188	818	106	796	94	588
6. Contracts Closed this Quarter	140	1,103	115	874	85	407	89	647	79	483
7. New Contracts in Arbitration this Quarter	2	5	2	7	2	6	4	5	6	130
Accepted Contracts @ End of Quarter (4+5-6-7)[b]	1,305	$ 7,393	1,316	$ 6,902	1,417	$ 7,307	1,430	$ 7,451	1,439	$ 7,426
Accepted Contracts with claims only @ End of Quarter[c]	58	$1,110	64	$1,394	105	$693	91	$794	65	$778
8. Contracts in Arbitration @ Beginning of Quarter[d]	38	$ 913	32	$ 578	28	$ 485	23	$ 344	22	$ 260
9. New Contracts in Arbitration this Quarter	2	5	2	7	2	6	4	5	6	130
10. Contracts with Arbitration Settlements/Awards this Quarter	8	340	6	100	7	147	5	89	3	127
Contracts in Arbitration @ End of Quarter (8+9-10)	32	$ 578	28	$ 485	23	$ 344	22	$ 260	25	$ 263

a Quarterly figures updated to reflect revised or new data at the end of the current quarter.

b Accepted contracts with close-out activities in progress. Contracts in arbitration are not included.

c Beginning Quarter 1 of FY 2010/2011, quarterly figures exclude contracts that have a final estimate (FE), a district director determination (DDD), or district expenditures done (DED).

d A contractor may file for arbitration 240 days after project acceptance, or within 90 days after final determinations on claims have been made. Contractors must file within 90 days after the Department makes a final determination on claims or lose opportunity for arbitration. (Contractors have 180 days to file on contracts that were approved prior to January 1, 1999.)

Total dollar amount of claims at end of current quarter = $23.1M
Total dollar amount filed for in arbitration at end of current quarter = $46.1M

Includes contracts flagged as: Emergency, Local Assistance, and Warranty

FY 2012-13 Completed STIP Projects, (STATE Funds Only Support, Right of Way, State & Local Funds for Construction Capital)

				Project Development PJD					Right of Way Component					Construction Component							Total Overall Project	
				PAED		PSE			RW Support		RW Capital			CON Support		SB102		CON Capital				
D	CTY	RT	Description	Bud	Cost	Bud	Cost		Bud	Cost	Bud	Cost		Bud	Cost	PROJ?		Bud	Cost			
				☒ Costs > 120%					◆ Costs 100 - 120%					☑ Costs < 100%								
Quarter 1 Projects (7 Projects)																						
1	Men	101	Simpson Ln Intersection	$ 642,640	$ 642,640	$ 922,363	$ 922,363	☑	$ 372,207	$ 372,207	$ 640,178	640,178	☑	$ 323,421	$ 323,421	☑	N	$ 1,695,000	$ 1,659,154	☑	☑	
4	SCl	680	Sunol Grade SB HOV Ph 3	$ 345,000	$ -	$ 5,715,000	$ 6,121,000	◆	$ 160,000	$ 178,000	$ 1,295,000	151,000	☑	$ 8,245,000	$ 4,425,000	☑	N	$ 51,701,000	$ 50,198,000	☑	☑	
5	SB	101	Landscaping	$ 1,049,000	$ 962,682	$ 3,051,000	$ 3,388,697	◆	$ 559,000	$ 340,504	$ 414,000	247,989	☑	$ 2,470,000	$ 3,131,431	☒	N	$ 9,554,000	$ 8,785,312	☑	☑	
5	SLO	101	Wildlife Fencing	$ -	$ -	$ -	$ -		$ -	$ -	$ -	$ -		$ 50,000	$ 106,595	☒	N	$ 597,800	$ 596,800	☑	◆	
6	Fre	99	North Fresno 6 Ln	$ 500,000	$ 494,458	$ 1,500,000	$ 1,491,674	☑	$ 200,000	$ 9,187	$ 250,000	87,638	☑	$ 3,500,000	$ 3,206,647	☑	N	$ 17,270,000	$ 16,834,950	☑	☑	
8	Riv	10	Ramon Rd IC	$ 1,816,000	$ 1,696,102	$ 7,661,000	$ 6,164,059	☑	$ 1,499,000	$ 1,100,851	$ 10,749,000	7,510,636	☑	$ 5,429,000	$ 5,248,692	☑	N	$ 25,523,000	$ 25,000,000	☑	☑	
9	Iny	Var	Historic Alignment Pullouts	$ 71,000	$ 87,232	$ 179,000	$ 145,302	☑	$ 8,000	$ -	$ -	0	☑	$ 115,000	$ 76,731	☑	N	$ 410,000	$ 369,535	☑	☑	
Quarter 2 Projects (8 Projects)																						
5	Mon	101	Airport Blvd IC East	$ 976,000	$ 1,085,234	$ 1,121,000	$ 1,515,400	☒	$ 784,000	$ 539,553	$ 1,896,000	942,699	☑	$ 3,500,000	$ 2,844,817	☑	N	$ 10,754,531	$ 9,347,940	☑	☑	
5	SB	101	Milpas-Cabrillo Op Impvmts	$ 3,000,000	$ 2,299,180	$ 6,610,000	$ 6,604,768	☑	$ 2,200,000	$ 1,986,437	$ -	$ 6,350	☑	$ 14,532,000	$ 12,936,828	☑	N	$ 58,687,000	$ 58,686,325	☑	☑	
6	Ker	99	Tree Planting	$ 35,000	$ 37,263	$ 246,000	$ 230,113	☑	$ 3,000	$ 492	$ -	0	☑	$ 424,000	$ 186,748	☑	N	$ 456,000	$ 336,762	☑	☑	
7	LA	134	Rte 134 On Ramp Hollywood	$ 1,054,000	$ 922,612	$ 3,712,000	$ 3,942,113	◆	$ 922,000	$ 1,316,194	$ 5,339,000	5,013,767	◆	$ 1,320,000	$ 7,806,318	☒	N	$ 34,434,000	$ 31,675,835	☑	◆	
8	SBd	15	Desert Group Visitor Center	$ 74,000	$ 73,615	$ 1,114,000	$ 1,211,550	◆	$ 1,000	$ 256	$ -	0	☑	$ 350,000	$ 368,000	◆	N	$ 1,029,000	$ 907,978	☑	☑	
8	SBd	210	Etiwanda Windbreak Ldscp	$ 289,000	$ 307,226	$ 150,000	$ 116,800	☑	$ 60,000	$ -	$ -	0	☑	$ 368,000	$ 786,531	☒	N	$ 935,000	$ 781,118	☑	◆	
11	SD	15	Managed Lns	$ -	$ -	$ 4,242,000	$ 2,042,000	☑	$ 150,000	$ 75,560	$ 214,700	$ -	☑	$ 736,000	$ 217,775	☑	N	$ 56,532,000	$ 51,164,000	☑	☑	
11	SD	15	Managed Lns Unit 2	$ -		$ 2,800,000	$ -	☑	$ -	$ -	$ -	0		$ 21,236,000	15,145,451	☑	N	$ 94,432,000	$ 91,378,000	☑	☑	
Quarter 3 Projects (2 Projects)																						
3	ED	50	Rte 50 Wildlife Crossing	$ 153,000	$ 158,000	$ 175,000	$ 171,000	◆	$ 6,000	$ 3,000	$ 5,000	0	☑	$ 187,000	$ 234,000	☒	N	$ 1,100,000	$ 856,000	☑	☑	
10	Mer	99	Tree Planting	$ 37,000	$ 90,000	$ 373,000	$ 322,000	◆	$ -	$ 133,000	$ -	0	☒	$ 293,000	507,000	☒	N	$ 900,000	$ 600,000	☑	◆	
Quarter 4 Projects (8 Projects)																						
3	Pla	267	Tree Planting	$ 75,000	$ 77,000	$ 60,000	$ 42,000	☑	$ 5,000	$ 2,000	$ -	0	☑	$ 65,000	$ 47,000	☑	N	$ 501,000	$ 501,000	☑	☑	
3	Pla	80	I80 Oper Impvmts Phase 2	$ 1,900,000	$ 2,067,000	$ 1,400,000	$ 2,124,000	☒	$ 400,000	$ 364,000	$ -	0	☑	$ 300,000	$ 295,000	☑	N	$ 31,200,000	$ 29,914,000	☑	☑	
3	But	70	Ophir Road (Phase 1)	$ 1,562,000	$ 1,454,000	$ 2,000,000	$ 2,280,000	◆	$ 1,000,000	$ 1,421,000	$ 1,852,000	1,581,000	◆	$ 2,500,000	$ 1,604,000	☑	N	$ 7,609,000	$ 6,632,000	☑	☑	
4	Son	101	101 HOV Pepper to Rohnert Pk	$ 3,000,000	$ 1,940,000	$ -	$ 120,000	☑	$ -	$ 338,000	$ 3,000	3,000	☒	$ 10,500,000	$ 10,678,000	◆	N	$ 56,573,000	$ 55,061,000	☑	☑	
4	SCl	87	Rte 87 Landscaping	$ 201,000	$ 201,000	$ 442,000	$ 447,000	◆	$ 32,000	$ 28,000	$ -	0	☑	$ 505,000	$ 346,000	☑	N	$ 714,000	$ 607,000	☑	☑	
4	SCl	101	101 Impvmts (280 to Yerba Bue	$ 76,000	$ -	$ 96,000	$ 432,000	☒	$ 19,000	$ 3,000	$ 5,000	0	☑	$ 6,833,000	$ 6,854,000	◆	N	$ 31,459,000	$ 26,040,000	☑	☑	
4	SM	82	El Camino Real Signals	$ -	$ 60,000	$ 2,196,000	$ 2,498,000	◆	$ 30,000	$ 186,000	$ 60,000	32,000	☒	$ 1,704,000	$ 1,570,000	☑	N	$ 5,991,000	$ 5,472,000	☑	☑	
11	Imp	78	Brawley Bypass Phase 3	$ 1,206,000	$ 340,000	$ 6,500,000	$ 5,967,000	☑	$ 1,200,000	$ 3,235,000	$ 5,460,000	2,028,000	☑	$ 7,000,000	6,510,000	☑	N	$ 37,030,000	$ 35,930,000	☑	☑	
Total All Projects (25 Projects)				$ 18,061,640	$ 14,995,244	$ 52,265,363	$ 48,298,839	☑	$ 9,610,207	$ 11,632,241	$ 28,182,878	$ 18,244,257	☑	$ 92,485,421	$ 85,455,985	☑		$ 537,087,331	$ 509,334,709	☑	☑	

FY 2012-13 Completed SHOPP Projects

				Project Development PJD					Right of Way Component					Construction Component							Total Overall Project	
				PAED		PSE			RW Support		RW Capital			CON Support				CON Capital				
D	CTY	RT	Description	Bud	Cost	Bud	Cost		Bud	Cost	Bud	Cost		Bud	Cost			Bud	Cost			
				☒ Costs > 120%					◆ Costs 100 - 120%					☑ Costs < 100%								
Total All Projects (242 Projects)				$ 50,362,000	$ 44,491,000	$ 147,375,000	$ 138,958,000	☑	$ 15,680,000	$ 10,086,000	$ 39,007,000	$ 13,095,000	☑	$ 214,215,000	$ 151,204,000	☑		$ 1,187,554,000	$ 870,065,000	☑	☑	

Support to Capital Table: The table to the right is being provided as recommended by the Bureau of State Audits in their 2011 audit.

	# Projects	S/C Ratio	Goal
Capital Cost $1-5 Million	94	51.1	< 60
Capital Cost $5-10 Million	34	34.0	< 45
Capital Cost $10-15 Million	13	28.8	< 35
Capital Cost $15-25 Million	13	23.0	< 32
Capital Cost $25-140 Million	16	26.5	< 30